

LANCASHIRE SCHOOLS FORUM

Virtual meeting to be held at 10.00 am on Tuesday 6 July 2021 via Microsoft Teams

If you wish to join this virtual meeting but are not a member of the Schools Forum, please email Schoolsforum@lancashire.gov.uk

A G E N D A

1. Attendance and Apologies for Absence

To be recorded in accordance with the agreed membership of the Forum.

2. Substitute Members

To welcome any substitute Members.

3. Forum Membership (Enclosure)

To note the Forum membership report.

4. Minutes of the Last Meeting (Enclosure)

To agree the minutes of the last meeting held on 18 March 2021

5. Matters Arising

To consider any matters arising from the minutes of the meeting held on 18 March 2021 that are not covered elsewhere on the agenda.

6. Dedicated Schools Grant (DSG) Historic Commitments - Early Intervention and MASH (Enclosure)

Dave Carr, Head of Service Policy, Information and Commissioning (Start Well) and Chris Coyle, Head of Service MASH & Complex Safeguarding will attend the for this item.

To consider the reports with a view to continued funding from the DSG.

7. Recommendations from the Schools Block Working Group (Enclosure)

To consider the recommendations from the Schools Block Working Group from 22 June 2021, including information on school balances at 31 March 2021.

8. Recommendations from the High Needs Block Working Group (Enclosure)

To consider the recommendations from the High Needs Block Working Group from 15 June 2021.

- 9. Recommendations from the Early Years Block Working Group (Enclosure)**
To consider the recommendations from the Early Years Block Working Group from 17 June 2021.
- 10. Recommendations from the Chairs' Working Group (Enclosure)**
To consider the recommendations from the Chairs' Working Group from 22 June 2021.
- 11. Apprenticeship Levy (Enclosure)**
To note the information arising from the Forum seminar held on 11 May 2021 on the Apprenticeship Levy Pooled Payroll issues.
- 12. Urgent Business (Enclosure)**
To note the decisions taken using the urgent business procedure to approve the Forum's response to the DfE Consultation on changes to the payment process of schools' business rates.
- 13. Oracle Fusion Presentation (Enclosure)**
Jon Howard, Head of Payroll Services), Allison Leach, Payroll Service Manager and Matthew Cornish, Socitm Advisory Senior Consultant will attend the meeting for this item.
- To note the information provided.
- 14. Forum Correspondence**
There is no Forum related correspondence to consider at this meeting.
- 15. Any Other Business**
- 16. Date of Future Meetings (Enclosure)**
To note that the next scheduled Forum meeting will be held at 10.00 am on Tuesday 19 October 2021. Arrangements for the meeting will be confirmed in due course.
- A copy of the forum schedule of meetings for the 2021/22 academic year is provided. The meetings include a physical venue but may be conducted virtually.

Lancashire Schools Forum meeting of 6 July 2021 via Microsoft Teams

Executive Summary

Shaun Jukes has sent apologies for this meeting, so the Chair will be taken by Stephen Booth as Forum Vice-Chair.

1. Attendance and Apologies for Absence and 2. Substitute Members

To note attendance and apologies for absence and welcome any substitute members.

3. Forum Membership

To note the Forum membership changes since the last meeting.

4. Minutes of the Last Meeting and 5. Matters Arising

To agree the minutes of the last meeting held on 18 March 2021 and any matters arising.

6. Dedicated Schools Grant (DSG) Historic Commitments - Early Intervention and MASH

Dave Carr, Head of Service Policy, Information and Commissioning (Start Well) and Chris Coyle, Head of Service MASH & Complex Safeguarding will attend the meeting to present the reports on the respective services.

To consider the reports about the Early Intervention and MASH service provision that have been funded through DSG historic provision allocations.

7. Recommendations from the Schools Block Working Group

To consider the recommendations from the Schools Block Working Group held on 22 June 2021.

i. Schools Budget Outturn 2020/21

This report provided information on the Schools Budget Outturn for 2020/21 and the group gave particular consideration to the Schools Block and Central School Services Block (CSSB) expenditure.

The Working Group:

- a) Noted the report and the 2020/21 Schools Budget final financial outturn position.*

ii. School Balances and Clawback 2020/21

This report provided information on School Balances and Clawback for 2020/21 and included details of individual school balances at 31 March 2021. Views on future clawback arrangements were sought as part of the report.

The Working Group:

- a) Noted the report.*
- b) Noted the overall school balances position at 31 March 2021, including the individual school level information provided in the report.*
- c) Noted the previous Forum decision to suspend the application of clawback at 31 March 2021.*
- d) Noted the increase in committed balances at 31 March 2021.*
- e) Recommended that clawback be again suspended in 2021/22, but that notice be given to schools that it will be reintroduced at the end of 2022/23 (if there are no significant covid related impacts in the intervening period) at the historic levels:*

- *A clawback rate of 50% is to be applied to any balance above guideline in the first year a school exceeds the guideline (after adjusting for exemptions)*
- *A clawback rate of 100% is to be applied to any balance in excess of guideline where the guideline has been breached for two or more consecutive years (after adjusting for exemptions)*
- f) *Noted the underspend on the supply scheme budget at 31 March 2021.*
- g) *Recommended that the scheme reserve be held at the current time to mitigate against the risk of high costs being incurred in 2021/22.*
- h) *Recommended that the supply scheme position be reassessed at March 2022, when judgements could be made about the appropriate level of reserves going forward, if 2021/2223 has been a stable year for the scheme.*

iii. De-Delegation Proposals 2022/23

Subject to final confirmation of the 2022/23 school funding arrangements by the DfE, it is envisaged that a de-delegation consultation will be issued to maintained primary and secondary schools in early September 2021. This report provided the working group with an opportunity to shape proposals

The Working Group:

- a) *Noted the report.*
- b) *Supported the de-delegation proposals being included in the consultation with schools, to be issued in September 2021.*
- c) *Requested further modelling around the transition away from lump sums in the charging methodology.*

The report also includes further impact modelling as requested by the working group

iv. Scheme for Financing Schools in Lancashire

This report provided information on responses and comments received to a consultation about proposed changes to the Scheme for Financing Schools in Lancashire, some based on updated DfE statutory guidance, plus some locally proposed amendments.

It was noted that approval of scheme amendments is a formal Schools Forum decision and approval will be sought to update the Lancashire scheme as part of the decision making process for the July 2021 Forum meeting.

The Working Group

- a) *Noted the report.*
- b) *Recommended that the proposed scheme amendments be approved by the Forum*

v. Growth Fund Update – 2021 22

Following Forum approval of amendments to the Growth Fund policy at the last meeting, this report provided an update on expenditure from the fund to date in 2021/22 and highlighted the possible reduced level of funding that would be received in future DSG settlements.

The Working Group:

- a) *Noted the report.*

vi. *Split Site Criteria Update*

This report sought views on rebasing the level of split site allocations for 2022/23.

The Working Group:

- a) *Noted the report.*
- b) *Supported the uplift of the split site criteria allocations for 2022/23.*

vii. *Schools Forum Annual Report 2020/21*

A draft Forum annual report for 2021/22 was presented for comment.

The Working Group

- a) *Noted the report*
- b) *Recommended to the Schools Forum that the 2020/21 Annual Report be approved for publication.*

The Forum are asked to ratify the Working Group's recommendations.

8. Recommendations from the High Needs Block Working Group

To consider the recommendations from the High Needs Block Working Group meeting held on 15 June 2021.

i. *Inclusion Service Update*

Dr Sally Richardson, Head of Inclusion Service, attended the Working Group for this item.

A presentation on the Review of SEND Sufficiency was provided with an opportunity for colleagues to comment.,

The Working Group:

- a) *Noted the information provided on the presentation.*
- b) *Expressed some initial comments on the information and asked if the presentation could be circulated to members with the opportunity to provide any further feedback.*
- c) *Thanked Sally for the work that had gone into producing the report.*

ii. *Schools Budget Outturn 2020/21*

This report provided information on the Schools Budget Outturn for 2020/21 and the group gave particular consideration to the High Needs Block expenditure.

The Working Group:

- a) *Noted the report and the 2020/21 Schools Budget final financial outturn position.*

The report also includes information from a survey conducted by the Society of County Treasurers (SCT) on DSG budget deficits that arrived after the working group meeting.

iii. *School Balances and Clawback 2020/21*

This report provided information on School Balances and Clawback for 2020/21 and included details of individual school balances at 31 March 2021. Views on future clawback arrangements were sought as part of the report.

The Working Group:

- a) *Noted the report.*
- b) *Noted the overall school balances position at 31 March 2021, including the individual school level information provided in the report.*
- c) *Noted the previous Forum decision to suspend the application of clawback at 31 March 2021.*
- d) *Noted the increase in committed balances at 31 March 2021.*
- e) *Recommended that clawback be again suspended in 2021/22, but that notice be given to schools that it will be reintroduced at the end of 2022/23 (if there are no significant covid related impacts in the intervening period) at the historic levels:*
 - o *A clawback rate of 50% is to be applied to any balance above guideline in the first year a school exceeds the guideline (after adjusting for exemptions)*
 - o *A clawback rate of 100% is to be applied to any balance in excess of guideline where the guideline has been breached for two or more consecutive years (after adjusting for exemptions)*
- f) *Noted the underspend on the supply scheme budget at 31 March 2021.*
- g) *Recommended that the scheme reserve be held at the current time to mitigate against the risk of high costs being incurred in 2021/22.*
- h) *Recommended that the supply scheme position be reassessed at March 2022, when judgements could be made about the appropriate level of reserves going forward, if 2021/22/23 has been a stable year for the scheme.*

iv. *HNB Commissioned Place Process*

This report provided information about possible amendments to the early notification procedure in the HNB commissioned place process for 2022/23.

The Working Group

- a) *Noted the report.*
- b) *Supported the proposed changes to the commissioned place process for 2022/23.*

v. *Schools Forum Annual Report 2020/21*

A draft Forum annual report for 2021/22 was presented for comment.

The Working Group

- a) *Noted the report*
- b) *Recommended to the Schools Forum that the 2020/21 Annual Report be approved for publication.*

The Forum are asked to ratify the Working Group's recommendations.

9. Recommendations from the Early Years Block Working Group

To consider the recommendations from the Early Years Block Working Group meeting held on 17 June 2021.

i. *Schools Forum Early Years Block Membership*

This report provided an update on early years PVI membership of the group.

The Working Group

- a) *Noted the report.*

- b) Welcomed Phillipa Perks as one of the formal Schools Forum representatives from September 2021.
- c) Thanked Anne Peet for her contribution to the work of the Forum
- d) Thanked Peter Hindle for agreeing to extend his membership

ii. *Funding Agreement for the Provision of Early Education Funding 2021/22, including sector consultation responses on PVI Headcount Dates and Interim Payments Terms*

This report provided information on changes to the Private, Voluntary and Independent Sector (PVI) Funding Agreement, and Schools Sector Memorandum of Understanding for Early Education Funding for the 2021/22 academic year.

The Working Group:

- a) *Noted the report, including the feedback from the consultation with the sector*
- b) *Noted that recommendations from the Early Years Consultative Group about PVI Headcount Dates and Interim Payments Terms had been accepted by the county council and built into the updated funding agreement and memorandum of understanding*
- c) *Requested clarification around a small number of amended clauses in the documents*
- d) *Recommended that the county council consider the proposed amendment to the funding agreement arrangements relating to funding for settings that received an Inadequate judgement from OfSTED*

iii. *Funding for local authorities in financial year 2021 to 2022*

An update was provided on DfE announcements around changes to the way the DfE will fund the early years block in 2021/22/

The Working Group

- a) *Noted the report*

iv. *Maintained Nursery School (MNS) Review*

This report provided information on review of maintained nursery school provision that was currently taking place.

The Working Group

- a) *Noted the report.*

v. *Schools Budget Outturn 2020/21*

This report provided information on the Schools Budget Outturn for 2020/21 and the group gave particular consideration to the Early Years Block expenditure.

The Working Group:

- a) *Noted the report and the 2020/21 Schools Budget final financial outturn position.*

vi. *School Balances and Clawback 2020/21*

This report provided information on School Balances and Clawback for 2020/21 and included details of individual school balances at 31 March 2021. Views on future clawback arrangements were sought as part of the report.

The Working Group:

- a) *Noted the report.*
- b) *Noted the overall school balances position at 31 March 2021, including the individual school level information provided in the report.*
- c) *Noted the previous Forum decision to suspend the application of clawback at 31 March 2021.*
- d) *Noted the increase in committed balances at 31 March 2021.*
- e) *Recommended that clawback be again suspended in 2021/22, but that notice be given to schools that it will be reintroduced at the end of 2022/23 (if there are no significant covid related impacts in the intervening period) at the historic levels:*
 - o *A clawback rate of 50% is to be applied to any balance above guideline in the first year a school exceeds the guideline (after adjusting for exemptions)*
 - o *A clawback rate of 100% is to be applied to any balance in excess of guideline where the guideline has been breached for two or more consecutive years (after adjusting for exemptions)*
- f) *Noted the underspend on the supply scheme budget at 31 March 2021.*
- g) *Recommended that the scheme reserve be held at the current time to mitigate against the risk of high costs being incurred in 2021/22.*
- h) *Recommended that the supply scheme position be reassessed at March 2022, when judgements could be made about the appropriate level of reserves going forward, if 2021/22/23 has been a stable year for the scheme.*

vii. *Schools Forum Annual Report 2020/21*

A draft Forum annual report for 2021/22 was presented for comment.

The Working Group

- a) *Noted the report*
- b) *Recommended to the Schools Forum that the 2020/21 Annual Report be approved for publication.*

viii. *SEN Inclusion Fund*

On 17 May 2021, the latest meeting of the group established to consider matters around the SEN Inclusion fund took place. This report provided an update on key issues.

The Working Group

- a) *Noted the report.*
- b) *Welcomed the progress being made on this issue through the task and finish group.*

ix. *Education recovery announcement for early years providers*

It was noted that funding had been made available nationally to assist educationally recovery, which included the provision of £153m for training for early years staff to support the very youngest children's learning and development.

The Working Group

- a) *Noted the report.*

x. *Early Career Teachers (ECTs)*

A query was raised at the about whether a private nursery could support an ECT through their two year pathway. Officers agreed to check and confirm the position.

The Working Group

- a) *Noted the question raised and that an answer would be circulated to members.*

The Forum are asked to ratify the Working Group's recommendations.

10. Recommendations from the Chairs' Working Group

To consider the recommendations from the Chairs' Block Working Group meeting held on 22 June 2021.

i. *Schools in Financial Difficulty (SIFD) –Categorisations*

This report provided an update to the Schools in Financial Difficulty (SIFD) categorisations based on March 2021 outturn data.

The Group:

- a) *Noted the report and analysis provided.*
b) *Noted that the Schools Block working group were being presented with a report about the charging methodology associated with de-delegations including consideration of removing the lump sum element of the charge over time, which could disadvantage small schools.*

ii. *Schools in Financial Difficulty (SIFD) Support Criteria*

This report sought views on proposed changes to SIFD support criteria.

The Group:

- a) *Noted the report and the clarification around sustainability plan approvals.*
b) *Supported the proposed Schools in Financial Difficulty (SIFD) Support Criteria amendments to provide support for schools with an approved sustainability plan.*

The Forum are asked to ratify the Working Group's recommendations.

11. Apprenticeship Levy

This report provides information arising from the Forum seminar held on 11 May 2021 on the Apprenticeship Levy Pooled Payroll issues.

12. Urgent Business

Information is provided on the decisions taken using the urgent business procedure to approve the Forum's response to the DfE Consultation on changes to the payment process of schools' business rates

13. Oracle Fusion Presentation

Jon Howard (Head of Payroll Services) and Allison Leach (Payroll Service Manager) Matthew Cornish, (Socitm Advisory Senior Consultant) will attend the meeting to provide information on the Oracle Fusion project

14. Forum Correspondence

There is no Forum related correspondence to consider at this meeting.

15. Any Other Business

No items of AOB have been notified

16. Date of Future Meetings

To note that the next scheduled Forum meeting will be held at 10.00 am on Tuesday 19 October 2021. Arrangements for the meeting will be confirmed in due course.

A copy of the forum schedule of meetings for the 2021/22 academic year is provided. The meetings include a physical venue but may be conducted virtually.

LANCASHIRE SCHOOLS FORUM

Date of meeting 6 July 2021

Item No 3

Title: Forum Membership

Executive Summary

This report summarises the changes to the Forum membership since the last meeting.

Forum Decision Required

The Forum is asked to:

- a) Note the report and the information about the annual membership review.**
- b) Thank Ian Ball, Louise Martin, Eleanor Hick, Katrina Gale, Mark Jackson, Anne Peet, Sandra Thornberry, CC Phillippa Williamson, CC David Foxcroft and CC Christian Wakeford for their contributions to the Forum.**
- c) Welcome Phillipa Perks, CC Michael Goulthorp, CC Andrea Kay and CC Sue Hind to their first Forum meeting.**
- d) Thank Peter Hindle, CC Jayne Rear and CC Anne Cheetham for their continued involvement with the Forum.**

Background

This report provides information on Forum membership issues that have arisen since the last Forum meeting. Details are provided below.

i. Schools Forum Annual Membership Review for September 2021

As part of the annual membership review a number of members have decided to leave the Forum at the end of the summer term and the July 2021 meeting will be their last. Members that are leaving the Forum are:

Ian Ball	Primary School Governor
Louise Martin	Primary School Governor
Eleanor Hick	Primary School Governor
Katrina Gale	Primary School Headteacher
Mark Jackson	Secondary School Headteacher

Members will wish to thank these colleagues for their contributions to the Forum.

The county council is making arrangements to appoint replacement representatives.

ii. Early Years Private, Voluntary and Independent (PVI) Provider Representatives

As part of the Schools Forum annual membership review, 2 of the 3 early Years PVI representatives on the Forum, Peter Hindle and Anne Peet indicated that they did not wish to continue their membership from September 2021.

The county council sought replacement nominees from the sector in May 2021, but only one self nomination was received.

Phillipa Perks has therefore been formally appointed as one of the early years PVI representatives on the Schools Forum from September 2021. Phillipa is already a member of the early years Consultative group and Schools Forum early years block working group. Phillipa is attending this meeting of the Forum as an observer.

As only one new representative was obtained through the nomination process, Peter Hindle has kindly agreed to continue as a Forum representative for an interim period. Nominations will be sought again in the autumn term 2021.

Members will wish to thank Anne Peet for her contribution to the Forum, thank Peter Hindle for agreeing to extend his membership, and welcome Phillipa Perks to her first full Forum meeting ahead of taking up her formal appointment in September.

iii. PRU Governor

PRU Governor Sandra Thornberry has recently resigned from the Forum and members will wish to thank her for her contribution to the Forum.

The county council is making arrangements to appoint a replacement representative.

iv. County Council Appointments

Following the local elections in May 2021, the county council has changed some of the elected members who will sit on the Forum.

CC Jayne Rear is the new Cabinet Member for Education and Skills and therefore holds the executive position on the county council entitled to observer status on the Forum. The Forum will know that CC Rear has been a regular participant in Forum meeting over recent years as her former position was the Lead Member for Schools. She will share attendance at Forum meetings and events with CC Michael Goulthorp, who is the new Lead Member for Education and Skills/Children and Families for the county council.

The county council has also appointed new members to sit on the Forum, through the Appointments to Outside Bodies process. The three County Councillors appointed to the Forum are now:

- CC Anne Cheetham
- CC Andrea Kay
- CC Sue Hind

The Forum will know that CC Anne Cheetham is continuing her Forum membership and that CC Andrea Kay and CC Sue Hind are new Forum members.

The Forum will wish to welcome, or welcome back, these colleagues.

The Forum will also wish to thank CC Phillippa Williamson (now Leader of Lancashire County Council), CC David Foxcroft and CC Christian Wakeford, who have now left the Forum, for their contributions.

v. Schools Forum Annual Membership Review for September 2021

The Schools Forum regulations require that the balance of Forum membership is kept under review to ensure that the number of maintained primary, maintained secondary and academy members are reflective of the pupil population at these schools.

The Forum will be aware, data from the spring term census 2021 was delayed, so could not be reported to the March 2021 Forum meeting. However, complete data has now been received and analysed for schools forum membership purposes and a copy is provided below. As members will be aware, the analysis of the membership calculation is rounded to the nearest even number to facilitate an equal split of headteacher/principal and governance members.

<i>Phase</i>	<i>Current Membership</i>	<i>NOR January 2021</i>	<i>% of NOR January 2021</i>	<i>Membership September 2021 (rounded to nearest even number)</i>	<i>Variance</i>
Maintained primary schools	22	96,318	56%	22	-
Maintained secondary schools	10	39,870	23%	10	-
Academies	8	35,499	21%	8	-
Total	40	171,687	100%	40	0

As can be seen, the data from the January 2021 census does not suggest any change is required in the balance of Forum membership for September 2021.

Further schools are expected to convert to academies over time and the membership balance will continue to be kept under review.

LANCASHIRE SCHOOLS FORUM

**MINUTES OF THE MEETING HELD AT 10:00 A.M. ON TUESDAY, 18 MARCH 2021
(Virtual meeting via Zoom)**

Present:

Schools Members:**Primary School Governors**

Chris Bagguley
 Stephen Booth
 Michelle O'Neill
 Robert Waring
 Karen Stephens
 Laurence Upton

Academy Governor

Chris McConnachie
 Kathleen Cooper
 Louise Shaw

Primary School Headteachers

Cathryn Antwis
 Daniel Ballard
 Jenny Birkin
 Neil Gurman
 Keith Wright

Academy Principal/Headteacher

Matt Eastham
 Gaynor Gorman
 Alan Porteous

Alternative Provision Academy

Stephanie Carter

Secondary School Governors

Janice Astley
 John Davey
 Brian Rollo

Special School Academy

Louise Parrish

Special School Governor

Laura Brennan

Secondary School Headteachers

Steve Campbell
 Ivan Catlow
 Mark Jackson

Special School Headteacher

Peter Higham
 Shaun Jukes (Chair)

Nursery School Headteacher

Jan Holmes

Short Stay Governor

Sandra Thornberry

Nursery School Governor**Short Stay Headteacher**

Jane Eccleston

Members:**Early Years - PVI**

Sharon Alexander
 Peter Hindle

Other Voting Members

Bill Mann

Observers

David Fann (NAHT)
 Sarah Troughton (sub for Ian Watkinson
 (NEU)
 Sam Ud-din (LASGB)

Observers - Members of the Public

In attendance: Paul Bonser
Matt Dexter
Millie Dixon
Kevin Smith
Howard Walsh

1. APOLOGIES FOR ABSENCE

Apologies for absence were received from Sarah Barton, Sarah Callaghan, Thelma Cullen, Tom Cropper, Gill Donohoe, Rosie Fearn, Sally Richards, Karen Stracey and Eleanor Hick

2. SUBSTITUTE MEMBERS

Sarah Troughton (NEU) was present as a substitute for Ian Watkins.

3. FORUM MEMBERSHIP

The Forum noted a number of membership changes since the last meeting, including:

Church of England Diocese

Bill Mann has been nominated to represent Church of England Dioceses.

Alternative Provision Academy representative

Stephanie Carter, from Coal Clough Academy is the new Alternative Provision Academy representative.

PRU Headteacher

Jane Eccleston, from Acorns School, is the new PRU headteachers representative (Jane was unable to attend the January meeting)

Schools Forum Annual Membership Review for September 2021

The annual membership review has been sent out to school members to check if colleagues wish to continue on the forum from September 2021. It was noted that the census data for January 2021 has been delayed but will be reviewed once it is available to check on the membership balance.

The Forum:

- a) **Noted the report,**
- b) **Welcomed Stephanie Carter, Jane Eccleston and Bill Mann to the Schools Forum.**

Individual school members were asked to respond to the membership review request, if they had not already done so.

4. MINUTES OF THE LAST MEETING

The minutes of the last meeting held on 12 January 2021 were agreed as a correct record.

5. MATTERS ARISING

The following matters arising from the minutes of 12 January 2021 were noted:

- *School Teaching and Support Staff Supply Reimbursement Scheme*
Some technical difficulties had prevented confirmation letters being issued on the normal timetable, but the existing eform links still allow schools to notify the LA of any changes they wish to make for 2021/22 and final confirmation letters will be issued once the IT issues are resolved.
- *Covid workforce fund to support with costs of staff absences in schools and colleges*
On 10 March DfE notified that the online form for this grant was available, but claims must be submitted by 23:59 on 31 March 2021
<https://form.education.gov.uk/service/workforce-fund-claim>

The Forum:

- a) **Noted the report.**

6. RECOMMENDATIONS FROM THE SCHOOLS BLOCK WORKING GROUP

A report was presented setting out the recommendations from the Schools Block Working Group held on 4 March 2021.

i. New School Proposals

Lynn MacDonald, School Planning Manager, attended working group for this item.

This report provided additional information about the policy and data around considerations relating to the possible commissioning of a new primary school in the Ribble Valley

The Working Group:

- a) *Noted the information provided.*
- b) *Thanked Lynn for the useful background information to help shape the necessary amendments to the Forum growth fund policy.*

The Forum ratified the Working Group's recommendations.

ii. Growth Fund Policy Update – New Schools

This report was linked to the previous item and provided an update on the School Growth Fund policy proposing amendments related to support for new schools. A copy of the proposed new policy was provided with the report.

It was noted that officers had considered the start up costs and diseconomies of scale costs associated with establishing a new school and considered information from other LAs that had been through the commissioning procedure for a new school using the free school presumption process .

The addition to the Growth Fund policy relating to a new primary school was supported by the group.

The Working Group:

- a) *Noted the report.*
- b) *Supported the new school addition to the Lancashire Growth Fund policy.*

The Forum ratified the Working Group's recommendations.

iii. School Block Funding 2021/22

An update was provided about the finalisation of the Schools Budget setting process for 2021/22, culminating in the issuing of individual school budget in February 2021

It was noted that the LCC Cabinet had approved the Schools Budget proposals for 2021/22 on 14 January 2021. Schools Block proposals were then submitted to ESFA for compliance checking, and once approved, individual school budgets were issued on 22 February

The DfE had also reconfirmed that PPG rates for 2021/22 will remain as they were in 2020/21, however DfE will be using October 2020 school census data to calculate pupil premium allocations for 2021/22 onwards (except for alternative provision and pupil referral units where eligibility will continue to be based on the January census). This change was introduced to bring the pupil premium in line with how the rest of the core schools' budget is calculated and will provide both schools and DfE with greater certainty around future funding levels earlier in the year.

A DfE consultation on the introduction of a 'hard' national funding formula is expected shortly.

The Working Group:

a) Noted the report.

The Forum noted the report.

iv. Inclusion Hub Funding

This report dealt with proposals for Inclusion Hub allocations in 2021/22 and provided an update on key developments and challenges in 2020/21.

The Schools Forum had again voted to de-delegate funding for primary inclusion hubs in 2021/22. It was proposed to continue the methodology agreed for 2020/21 for allocating funding to districts, using a NOR plus derivation calculation. District allocations are very similar to those for 2020/21, with 5 districts receiving marginally higher allocations and 6 slightly lower allocations. The largest change for a single district is circa £1.5k.

Supplementary information was provided giving an update on the work of Inclusion Hubs. The Inclusion Hub Steering Group were keen to keep Forum involved in the work of the Hubs as it progresses, and a February 2021 update was shared with the group. The report included sections on:

- Summary of key challenges and key successes
- Vision
- Structure and accountability
- District offers in summary
- Indications of impact (suggestions for ongoing reviews)
- Next Cross-District Steps (2021-22)

Members appreciated the update, especially given the current pressures on schools, and gave careful consideration to the information provided. The positive impacts report from

some districts, despite the challenges faced by the projects during 2020/21, were welcomed. Whilst it was acknowledged that individual districts were developing local strategies, comment was made that some consistency around outcome measures in reports would assist in evaluating the impact of the initiatives across district and assessing value for money.

It was noted that the county council was establishing a Lancashire Education Partnership Board, which would include school representatives and would take a strategic overview of education provision across the county and would seek to coordinate the work of various initiatives.

It was also noted that further updates will be presented to the Forum in due course about the operation of the hubs, and the Forum will need to make formal decisions in October 2021 about de-delegation options for 2022/23.

The Working Group:

- a) *Noted the report.*
- b) *Supported the methodology for allocating 2021/22 Inclusion Hub funding to districts.*
- c) *Asked that feedback be provided to the Inclusion Hub Steering Group to help inform future reports.*

The Forum ratified the Working Group's recommendations.

v. *Schools national funding formula: changes to the sparsity factor in 2022-23*

The DfE issued a consultation on Schools national funding formula: changes to the sparsity factor in 2022-23. Information and analysis of the implications for Lancashire were provided, together with an opportunity for members to express initial views. Initial comments on a possible consultation response were included in the papers.

The Working Group:

- a) *Noted the report.*
- b) *Noted that a draft Forum consultation response would be presented to the 18 March 2021 meeting, incorporating views expressed to date.*
- c) *Requested that diocesan authorities and primary and secondary schools should be alerted to the consultation.*

Subsequent to the Working Group meeting mainstream schools and diocesan authorities had been alerted to the consultation.

A draft forum response was presented to the Forum for approval.

The Forum

- a) **Noted the additional information provided.**
- b) **Ratified the draft Forum consultation response.**

7. RECOMMENDATIONS FROM THE HIGH NEEDS BLOCK WORKING GROUP

A report was presented setting out the recommendations from the High Needs Block Working Group meeting held on 2 March 2021.

i. High Needs Block Funding

An update was provided about the finalisation of the HNB budget setting process for 2021/22, culminating in the issuing of individual school budget in February 2021. Information was also included on other matters relating to 2021/22 school funding and future consultations

The Working Group:

- a) *Noted the report.*

The Forum noted the report.

ii. High Needs Block Termly Redetermination Process

During the summer and autumn terms 2020, the Forum has supported the application of protections for special schools and pupil referral units in the termly budget redeterminations, to provide some mitigation against NOR reductions caused by COVID-19. This report set out information on support provided and sought views on the arrangements for the spring term 2021

The Working Group:

- a) *Noted the report and the further information.*
- b) *Recommended that protections are applied to the HNB spring term 2021 redetermination process to mitigate the impact of COVID-19 for any special schools or PRUs with a reduced NOR in the January 2021 count.*
- c) *Supported the issuing of an eform to special schools to collect January census data to enable spring term redeterminations to be actioned on time.*
- d) *Supported further investigation into possible funding implications around the teachers pay and pensions methodology for PRUs and any possible protection that could be provided for 2021/22.*
- e) *Requested that the involvement of maintained nursery schools in Inclusion Hub discussions be raised with relevant Directors.*
- f) *Noted that wider strategic considerations around PRUs was being taken forward by the Alternative Provision Steering Group.*

In response to the Working Group recommendations, the following information was provided for the Forum.

• HNB redeterminations

As a continuation of existing policy, HNB redeterminations were issued in the week commencing 8 March 2021, incorporating NOR data provided from special schools via a separate eform. Officers expressed thanks to the WG chair for circulating the request for information and to special schools for their prompt responses.

• PRU Pay and Pensions

Since the meeting, the LA had been considering the PRU Pay and Pensions representations. Officers were sympathetic to the representations made, given that PRU pupil numbers may be lower in 2021/22 budgets as a result of COVID-19 implications.

As PRU's school specific places do not include intervention places, it was proposed that protection could be applied to the PRU pay and pensions methodology for 2021/22, by including intervention places, This figure would change each term

depending on data, but would top up the allocations for PRUs in 2021/22 and provide some protection against the current reduced numbers in PRUs.

Initial analysis suggests that this methodology would generate circa £95k of additional pay and pensions allocations to PRUs in 2021/22, although there were school by school variations. This overall figure would likely increase throughout the year, if intervention placements increase as expected.

Decisions about the longer term methodology for teachers pay and pensions allocations could be considered alongside any other funding proposals that emerge from the AP Strategy Group.

- ***Post-16 Places in special schools***

Members feedback that it was anticipated that there would be considerable pressures on post-16 places in special schools in the next academic year, as more young people appeared to be applying to continue their post-16 education in their existing special school, a number of whom may have traditionally applied to a FE college.

The Forum

- a) Noted the additional information provided.***
- b) Ratified the Working Group's recommendations.***
- c) Supported the proposals offering additional protection to PRUs in 2021/22 by incorporating intervention places in the Pay and Pensions calculation.***
- d) Noted that this protection would be redetermined each term from 2021/22.***
- e) Noted that the longer term methodology for teachers pay and pensions allocations could be considered alongside any other funding proposals that emerge from the AP Strategy Group.***

iii. Review of national funding formula for allocations of high needs funding to local authorities: changes for 2022-23

On 10th February 2021, the DfE launched a consultation on the 'Review of national funding formula for allocations of high needs funding to local authorities: changes for 2022-23'. This report provided information on the proposals and offered an opportunity for members to shape a Forum response.

It was noted that the consultation was fairly limited in scope and was seeking views on some possible changes to two specific factors in the high needs national funding formula for 2022/23. The DfE indicated that this consultation formed the first stage of the review of the high needs national funding formula, and that future consultations will cover further proposals for changes to the formula and to the arrangements for the funding for SEND and AP

The Working Group:

- a) Noted the report.***
- b) Expressed some initial views on the consultation.***

Individual members were asked to consider any further comments on the consultation and inform the LA.

A draft forum response was presented to the Forum for approval.

The Forum

- a) **Noted the report.**
- b) **Ratified the draft Forum consultation response.**

iv. Alternative Provision Strategy Group Update

A brief verbal update was provided for members on the work of the Alternative Provision Strategy Group.

It was confirmed that the AP strategy group, which include representatives from PRUs, special schools, mainstream schools and FE colleges, in addition to relevant LCC officers, was meeting regularly. The Group was making progress and work was being undertaken in the background. Considerable engagement with the sector representatives was reported and considerations were linking in with other appropriate initiatives like Inclusion Hubs and the team around the school.

The Working Group:

- a) *Noted the report.*

The Forum noted the information.

8. RECOMMENDATIONS FROM THE EARLY YEARS BLOCK WORKING GROUP

A report was presented setting out the recommendations from the Early Years Block Working Group meeting held on 9 March 2021.

i. SEN Inclusion Fund

Dr Sally Richardson, Head of Service for the Inclusion Service, attended the Working Group meeting for this item, and the SEND Partnership: Ofsted Revisit report.

A number of issues have previously been raised with the Inclusion Service in connection with support for SEN children in early years. In response to this, an SEN working group had been established with the service to consider some of the key issues, and this meeting provided an opportunity to discuss progress with the Head of Service.

Key issues raised included:

- The impact of COVID and lockdowns on children attending early years providers
- The lead professional role falling on the early years providers who no longer have capacity to undertake this
- The alignment of forms and data requests across the services
- Utilising data/completed forms by services that already had involvement with children before they started nursery, e.g. portage or speech and language services
- Concern that SEN Inclusion Funding was limited to 2 terms support and was often insufficient to meet the cost of support provided
- Also, the Inclusion Fund only covered support related to 15 hours universal and not the extended 30 hours
- Concern about the access to the Inclusion fund for childminders
- Suggestion that the team around the school philosophy be extended to the team around the early years provider

It was suggested that some of the issues being raised around the CAF/TAF and the lead professional role falling on the early years providers could be discussed at a CAF conference scheduled for 22 March 2021.

The Working Group:

- a) *Noted the report*
- b) *Asked that officers consider the views expressed at the meeting.*

After the Working Group meeting additional invitations had been sent out to EYBWG members from the Children and Family Wellbeing service for the 22 March conference.

The Forum

- a) **Noted the report and the additional information.**
- b) **Ratified the Working Group's recommendations.**

ii. SEND Partnership: Ofsted Revisit

At the last working group meeting, members asked if there was any feedback from the OfSTED SEND inspection of the Authority, to which some colleagues had contributed. An update from the Inclusion Service was provided.

Some of the important improvements the inspectors had found included:

- Provision for SEND is a priority for leaders;
- Strong working relationships across the partnership;
- Clear quality assurance systems in place;
- Good practice being shared across the area; and
- Improved outcomes for children and young people.

The report highlighted five areas for continued targeted improvement work, which were:

- Continuing to improve our understanding about the local area;
- Further developing and evaluating our commissioning arrangements;
- Improving the effectiveness of the new neuro-developmental pathway;
- Improving transition arrangements in 0 to 25 healthcare services; and
- Implementing the changes to the Local Offer.

It was noted that a 6 monthly review of progress with Ofsted and Care Quality Commission (CQC) was scheduled for 24 March 2021.

The Working Group is asked to:

- a) *Note the report.*

The Forum noted the report.

iii. Early Years Block Funding

At the last working group meeting, members supported a letter being sent to the Schools Forum to highlight the significant pressures being faced by the sector and asking for consideration of three measures:

An update was provided in connection with the 3 issues raised in the correspondence:

- **Funding for the 2021 spring term, with at least a similar measure as applied this term in comparing it with spring 2020;**

The DfE had confirmed that funding for early years for the spring term 2021 would be purely on the basis of the January 2021 census data.

The DfE did say that there is some protection that will be provided to local authorities in exceptional circumstances and including a threshold of 85% of January 2020 levels and Lancashire expects to be above that point.

It was noted that there were also considerable risks for the DSG in the current census and funding arrangements for the spring term 2021, as this data will be used by the DfE in the calculation our early years block funding. If census levels are low, but then rise in the summer term 2021, the funding to pay for any significant uplift in numbers will need to be found from the DSG reserve.

Initial analysis of the spring term 2021 data compared to spring 2020 indicates that funded hours fell by 6.7% overall. The table below provides further details, and, as can be seen, there is a more significant impact on 2 year old hours.

Change in EEF Total Funded Hours Claimed @ 23.2.2021				
PVI Sector				
Funding Type	Spring 2020	Spring 2021	Change	% Change
2 Year Old	468,022	374,689	-93,332	-19.9%
34 Year Olds	3,479,576	3,309,185	-170,391	-4.9%
Total	3,947,597	3,683,874	-263,723	-6.7%

However, this comparison only includes PVI data at this point, due to delays in the availability of maintained census data. Also, the spring 2021 hours do not include any supplementary claims.

For information, additional top up payments were made to providers in January 2021, totalling circa £4.2m.

It was noted that representations were being made to the DfE on this issue.

- **A one off Covid support payment for each setting;**

Following a consultation, Forum supported the proposals for a one-off lump sum payment to be made in the spring term 2021 of £250 for childminders and £1,000 for other early years providers that had not been able to access additional DfE covid support for schools, to assist them with covid related costs.

One-off covid payments were therefore issued to relevant providers in January 2021, in accordance with the proposals and totalling over £0.6m.

One key issue that emerged in the consultation related to requests for support for Lancashire early years providers not offering Government funded hours. It was recognised that these providers were still incurring covid costs and the county council was able to identify some additional funding from LCC monies to enable an offer of a £250 one-off covid payment to be made to the non-Dedicated Schools Grant settings.

To receive this additional payment, providers must have an open registration with Ofsted and not have received the one-off lump sum funded through DSG reserves.

As the county council does not hold bank details for non-DSG settings, information was issued in the week commencing 22 February 2021 asking eligible providers to complete an application form in order to claim the payment. The deadline for receipt of claims was set as 5 March 2021 and we will aim to process payments by the end of March 2021, or as soon as possible thereafter.

It is estimated that these payments will total circa £0.1m.

- ***Additional funding for the whole of the next financial year.***

As agreed at the last meeting, following a consultation, a transfer of circa £2m from the Schools Block to the Early Years Block was agreed to help mitigate the impact of pressures on that block.

This enabled, for one year only, support to enable the increase in Government funding to be passed on in full and to continue the additional local increases facilitated by the similar transfer last year. This was included in 2021/22 budgets issued to providers on 22 February 2021

For MNS, the DfE Dedicated Schools Grant allocations to local authorities (LAs) indicate that the MNS supplementary funding allocations for September 2021 to March 2022 are conditional. DfE say these allocations may be subject to change and LAs should therefore treat them as unconfirmed.

Further information will be provided on any DfE proposals as soon as we are able. The Government did confirm that their commitment to the long-term funding of maintained nursery schools is unchanged.

Members thanked the county council and Forum for the local response to the pressures facing the sector, although it was noted that pressures on the DSG in future years meant that the £2m schools block transfer was unlikely to be available again in future years. The county council support for sector covid testing was also highlighted as another welcome policy implemented in Lancashire.

Some concern was expressed around the 2 year old take up figures shared with the group, and it was noted that a detailed paper on the response to this data was due to be considered by the Early Years Consultative Group.

The Working Group:

a) *Note the report.*

The Forum noted the information provided and the positive feedback that had been received from the sector about the support provided in Lancashire.

iv. Interim EEF Payments

In order to support the sustainability and cash flow of early years providers during the pandemic, instead of 3 payments each term, the local authority has made 2 payments instead. The changes to interim payments during the course of the pandemic have been very

positively received and appreciated by the sector. A decision needs to be taken on the future basis for calculation of interim payments from autumn 2020-21 onwards.

The Working Group:

- a) *Noted the report:*
- b) *Recommended that the sector be consulted on the future options for interim payments.*

The Forum:

- a) **Noted the information provided.**
- b) **Ratified the Working Group's recommendations.**
- c) **Noted that a consultation will be issued to providers, and proposals need to be finalised to ensure they comply with accounting procedures.**

v. *Executive Recovery Board*

The Working Group chair fed back from recent Executive Recovery Board meetings, emphasising that awareness about the important role played by the early years sector had been enhanced through this process

The Working Group:

- a) *Noted the report.*

The Forum Chair report on recent discussions with LCC Directors around a proposal to change the role of the ERB and create an Education Partnership Board. The link between the Schools Forum and this new board was emphasised, with the intention of working collaboratively to ensure that priorities were closely aligned and funding decisions could be taken to support key policy objectives.

The Forum noted the information provided.

9. RECOMMENDATIONS FROM THE CHAIRS' WORKING GROUP

A report was presented setting out the recommendations from the Chairs' Block Working Group meeting held on 2 March 2021.

i. *DSG Historic Commitments*

A report was presented that considered the options for a possible continued DSG funding contribution towards services that were previously funded for the 'historic commitments' element, which DfE are reducing/ceasing

Enquires of DfE confirmed that the funding previously allocated from 'historic commitments' could be funded from DSG going forward, if agreed by Forum, including

- Emotional Health and Wellbeing Service - £200k
- MASH - £150k

Members recommended that service representatives for MASH and Emotional Health and Wellbeing be invited to attend the full Schools Forum meeting in July 2021 and to present information about what any future DSG funding would provide, including information on value for money for schools and to set out what the impact for schools and pupils if these services were discontinued

The Working Group:

- a) *Noted the report.*
- b) *Asked that services be invited to present information to the July 2021 Schools Forum meeting.*

The Forum ratified the Group's recommendations.

ii. Schools in Financial Difficulty (SIFD) –Categorisations

Regular reports have been presented to the Chairs' Group/Forum around Schools in Financial Difficulty (SIFD) categorisations, and an updated analysis was provided for the group, which was based on the county council's forecasts of the outturn position for schools at 31 March 2021, taken from data in the LCC accounts at 31 December 2020.

The analysis is provided below:

Category	No. of schools	%
1	4	0.7%
2	18	3.2%
3	36	6.3%
4	511	89.8%
	569	

It was noted that:

- The number of schools in Category 1, Structural Deficit, had reduced by one since that last report, due to the closure of a secondary school that had a substantial structural deficit.
- In percentage terms the current analysis represents 10.2% of schools identified with some level of financial challenge, compared to 18.1% in the previous report;
- This does represent a more positive outlook for school funding overall, but there are still significant uncertainties around school funding, especially when unpredictable covid-19 related costs are factored in, and many schools may continue to face financial challenges.

An analysis and comparison data by sector were also provided to the group. In the sector data small primary schools, PRUs and nursery schools were identified as those phases facing specific challenges. It was noted that some of the higher risk sectors may have faced additional pressures due to COVID-19 issues, for example reduced income.

It was also confirmed that reviews were taking place in some areas, for example in connection with the AP strategy and the review of maintained nursery schools.

In addition, the county council was establishing a Lancashire Education Partnership Board, which would include school representatives and would take a strategic overview of education provision across the county. The importance of Schools Forum linking into outcomes from this group were emphasised, so that funding and strategic priorities were aligned. To assist with this process, it was suggested that a dialogue could take place through the chairs' group initially.

The SIFD categorisation will be run again, based on the actual outturn position of schools at 31 March 2021, and SIFD support will continue to be provided to any school in financial difficulty.

The Working Group:

- a) *Noted the report and information provided.*
- b) *Welcomed the proposal to link in with Schools Forum to align with strategic priorities.*
- c) *Noted that the SIFD categorisation would be rerun when the actual outturn data for 31 March 2021 was available.*

The Forum ratified the Working Group's recommendations.

10.RECOMMENDATIONS FROM THE APPRENTICESHIP LEVY STEERING GROUP

A report was presented setting out the recommendations from the Apprenticeship Levy Steering Group meeting held on 8 February 2021.

i. Urgent Business Apprenticeship Levy Transfer Protocol

It was noted that responses were obtained from the Steering Group in February 2020 using the urgent business procedure, in connection with the protocol to be used to in connection with the transfer of 'schools' monies, to non-levy paying settings rather than returning funding to central government.

The agreed proposals were:

Categories eligible for 'Schools' Apprenticeship Levy Transfer:

- Aided schools situated with the LCC footprint (where possible as limited number due to PAYE issue)
- Academies situated with the LCC footprint
- Aided schools and academies in Unitary authorities (Blackpool and Blackburn)
- Independent Nurseries/Early years settings

Process:

- Allow the Apprenticeship Levy Team to identify, arrange and authorise unspent levy transfers to be made to the above categories.

The Working Group:

- a) *Noted the report.*

The Forum noted the report.

ii.School Apprenticeship Levy Update

Colleagues from the Apprenticeship Levy team delivered a presentation to members providing an update on the latest school related developments. Key issue issues included:

- A regular financial update
- Pooler Payroll
The significant barrier still exists to utilising the transfer where eligible schools using LCC payroll, and therefore on the same PAYE number cannot be supported. However, the team have recently got some assurances from the ESFA that a solution to the pooled payroll issue will be resolved for April 2021

- **Looking Forward**

The key issue for the team in the near future will be the large Procurement exercise that will provide the opportunity to look at the offer to support schools and engage with potential new programmes (become a trailblazer) and providers.

The team are also proposing a newsletter to introduce the new team supporting schools apprenticeships.

The Working Group:

- a) Noted the information.*
- b) Requested that the transfer opportunity be promoted with early years providers.*
- c) Welcomed the possibility of pooled payroll issue being resolved for April 2021.*
- d) Thanked the Apprenticeship Levy Team for their continued hard work and dedication during difficult circumstances.*

Subsequent to the Steering Group meeting, it was note that limited progress had been made on the pooled payroll issues impacting on aided and foundation schools that purchase payroll from BTLS, and time was running out on ahead of 1 April 2021.

A posting had been issued on the schools portal to inform schools of this situation, and asking schools that were impacted to complete an eform, so that they could be contacted directly in the event of significant developments.

The Forum were informed that the ESFA had recently provided some options to the Apprenticeship team, and these needed to be assessed, but it appeared that there were some concerns associated with all the options.

The Forum

- a) Note the report and the supplementary information.**
- b) Ratified the Steering Group's recommendations.**

11.URGENT BUSINESS

No items had been considered using the Forum's Urgent Business procedure since the last Forum meeting.

12.FORUM CORRESPONDENCE

No items of Forum correspondence had been received since the last meeting.

13.ANY OTHER BUSINESS

a) DfE Consultation Changes to the payment process of schools' business rates

On 10 March 2021, the DfE issued a consultation on Changes to the payment process of schools' business rates. A copy of the consultation was provided with the papers. The Forum meeting provided an initial opportunity to express views on the DfE proposals

The DfE proposal was basically for DfE to top slice funding and pay all school and academy business rates centrally before DSG allocations come to LAs. The costs for schools and the LA should be the same under this new arrangement and its introduction

will reduce the administrative burden for schools and pave the way for the introduction of the DfE's hard national funding formula.

Initial analysis suggests that for 90%+ of schools and academies there are no financial implications, plus removes the risk around funding at individual school level. For the rest the implications should be positive, but I am sure that as part of the current process some schools are being over funded, which this should also remove.

Members expressed some initial views and noted that a draft forum response would be circulated for approval using the Forum Urgent Business Procedure, ahead of the consultation closing date of 5 May 2021.

The Forum

- a) **Noted the report.**
- b) **Noted that a draft forum response would be circulated for approval using the Forum Urgent Business Procedure.**

14. DATE OF FUTURE MEETINGS

It was noted next scheduled Forum meeting will be held at 6 July 2021. Arrangements for the meeting will be confirmed in due course, which is likely to be a Microsoft Teams meeting.

LANCASHIRE SCHOOLS FORUM

Date of meeting: 6 July 2021

Item No 6

Title: DSG Historic Commitments

Appendices A and B refer

Executive Summary

This report provides information on the service provision for DSG funded activities on Emotional Health and Wellbeing Service and MASH as part of the consideration around continued DSG funding when 'historic Commitments' funding ceases.

Recommendations

The Forum is asked to:

- a) Note the report.
- b) Express any views on the continued support for the service provision previously funded through DSG historic commitments funding.

Background

The Forum will be aware that the Historic Commitments element of the DSG Central School Services Block (CSSB) has been reducing over a number of years and the DfE have indicated that they will continue to unwind this funding to zero in future years.

The Forum have made considerable reductions in historic commitments expenditure in Lancashire over recent years, in accordance with DfE requirements, as indicated below:

- 2017/18 - £1,243k historic commitments contribution.
- 2018/19 - £450k historic commitments contribution.
- 2020/21- £350k historic commitments contribution.

In setting the Lancashire Schools Budget for 2021/22, the Forum supported a continuation of the existing combined budgets that are funded from the Historic Commitments element of DSG as follows:

- Emotional Health and Wellbeing Service - £200k
- Multi-Agency safeguarding Hub (MASH) - £150k

Members noted, however, that this was only affordable in 2021/22 as a prudential borrowing expenditure commitment had ended in 2020/21 and due to a subsidy from the CSSB 'ongoing costs' allocation. It was clear that continued support for these services was not sustainable from the 'historic commitments' allocation going forward as the DfE allocation reduced further/ceased.

Both services supported by the historic commitments funding had produced annual reports for the Forum in the lead up to the 2021/22 budget cycle and members stated that they held the services in high regard.

As the remaining services were well regarded, the Forum asked that enquiries be made of DfE to ascertain if DSG funding could continue to be used to support this provision as it could no longer be met from Historic Commitments. DfE confirmed that other DSG funding could be used to support the services, subject to the agreement of the Forum.

Lancashire receives an overall DSG allocation of over £1b, and a commitment of circa £350k is not a significant amount in these terms, but members will be aware that there are considerable cost and demand led pressures facing a number of funding blocks. At the 18 March 2021 Forum meeting, members recommended that service representatives for MASH and Emotional Health and Wellbeing be invited to attend this meeting and to present information about what any future DSG funding would provide, including information on value for money for schools and to set out what the impact for schools and pupils if these services were discontinued

As requested, the services have provided updates on the service offer funded through DSG and information is attached:

- Appendix A - Emotional Health and Wellbeing Service
- Appendix B – MASH

Dave Carr, Head of Service Policy, Information and Commissioning (Start Well) and Chris Coyle, Head of Service MASH & Complex Safeguarding will attend the meeting to present the reports on the respective services.

Executive Summary

This report seeks to provide Schools Forum members with an update on the progress of the Emotional Health and Wellbeing commissioned service.

The demand for specialist Emotional, Health and Wellbeing Services has been on the rise nationally and locally for a number of years with the past year seeing a particular spike in demand due to the impact of Covid-19 on children and young people's mental health .

One in six young people are now estimated to have a mental health problem, an increase from one in nine young people prior to the pandemic.

Prevention and early identification is key in ensuring that children and young people have access to help and support as issues start to emerge and it is critical to capitalise on all opportunities to improve the continuity and outcomes for children and their families across health, education and social care.

The Schools Forum has supported the Early Support Emotional Health and Wellbeing Service for several years providing a funding contribution of £200k. Together with an annual contribution of £1.1m redirected from Tier 2/3 mental health services the service provides an appropriate pathway for children and young people at level 2, 3 and 4 on the Lancashire Continuum of Need who are experiencing escalating emotional health and wellbeing needs.

The service is delivered across the County by the Child Action North West Partnership within both an individual and family context and includes a range of approaches including specialist counselling provision.

The contract is delivered partly on a payment by results basis to ensure that the authority receives optimum value for money with 40% of the annual contract value being paid up front with the remaining 60% paid based upon the achievement of agreed outcomes.

The contract is monitored on a quarterly basis by the Children and Family Wellbeing Service. Systems and processes are in place providing robust reporting, at a family, service, district and county level. Quarterly performance reports are produced and shared through established governance arrangements. Also supplied are case studies to provide examples of the type of work that has been undertaken and the impact that has had on improving outcomes for children, young people and families.

Performance 2020/21

Demand for the specialist Emotional Health and Wellbeing Service during 2020/21 has remained high with 1,583 requests for support received.

300 requests for support were at level 3 and 4 (statutory support) of the Lancashire Continuum of need with the remainder (1,283) at level 2 (early support).

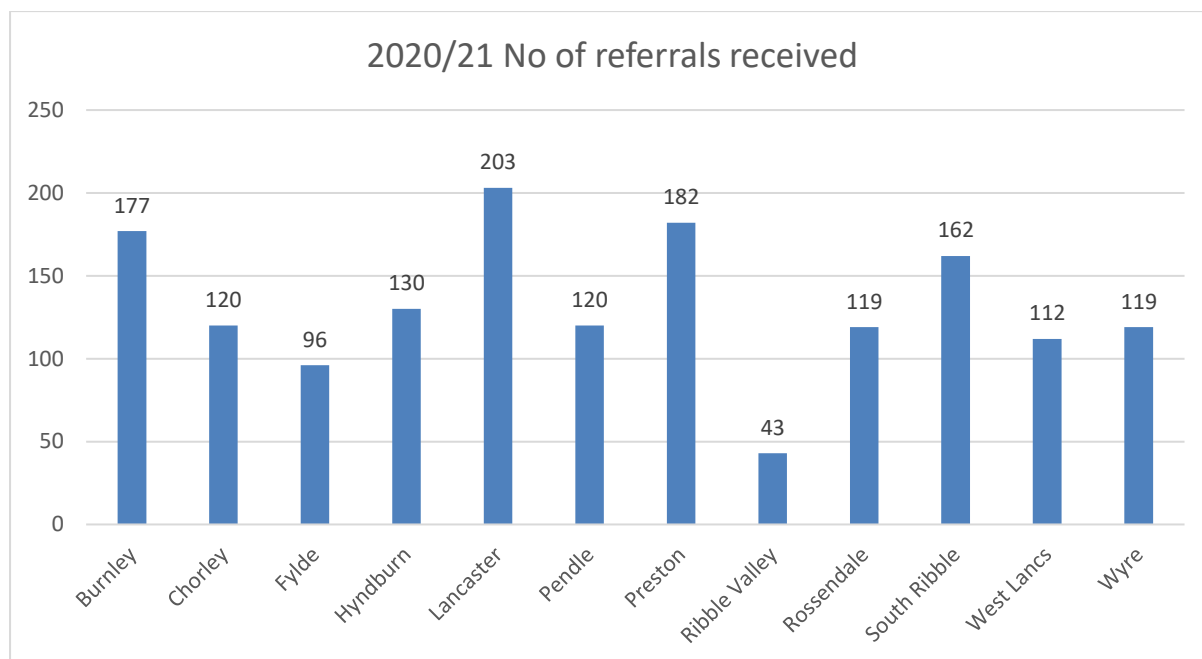


Table 1: Number of referrals received 2020/21 (per District)

Referrals were received across the age ranges with the majority of referrals received across the eight to 11 age range which coincides with transition to high school as illustrated in Table 2.

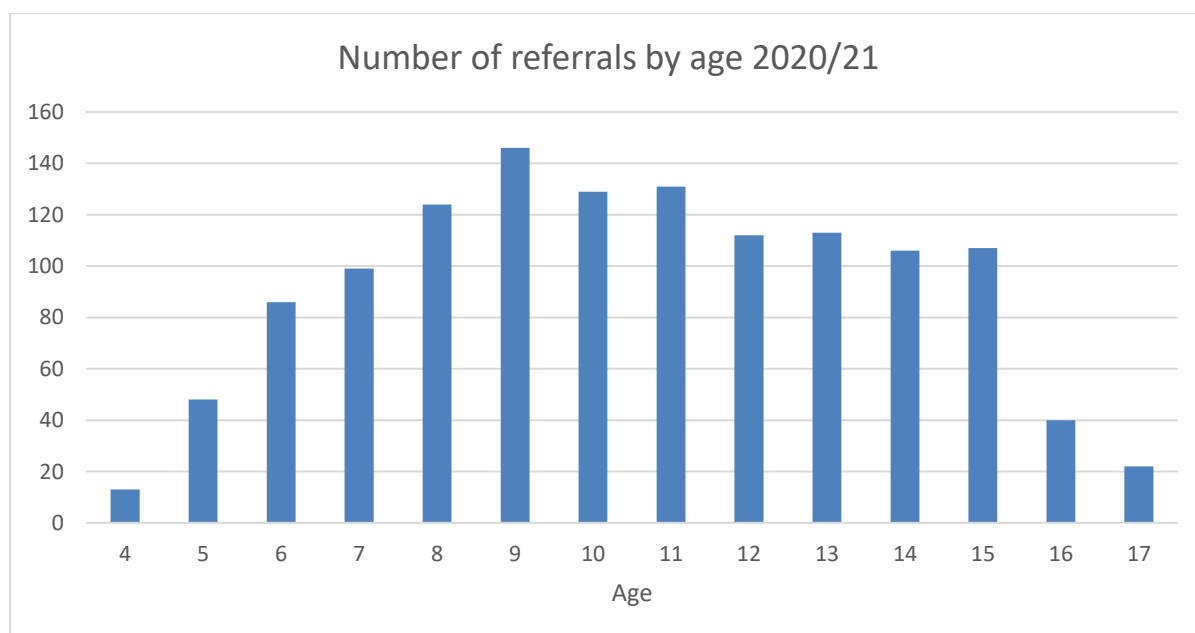


Table 2: Referrals received by age group (2020/21).

Delivery of the contract has been particularly challenging over 2020/21 due to the impact of the pandemic which has presented difficulties due to the repeated lockdowns, isolation of individual children and their families and access to venues.

In the early stages of the pandemic the provider offered both face to face and remote support but it soon became evident that face to face support was increasingly challenging to deliver. At this stage the provider responded swiftly to develop an online therapeutic platform and delivery was converted to online from September 2020 in all but exceptional cases. This enabled support to continue without ongoing disruptions and kept waiting lists to a minimum.

Despite the challenges, the service has completed a programme of support with 1,140 children, 682 of which report a positive outcome. 322 children and young people remain open to the service and are currently in receipt of support and 121 children and young people remain on a waiting list.

All the children and young people currently on the waiting list receive regular telephone contact from the provider and have been offered access to the virtual support platform. The majority of cases currently on the waiting list are waiting for face to face support or are on hold due to their current Covid 19 status.

Emotional Health and Wellbeing Commission Outcomes 2020/21	
% CYP with improved family self-assessment (using the MyStar) reporting positive distance travelled	60%
% of CYP whose level of need escalated to require access to statutory access	0%

Feedback from professionals, children, young people and families

Providers are expected to gather feedback from service users and professionals on an ongoing basis. Feedback received to date has been positive and some examples of feedback collected is outlined below:

“I’ve noticed a pretty dramatic improvement in P’s behaviour. There has not been any issues’ taking her to school anymore, no clashing with dad anymore, getting on with people better and handling things better overall. she’s also managing her emotions better. I feel she still gets easily led by peers but other than that, her behaviour has improved. I have also learnt a bit of a lesson here as a parent too and it’s definitely had an impact on my parenting” - mum

R was very pleased with the outcome of the support, her eye brows were growing back and she was no longer pulling them out, she was sleeping a lot better, her self- esteem and confidence had also improved. R’s mum gave the same feedback stating that there have been massive improvements her behaviour, self- esteem and confidence – professional

Dad said the final session was the first time in over 12 months he had sat down as a family and positive things came out of it. He felt positive for the future. - professional

“you didn’t expect anything from me and I trusted you, you didn’t push me into anything but helped me with how I felt” – young person

Item 6 DSG Historic Commitments

Appendix B

MASH Education Narrative 2021

Introduction

Since December 2014 School Forum have funded two Grade 9 Multi-Agency Safeguarding Hub (MASH) Education Officers, Matt, and Jenny. School Forum also funded two education Business Support Officers (BSO) to facilitate information sharing with schools. Matt trained as a Primary School teacher and Jenny as a Secondary School teacher and both understand the pressures that face Designated Safeguarding Leads (DSL's). The MASH posts are crucial to maintaining effective relationships with schools and facilitating pathways and processes that benefit schools, their pupils, and their families. Matt and Jenny provide support to all schools in Lancashire and provide a year-round service. The contact details for the MASH education officers are on the school Portal, school safeguarding policy and are also provided in DSL training.

Why MASH Education Officers are so valuable

Multi-agency working

The Education Officers continue to support schools and MASH partner agencies. They act as a conduit and facilitate information sharing through the interrogation of databases including Impulse (Schools), Early Help Module (CFW / Early Help) and Liquidlogic Children's Services (CSC) and liaise with SEND /CWD teams, EHE / CME Teams, School Attendance Consultants, School Advisors, the Exploitation (Complex Safeguarding) Team and the Educational Psychology Team. Both Officers represent Education at the MASH Operation Partner Meetings and Board Meeting. The MASH Education Officers participate in multi-agency case discussions and audit meetings, they represent Education Safeguarding at CME Panel meetings, and work closely with LCC School Safeguarding Officers.

Quality Assurance of Education Referrals

The Education Officers continue to quality assure referrals from Schools. This is to identify strengths and weaknesses, to ascertain whether parental consent has been gained, extrapolate what the reasons for the referrals are, to recognise trends; and identify whether support is currently in place. Further analysis is undertaken to identify the outcomes of the referrals and whether threshold for assessment has been met. Many referrals that haven't met threshold for C&F assessment under S17 of the Children Act 1989 / 2004 are quality assured as appropriate referrals under Keeping Children Safe In Education (2020) and Working Together To Safeguard Children (2018), as schools have a duty to share safeguarding concerns with CSC. Where referrals are deemed inappropriate, these are allocated to Matt and Jenny by MASH Practice Managers to discuss with the School referrer the reasons why the referral is not being MASH assessed by a Social Worker and to offer support and guidance as to how to improve the quality of referral.

Matt and Jenny provide support and guidance to schools on a variety of issues but include the initiation of Early Support via the CAF / TAF process. Schools are also reminded to discuss their concerns with parents in an open, honest, and transparent way. It is important to engage families in early support prior to escalating to CSC. Matt and Jenny have had oversight of all school referrals since joining MASH in 2014. Initially the Education Officers read the referrals; discussing the concerns with the DSL prior to escalating to MASH Social Worker's for screening, if it was thought that threshold was met. In Phase 2, Matt and Jenny were allocated the referrals that didn't meet threshold, to discuss the referral in more detail with DSL's and identify Early Support needs. In 2015, 540 referrals were screened, excluding S47's that went directly to a Social Worker; 788 in 2016 with 31% meeting threshold for C&F assessment; 664 in 2017 with 26% meeting threshold; 676 in 2018 with a significant improvement to 66% meeting threshold; and 807 in 2019 with 45% meeting threshold.

2020 was an unprecedented year due to the Covid-19 pandemic. Schools closed in March 2020 due to the National Lockdown 1.0, remaining open to vulnerable and Key Worker children only until June 2020. Schools then closed for summer in July 2020 and re-opened to all pupils in September 2020. Despite a national lockdown in November 2020 schools, colleges and nurseries remained open. 619 referrals were made by schools to CSC in 2020, the lowest since 2015: with 38% meeting threshold for C&F assessment. In late 2020 the Covid-19 variant mutated and rates began rising rapidly resulting in a further national lockdown 3.0 and closures of schools and colleges from 5 January until 8 March 2021. Between January and June 2021 there have been 313 school referrals to CSC, averaging 62 per month. So far threshold has been met in 32% of referrals, with only 18% of referrals quality assured as inappropriate due to having no parental consent predominantly.

Direct Point of Contact

The MASH Education Officers provide a direct point of contact via phone and email to all Lancashire Schools / Colleges / Maintained Nurseries; sharing information as required and offering advice and guidance. As the MASH Education Officers have become embedded, more schools have made direct contact with them for support and advice. In 2016 MASH Education Officers managed 253 direct enquiries; 1054 in 2017; 1180 in 2018; 1548 in 2019; 3443 in 2020 and 1695 so far in 2021. There has been a significant increase in enquiries since Covid-19, due to increased concerns with poor school attendance, neglect, mental health, Domestic Violence, Physical harm, and associated issues.

Operation Encompass Enquiries

Operation Encompass was launched in May 2019 with MASH Education Officers acting as a conduit to help ensure the process runs as smoothly as possible. The Operation has been so successful that it has been extended to include Sixth Forms and colleges; MASH Education are also looking to include all maintained nurseries this year. The MASH Education Team maintain the database of Encompass mailbox addresses which enables the police to share the Encompass referrals directly with schools and colleges. Consequently, schools have queries regarding the information received and whether CFW / CSC are providing support due to the incident. MASH Education Officers managed 842 OE enquiries in 2020 and 276 so far this year.

School Safeguarding Advice Line (SSAL)

The School Safeguarding Advice Line is part of the Traded Service, providing Schools and maintained nurseries with written safeguarding advice. The School Safeguarding Advice Line is managed by the School Safeguarding Officers and covered by the MASH Education Officers. Calls managed by the Education Officers have varied over the years with 844 in 2016; 1472 in 2017; 1023 in 2018; 990 in 2019; 889 in 2020 and 747 so far in 2021. Schools can phone or email the Advice Line sharing their concerns and receiving advice in verbal and written form, for DSL's to save in the child's safeguarding file.

The role of MASH Education BSO's

Police Safeguarding Reports

Initially only High Risk Protecting Vulnerable People (PVP) Police reports (716 in 2016) were shared by the MASH Education Officers verbally with DSL's in Schools, until the 2 BSO posts were funded. A written Police Notification pro-forma form was developed in order to share Police Safeguarding Referrals (PSR), which enabled all relevant DA / VA / VC reports to be shared with School DSL's via email, for them to record in the child's safeguarding file. High risk incidents are shared within 24 hours, medium risk within a few days and standard risk with consent to share within a week. 1346 Police Notifications were shared in 2017; 4774 in 2018; 3636 in 2019; 4879 in 2020 and 2535 so far in 2021. Sharing Police notifications with School DSL's enables schools to be aware of any incident which may have had a negative impact on the children, alerting the DSL to be able to monitor and support the children as required. Should DSL's require further information or an update as to support offered by CFW /CSC they are directed to contact the MASH Education Officers for this information. The MASH Education Officers train the BSO's how to summarise police reports and continue to provide ongoing support and guidance. The MASH Education Team maintain an up to date database with all School DSL's to facilitate information sharing.

Encompass Referrals

Operation Encompass (OE) is a police and education early information sharing partnership enabling schools to offer immediate support for pupils experiencing domestic abuse. Operation Encompass commenced in Lancashire in May 2019; and facilitates at the scene information sharing with school from the attending Police officer. Information is usually shared directly by the police with a school's trained Key Adult (DSL), however, where the officer has not been able to correctly ascertain the name of the child's school, a process has been created where Encompass notifications are sent to encompass@lancashire.gov.uk to be shared by the MASH Education BSO's with the relevant school.

1388 Operation Encompass referrals were shared in 2020 by MASH Education BSO's to Schools Encompass mailboxes and 690 so far in 2021. School DSL's also contact MASH Education Officers for further information and to see if information has been shared with MASH via PSR and if support via CFW / CSC is being offered to the family. Lancashire Police are increasingly using Operation Encompass to share vulnerable child reports, in addition to missing from home notifications with Schools or the MASH Education Encompass mailbox.



17/06/2021

LANCASHIRE SCHOOLS FORUM
Date of meeting 6 July 2021

Item No 7

Title: Recommendations of the Schools Block Working Group

Appendices A, B, C and D refer

Executive Summary

On 22 June 2021, Schools Block Working Group considered a number of reports, including:

- Schools Budget Outturn 2020/21
- School Balances and Clawback 2020/21
- De-Delegation Proposals 2022/23
- Scheme for Financing Schools in Lancashire
- Growth Fund Update – 2021 22
- Split Site Criteria Update
- Schools Forum Annual Report 2020/21

A summary of the information presented, and the Working Group's recommendations are provided in this report.

Recommendations

The Forum is asked to:

- a) **Note the report from the Schools Block Working Group held on 22 June 2021**
- b) **Ratify the Working Group's recommendations.**

Background

On 22 June 2021, the Schools Block Working Group considered a number of reports. A summary of the information presented, and the Working Group's recommendations are provided below:

1. Schools Budget Outturn 2020/21

A copy of the Schools Budget Outturn report for 2020/21 was presented to the meeting. A copy of the full report is provided at Appendix A.

The Overall Schools Budget outturn position for 2020/21 shows an underspend of circa £5m.

The outturn position for the 2020/21 Schools Block revealed a circa £2.5m underspend. Further details in connection with the Schools block were discussed by the Group. Key variances related to the underspend on the Growth Fund and on variations arising when schools convert to academies mid year.

It was also noted that the Central School Services Block (CSSB) expenditure was largely on budget.

The Working Group:

- a) **Noted the report and the 2020/21 Schools Budget final financial outturn position.**

2. School Balances and Clawback 2020/21

A copy of the School Balances and Clawback 2020/21 report was presented to the meeting. A copy of the full report is provided at Appendix B.

The final outturn position against schools delegated budgets at 31 March 2021 was an underspend of £42.832m. This means that school balances have increased by £42.832m in 2020/21, to a total of £90.151m.

Further analysis of the year end school balances position was provided for the working group and particular attention was shown to the primary and secondary positions.

The 2020/21 financial year has clearly been an exceptional one in terms of the covid pandemic. Whilst some costs were increasing during 2020/21, as schools responded to relevant covid protocols and safety requirements throughout the year, there were also some extended periods when many schools were closed to the majority of pupils, which will have provided some savings against some planned expenditures.

In addition to the core DSG funding allocations to schools, considerable additional funding was allocated during 2020/21 in the form of Government grants and spending relating to some grants would have been curtailed by the pandemic, and the associated conditions of grant may require the funding to be utilised during FY 2021/22, especially as schools continue to respond to the challenges of supporting pupils catch up on learning. Such grants could include Pupil Premium, PE Sports premium, and the Coronavirus (COVID-19) catch-up premium.

30 schools ended the 2020/21 financial year in deficit. The number of schools in deficit at 31 March 2021 has decreased from 41 schools in deficit a year earlier and is at its lowest level

since March 2016. However, the 2021 figure may be artificially low, as many schools will have increased year end balances as the result of funding that could not be fully spent in 2020/21 due to the pandemic and in particular grant allocations that will need to be utilised in 2021/22 focussed on the covid educational recovery.

The report also included about the movement in balances at an individual school level in 2020/21

Forum had agreed to suspend clawback of excess balances in 2019/20 or 2020/21 and members were asked to consider the school balances and clawback policy to be applied at 31 March 2022.

Clearly, the year end position at 31 March 2021 has been impacted by the exceptional circumstances faced during the year. There has been a significant increase in aggregate school balances in 2020/21, but substantial funding held in the reserves is earmarked for use in 2021/22, much of this will relate to DfE grant funding that will be utilised to support pupils catch up on learning during 2021/22.

The annual Analysis of Balances Return to the authority shows that of the school balances held at 31 March 2021, £36m is committed across 473 schools. This compares to a figure of £6.8m identified by 157 schools at 31 March 2020 and may suggest that aggregate balances could reduce during 2021/22.

Representations about the application of clawback at 31 March 2022 have also been received on behalf of some Lancashire schools and these were shared with the group

A number of schools balances and clawback options are available to the Forum for 2021/22, which were considered by the group, including:

- Suspend the application of clawback at March 2022 due to the continued uncertainties around the COVID-19 pandemic.
- Reintroduce a clawback policy in 2021/22, as per previous arrangements set out below, or with amended rates:
 - A clawback rate of 50% is to be applied to any balance above guideline in the first year a school exceeds the guideline (after adjusting for exemptions)
 - A clawback rate of 100% is to be applied to any balance in excess of guideline where the guideline has been breached for two or more consecutive years (after adjusting for exemptions)

(Note: As clawback was suspended in 2020/21, no school would be subject to the 100% clawback rate in 2021/22).

- Suspend clawback in 2021/22, but give notice that it will be reintroduced at the end of 2022/23, if there are no significant covid related impacts in the intervening period.
- Other suggestions that members may have or have been suggested by Lancashire schools, including
 - Enabling schools to transfer above threshold Reserves into the Capital pot for future investment thereby ensuring that the individual school benefits and the authority school building infrastructure improves.
 - Increase of the threshold percentage – currently 12% to 20%.

Information was also shared with the group setting out the 31 March 2021 position on Schools Budget Reserves.

The year end position on the School Teaching and Support Staff Supply Reimbursement Scheme was highlighted with an underspend of circa £0.9m, leaving an outturn position of circa £1.9m. Options for the use of the reserve were discussed by members.

Members also questioned whether certain schools had held balances over the clawback threshold for a long period of time. Officers confirmed that when clawback was last applied only a few schools were liable each year and these were predominately different schools each year.

The Working Group:

- a) **Noted the report.**
- b) **Noted the overall school balances position at 31 March 2021, including the individual school level information provided in the report.**
- c) **Noted the previous Forum decision to suspend the application of clawback at 31 March 2021.**
- d) **Noted the increase in committed balances at 31 March 2021.**
- e) **Recommended that clawback be again suspended in 2021/22, but that notice be given to schools that it will be reintroduced at the end of 2022/23 (if there are no significant covid related impacts in the intervening period) at the historic levels:**
 - o **A clawback rate of 50% is to be applied to any balance above guideline in the first year a school exceeds the guideline (after adjusting for exemptions)**
 - o **A clawback rate of 100% is to be applied to any balance in excess of guideline where the guideline has been breached for two or more consecutive years (after adjusting for exemptions)**
- f) **Noted the underspend on the supply scheme budget at 31 March 2021.**
- g) **Recommended that the scheme reserve be held at the current time to mitigate against the risk of high costs being incurred in 2021/22.**
- h) **Recommended that the supply scheme position be reassessed at March 2022, when judgements could be made about the appropriate level of reserves going forward, if 2021/2223 has been a stable year for the scheme.**

3. De-Delegation Proposals 2022/23

Subject to final confirmation of the 2022/23 school funding arrangements by the DfE, it is envisaged that a de-delegation consultation will be issued to maintained primary and secondary schools in early September 2021, with responses being reported to the meeting on 19 October 2021, at which time the Forum will be asked to make formal decisions, by phase, on each de-delegation proposal.

In 2021/22, the Forum formally approved 4 service de-delegations, relating to:

- Staff costs – Public Duties/Suspensions
- Heritage Learning Service - Primary Schools Only
- Support for Schools in Financial Difficulty
- Inclusion Hubs - Primary Schools Only

Relevant de-delegations were also offered to Nursery schools, special schools and PRUs as pooled services buy-backs.

For 2022/23, the LA is again proposing to consult on the continuation of these services as de-delegations and further information is provided below.

I. Staff costs – Public Duties/Suspensions

Members noted that in recent years the 'Staff costs – Public Duties/Suspensions' de-delegation proposals included various options around the treatment of trade union duties, including:

- a) Continue the 'Staff Costs - Public Duties/Suspensions' de-delegation using the existing policy
- b) Continue the 'Staff Costs - Public Duties/Suspensions' de-delegation but with a reduced Trade Union Facilities Time contribution to reflect a smaller workforce
- c) Continue the 'Staff Costs - Public Duties/Suspensions' de-delegation but without any Trade Union Facilities Time contribution
- d) Completely discontinue the 'Staff Costs - Public Duties/Suspensions' de-delegation

In considering this de-delegation each year, the Forum had also asked for information arising from an annual review of the trade union facilities time agreement and the latest report, which has been produced by Schools HR colleagues, and provided for the Group. The report notes that 18% of teachers in Lancashire now work in schools that do not fall under the facilities agreement.

It was also noted that during discussions of the 2021/22 Staff Costs de-delegation, there was a suggestion that this de-delegation could be split into 2 separate de-delegations for 2022/23, one for the trade union facilities time and the other for public duties and suspensions. Following further feedback from County Union Secretaries, we are not proposing to progress this. One reason the split was suggested related the greater transparency that would be available between the facilities time element of the de-delegation and the costs to be charged to any academies that buy into the agreement on an individual basis. In response to this, the LA will ensure that the two charging models are aligned for 2022/23.

A further issue identified by officers relates to the charging methodology for this de-delegation, which utilises a per pupil rate plus a lump sum. It may be argued that this approach disadvantages small schools where the lump sum element generates a much larger proportion of the overall costs to individual schools. This is particularly evident in the primary phase. Some initial examples of the change in the per pupil rate on the smallest and largest primary and secondary schools was provided for the group.

One further factor highlighted was that the academies facilities time buy-back currently operates on a per pupil only calculation.

In addition, the 2020/21 outturn position for this de-delegation was an overspend of circa £23k in total, when pooled service provision for nursery schools, special schools and PRUS were factored in. The overspend related to the staff suspensions element of the de-delegation, which was partially offset by underspends in the trade union facilities time element of the de-delegation

If the Forum support a move to a per pupil charge only for this de-delegation it is proposed that the rates would need to increase by £0.10 in both phases, to:

- Primary schools £5.30 per pupil
- Secondary schools £6.20 per pupil

The trade union facilities time element, which would be used as the basis of a charge for any academies that wished to buy into this offer would be:

- Primary schools £3.45 per pupil
- Secondary schools £4.30 per pupil

Before making a final recommendation, members agreed to consider the position across all de-delegations, as the Support for Schools in Financial Difficulty (SIFD) de-delegation also incorporates a lump sum in the calculation methodology.

II. Heritage Learning Team - Primary Schools Only

The Schools Forum have historically supported the work the Heritage Learning Team undertakes for primary schools to help meet the national curriculum and to support wider cultural learning and learning outside the classroom.

It is again proposed to consult on the continued de-delegation of this service for 2022/23, at the same level as currently de-delegated of £1.97 per pupil, in the primary sector only

III. Support for Schools in Financial Difficulty (SIFD)

It is again proposed to consult on the de-delegation of Support for Schools in Financial Difficulty (SIFD) in 2022/23.

However, the de-delegation methodology for this service also contains a lump sum element.

The lump sum elements in this de-delegation are £1,000 per school, and an analysis of the change on the per pupil charge if it were removed was shared with the group, together with an impact on the largest and smallest primary and secondary schools.

The views of the Forum are sought on the options available , which included

- Move to a pupil led only methodology for both de-delegations currently using a lump sum calculation from April 2022
- Continue with the lump sum element in both de-delegations in 2022/23
- Introduce transitional arrangements for one, or both, of the de-delegations from April 2022 to minimise turbulence in one year
- Present the options to schools in the annual de-delegation consultation

IV. Inclusion Hubs – Primary Schools Only

The LA is also proposing to continue with de-delegation proposals for Primary Inclusion Hubs for 2022/23.

Ongoing information on inclusion hubs has been provided to the Forum during the year and more a June 2021 update from the Inclusion Hubs Steering Groups was provided for the Group

The rate for this de-delegation proposal in 2022/23 remains at £11 per pupil.

It was noted that a Primary Inclusion Hubs survey of all schools has been conducted. We will share the results with the Forum when they are available

Also, the Primary Inclusion Hub Leads we will be discussing a more uniform way to measure impact over the next year in the hope it is a more 'usual' year than we have had - so we are more able to judge effectiveness of various projects

Members discussed the report and were supportive of the de-delegation proposals being included in the consultation with schools, to be issued in September 2021. Members favoured the option transitioning away from lump sums in the charging methodology, which disadvantaged smaller schools, but felt that this could not be achieved in a single year. The group therefore asked for further modelling to assess the impact of a lump sum reduction of 33% and 50% before making a final decision.

The Working Group:

- a) **Noted the report.**
- b) **Supported the de-delegation proposals being included in the consultation with schools, to be issued in September 2021.**
- c) **Requested further modelling around the transition away from lump sums in the charging methodology.**

Subsequent to the meeting, the LA has produced impact modelling as requested by the working group and this is provided at Appendix D.

4. Scheme for Financing Schools in Lancashire

In April 2021, the DfE issued a 13th update to Statutory Guidance on schemes, which included 3 main changes and some minor edits to wording. The main changes related to:

- Schools financial value standards (SFVS)
- Borrowing by schools
- Licensed deficits

The Authority has reviewed the Lancashire scheme and introduced the relevant amendments.

In addition to the changes in the DfE guidance, the county council proposed two substantive local scheme amendments.

One local change proposed a scheme amendment that prohibits the purchase of alcohol for human consumption from school funds, except where it is to be used in religious services. This amendment is similar to provision that appears in the DfE's Academies Financial Handbook.

The second local change related to the section about the 'Register of business interests'. The proposed amendment is to extend the provision of this section to cover 'all school staff', as the current version only refers to the governing body and the head teacher. Maintained schools are already asked to consider all staff and governors in relation to the business interests question in the annual Schools Financial Value Standard. The change has also been recommended by the county council's Internal Audit Service on the back of work they are undertaking as part of the National Fraud Initiative (NFI) exercise and the change links to the requirements to declare an interest contained in the Schools HR model Code Of Conduct policy for all staff in schools with delegated budgets.

A revised draft Lancashire Scheme, incorporating the proposed DfE and local amendments can be viewed [here](#), with edits from the existing scheme shown as tracked changes.

On 10 May 2021, the county council issued a consultation with maintained schools seeking views on the proposed national and local scheme changes and provided an eform to facilitate responses.

By the closing date of 28 May 2021, 71 responses had been received.

An analysis of responses is provided below

Question	Responses						Total	
	Yes		No		Not sure			
Do you support the changes to the Lancashire scheme for financing schools that are being introduced as a result of the updated DfE scheme guidance?	59	83%	1	1%	11	15%	71	100%
Do you support the changes to the Lancashire scheme for financing schools that are being proposed locally to prohibit the purchase of alcohol for human consumption from school funds except where it is to be used in religious services?	52	74%	11	16%	7	10%	70	100%
Do you support the changes to the Lancashire scheme for financing schools that are being proposed locally to include all school staff in the register of business interests?	57	80%	5	7%	9	13%	71	100%

A full list of comments received during the consultation process were provided in the report.

Members considered the proposed amendments, and the consultation analysis and comments.

It was noted that approval of scheme amendments is a formal Schools Forum decision and approval will be sought to update the Lancashire scheme as part of the decision making process for the July 2021 Forum meeting.

The Working Group

a) **Noted the report.**

b) **Recommended that the proposed scheme amendments be approved by the Forum**

5. Growth Fund Update – 2021 22

The Schools Forum has in place a Growth Fund Policy to assist schools/academies commission by the LA for basic need growth. However, the latest proposals from the School Place Planning Team are that a new primary school could be required to open, possibly from September 2023. In response to this proposal, the Forum agreed an addition to the Growth Fund Policy in order to support the establishment and growth of a new school in Lancashire. A copy of the updated policy agreed by the Forum in March 2021, including the new school section, was for the working group.

As part of the 2021/22 DSG settlement from the DfE, Lancashire received circa £4m of Growth Funding. Growth Funding is allocated outside the schools NFF formula at LA level and is calculated on the differences between the primary and secondary number on roll in each LA between the October 2019 and October 2020 school censuses, based on middle layer super output areas (MSOAs). A detailed explanation of the DfE's calculation methodology, taken from the 2021/22 Schools block national funding formula technical note, was included in the report.

Following a consultation in autumn 2020, the Forum supported the transfer of £2m headroom, from the schools block to the early years block, as part of the 2021/22 schools budget setting process, in order to help mitigate some of the financial pressures in the early years sector. This £2m headroom was identified largely due to a forecast Growth Fund underspend in 2021/22, where it was estimated that the maximum growth funding required in year would be circa £2m.

The forecast growth fund expenditure from April 2021 did not include any allocations from the updated 'new school' element of the growth fund policy, as any new primary school will not be required before September 2023. However, it was thought it may be timely to provide members with information of likely expenditure on the fund in the 2021/22 financial year.

Allocations were made to 21 schools/academies in April/May 2021, in accordance with the Growth Fund policy and brief information on the allocations is provided below:

- 15 of the allocations related to permeant expansions and 6 allocations were for temporary one year only bulge expansions
- 13 expansions are at primary schools, with 8 at secondary schools
- 19 expansions are at maintained schools and 2 related to academy expansions
- 2021/22 allocations equate to £1,063,204 to date
- 356 additional places have been supported by the growth fund in 2021/22 so far

Due to the profile of payments available under the policy, some schools will already have received multi-year payments in previous years to enable extra pupils to access places in 2021/22, but these allocations are not reflected in the analysis above.

It was noted that the Growth Fund allocation to Lancashire in 2021/22 reduced by some £835k from the 2020/21 allocation, which amounted to over £4.8m, as the pace of overall growth in pupil numbers in the county has slowed. The DfE indicate an expected 5% reduction in pupil numbers over the next few years and internal LCC indications also show a reduction in total pupil numbers. Therefore, a trend of reduced Growth Fund allocations are expected to continue into 2022/23 and beyond, notwithstanding place pressure in particular localities within the county.

With a forecast reduction in Growth Funding for Lancashire going forward, and the potential for increased costs associated with supporting the opening of a new school from 2022/23 and beyond, in addition to continued expansions at existing schools, then the availability of schools block headroom is likely in future to be reduced or become totally unavailable.

The Working Group:

a) Noted the report.

6. Split Site Criteria Update

Following a series of reports to the Working Group and Forum, revised criteria for the allocation of split site funding was agreed at the January 2019 Forum meeting, including transitional arrangements. A copy of the policy was provided with the report.

The revised policy was fully implemented in 2021/22, following the transitional period in which schools received a period of protection if their split site allocation against the previous policy were reducing or ceasing.

When considering the revision of the split site criteria, a number of elements of additional costs that schools may incur from operating on an approved split site were identified. A maximum cost of these elements was judged to equate to a third of the National Funding Formula (NFF) lump sum, which was £110,000, and therefore amounted to £36,666 for a school eligible for the higher rate split site funding. Allocations against the lower split site rate and the minimum rate were 50% and 25% of the higher rate allocations, equalling £18,333 and £9,167 respectively.

Since the policy was finalised in January 2019, the NFF lump sum amount has been increased and may increase again in 2022/23.

As it was a few years since the split site allocations have been reviewed, the working group's views were sought on uplifting the split site allocations from April 2022, so that the higher rate is again equivalent to a third of the lump sum allocation.

By way of example, the 2021/22 lump sum is £117,800, so the revised split site allocations would increase to at least:

- Criteria 1: Higher rate split site funding - amount £39,266
- Criteria 2: Lower rate split site funding - amount £19,633

- Criteria 3: Minimum rate split site funding - amount £9,816

If Forum are agreeable to the proposal, the actual split site allocations for 2022/23 would be rebased once the DfE announce the finalised NFF lump sum applicable from April 2022.

One additional matter was noted relating to the possibility that the DfE will be consulting on the implementation of a hard NFF in the near future, and it is not yet clear what impact this may have on split site arrangements, which are currently decided separately by each LA, even those like Lancashire that have adopted the NFF as the local Schools Block funding formula.

Members supported the uplift but also queried the rationale for establishing split site schools and also the reason to limit the policy to primary and secondary school split sites. Officers explained that the creation of the split sites was normally driven by capacity limitations at a school location and that the funding for this policy was received as part of the Schools Block formula, hence the policy was not applied to early years, high needs, or post 16 sites.

The Working Group:

- a) Noted the report.**
- b) Supported the uplift of the split site criteria allocations for 2022/23.**

7. Schools Forum Annual Report 2020/21

Since 2005/06, the Forum has produced an Annual Report, which is circulated to all schools via the Schools Portal and made available on the Forum website.

A draft Forum Annual Report for 2020/21 was provided for the working group. A copy of the full report is provided at Appendix C.

Members considered the draft report and supported its publication.

The Working Group

- a) Noted the report**
- b) Recommended to the Schools Forum that the 2020/21 Annual Report be approved for publication.**

LANCASHIRE SCHOOLS FORUM

Name of Group: High Needs / Early Years / Schools Block Working Group

Date of Meeting: June 2021

Item No: 4

Title of Item: 2020/21 Schools Budget Outturn Report

Annex A refers

Executive Summary

This report provides the Working Groups with details of the 2020/21 Schools Budget final financial outturn position, in relation to each funding block.

Recommendations

The Working Group is asked to:

- a) Note the report and the 2020/21 Schools Budget final financial outturn position.**
- b) Express any views in relation to each funding block outturn position for 2020/21.**

Background

This report provides information on the Schools Budget outturn position for 2020/21.

The Overall Schools Budget outturn position for 2020/21 shows an underspend of circa £5m

Further details are provided below in connection with each funding block.

Schools Block/ Central Schools Services Block (CSSB)

Schools Block/CSSB 2020/21			
	Budget (£)	Actual (£)	Variance (£)
Maintained Schools	626,383,401	610,498,228	15,885,173
CSSB			
ESG Retained Duties (transferred to DSG)	2,591,000	2,591,000	0
Overheads	261,524	261,524	0
Copyright Licence	960,000	959,102	898
Pupil Access (Admissions)	937,000	937,000	0
School Forum	188,000	188,000	0
CSSB Historic			
Growth Fund	2,000,000	1,017,522	982,478
Early Intervention	350,000	299,312	50,688
PFI - Sixth Form	859,000	491,266	367,734
Prudential Borrowing	240,000	249,660	-9,660
Rate Refunds	0	86,362	-86,362
Total Grant	-634,769,925	-620,116,307	-14,653,618
TOTAL VARIANCE	0	-2,537,331	2,537,331

The outturn position for the 2020/21 Schools Block/CSSB revealed a circa £2.5m underspend. Further information is provided below:

Maintained School

Delegated Schools Block expenditure for maintained schools was some £15.9m below the original budget due to schools transferring to academy status.

Central Schools Services Block (CSSB)

The CSSB outturn position was almost exactly on budget, except for a minimal underspend on Copyright Licences.

Central Schools Services Block (CSSB) Historic

Notes on the variances under the historic commitments element of the CSSB included:

Growth Fund

Actual growth fund expenditure in 2020/21 was circa £982k below the budget due to fewer pupils being supported in basic needs growth than forecast.

Early Intervention

Early intervention underspent in 2020/21 as a result of a reduction in activity.

PFI Sixth Form/Special/Nursery

Due to historic amendments along with changes through benchmarking and DfE funding has meant that PFI costs have been budgeted for on a pessimistic basis resulting in underspends.

Prudential Borrowing

2020/21 is the last year when a Prudential Borrowing liability falls on the Schools Budget, and the outturn position reported a marginal in year overspend.

Rate Refunds

£95k was paid in 2020/21 as agreed by Forum, for rateable value appeals undertaken by the LCC Estates team . Normally this expenditure is more than offset by income received into the Schools Block from rate refunds. However this year actual refunds were circa £9k, (circa £500k previous year)

Total Grant

Total DSG Grant income for the Schools Block was circa £14.7m below budget, again caused by adjustments in relation to schools becoming academies. However, the difference is made up from the 80% reduction to rateable values for converting academy's and from budget variances around schools that closed during the year.

High Needs Block

High Needs Block			
	Budget (£)	Actual (£)	Variance (£)
Maintained Schools			
Mainstream Schools	9,307,813	12,390,503	-3,082,689
Special Schools	60,120,209	63,045,983	-2,925,775
Alternative Provision	11,015,306	12,227,810	-1,212,504
Further Education - Post 16	7,000,000	6,632,158	367,842
Commissioned Services	27,726,746	31,929,228	-4,202,482
High Needs Growth	11,966,696	0	11,966,696
Total Grant	-127,136,770	-127,136,770	0
TOTAL VARIANCE	0	-911,089	911,089

The outturn position for the 2020/21 High Needs Block (HNB) revealed a circa £0.9m underspend. Further information is provided below:

Maintained Schools

Actual costs on all elements of maintained schools HNB expenditure, including mainstream schools, special schools and PRUs were above the budgeted figure. The most significant variance related to mainstream schools and represented a circa 33% overspend on the budget. Actual expenditure for both special schools and alternative provision incorporates additional funding distributed to the sector in accordance with financial protections agreed by the Forum in response to the COVID-19 pandemic. These payments totalled over £1.1m in 2020/21 across HNB.

Further Education - Post 16

The Further Education - Post 16 budget line was marginally underspend in 2020/21 by circa £0.4m

Commissioned Services

The commissioned services expenditure ended the year with an overspend of over £4m. As per established practice, a more detailed breakdown of the HNB expenditure against the agreed budget lines is provided at Annex A. In addition to the line by line breakdown of commissioned services expenditure, the Annex does provide further details of expenditure on other HNB budget lines. Of particular interest to the Forum on the commissioned services breakdown will be the £4.2m overspend on the Out-county budget. As members will be aware, strategies are being deployed to enhance maintained provision within the county, through the AP Strategy, SEN Units and increased special school capacity, but this will take time to feed through into the budget position.

High Needs Growth

When the 2020/21 Schools Budget was being set, provision was made for HNB growth, which was forecast at circa £12m for the year. This provision was utilised in year to offset the increased expenditure across HNB school budget lines and within commissioned services, allowing the overall HNB budget to end the year with a £0.9m surplus.

DSG grant

There were no in year adjustments to the DSG grant for the HNB in 2020/21, with actual income exactly as forecast in the budget setting process.

Early Years Block (EYB)

Early Years Block			
	Budget (£)	Actual (£)	Variance (£)
Maintained Schools			
2YO	1,906,116	1,815,820	90,296
3_4 YO	19,317,569	18,747,983	569,586
PVI			
2YO	8,591,999	8,336,122	255,877
3_4 YO	51,093,358	50,993,730	99,628
Early Years DAF	314,265	104,550	209,715
Early Years PPG	745,166	790,765	-45,599
Commissioned Services			
SEND Inclusion Fund	500,000	158,962	341,038
Total Grant	-82,468,473	-82,468,473	0
TOTAL VARIANCE	0	-1,520,542	1,520,542

The Early Years Block outturn position for 2020/21 indicates a circa £1.5m underspend. However this does not include implications from the January 2021 census, indicating a reduced level of funding for the year, with the adjustment occurring in July 2021.

Further information is provided below:

Maintained Sector

Early Years Block expenditure relating to maintained providers underspent on both 2 year old and 3&4 year old provision by over £650k to 31 March 2021, meaning that expenditure was below budgeted level after inclusion of additional funding that was provided.

PVI Providers

The PVI outturn also revealed an underspend on both 2 year old and 3&4 year old provision at 31 March 2021, but of only £350k in total after inclusion of additional funding that was provided.

As indicated above actual expenditure for both maintained and PVI providers incorporates additional funding distributed to the sector in accordance with financial protections agreed by the Forum in response to the COVID-19 pandemic. These payments totalled almost £4.5m in 2020/21 across the whole EYB.

Disability Access Fund

This budget line was circa £200k below budget.

Early Years Pupil Premium

This budget line overspend by circa £50k in 2020/21.

Commissioned Services

Commissioned Services in the Early Years Block relates to the Inclusion Fund and expenditure was circa £340k below budget. Previous years underspends on this budget have prompted the Working Group to establish a dialogue with the Inclusion Service to consider the scope, accessibility and level of the fund and further information on this issue is provided elsewhere on the early years block agenda.

DSG grant

At this point, DSG grant income for the Early Yeas Block was at budgeted levels.

Total Variance

Whilst the outturn position shows an overall circa £1.5m underspend, it must be remembered that the EYB January funding calculation impacts on the final income received for the Block and forecasts suggest that the grant received will be reduced by circa £1.5m, as shown in the table below:

Hours	19/20	20/21	Difference	Rate	Financial Difference
2YO Hours	557,061	466,850	-90,211	£5.28	-£476,314
3/4 YO Hours	4,444,610	4,223,888	-220,722	£4.38	-£966,763
Total	5,001,672	4,690,738	-310,933		-£1,443,077

	BUDGET			Actual	
	Approved Budget	Budget Movement	Current Budget	Actual	Full Year Variance Under(-) Over (+)
Expenditure	£	£	£	£	£
<u>Mainstream Schools</u>					
Core Uplift Funding	1,258,443	0	1,258,443	1,583,531	325,088
Additional Support Uplift Funding	169,082	0	169,082	199,132	30,050
Top-up Funding	7,766,289	0	7,766,289	10,138,231	2,371,942
SERF Place Funding	114,000	0	114,000	132,239	18,239
Additional HNB Funding	0	0	0	337,370	337,370
	9,307,813	0	9,307,813	12,390,503	3,082,689
<u>Special Schools</u>					
Place Funding	27,450,000	0	27,450,000	27,450,000	0
Additional Place Funding	1,720,833	0	1,720,833	2,407,500	686,667
Top-up Funding	17,766,158	0	17,766,158	18,997,093	1,230,935
School Specific Funding	13,183,217	0	13,183,217	13,358,252	175,035
Additional HNB Funding	0	0	0	833,139	833,139
	60,120,209	0	60,120,209	63,045,983	2,925,775
<u>Alternative Provision</u>					
Place Funding	7,310,000	0	7,310,000	7,111,667	-198,333
Additional Place Funding	100,000	0	100,000	467,000	367,000
Top-up Funding	3,605,306	0	3,605,306	3,985,153	379,848
Additional HNB Funding	0	0	0	663,990	663,990
	11,015,306	0	11,015,306	12,227,810	1,212,504
<u>Further Education - Post 16</u>					
Additional Place Funding	107,500	0	107,500	34,000	-73,500
Top-up Funding	2,459,345	0	2,459,345	3,224,246	764,901
Independent Specialist Providers	4,433,155	0	4,433,155	3,373,912	-1,059,244
	7,000,000	0	7,000,000	6,632,158	-367,842
<u>Commissioned Services</u>					
PFI - Special, Nursery	1,176,000	0	1,176,000	1,114,141	-61,859
Commissioned Alternative Provision services	1,000,000	0	1,000,000	1,238,432	238,432
Hospital Provision	858,000	0	858,000	786,596	-71,404
Independent Hospital Provision	0	0	0	30,030	30,030
Education in Residential Homes	0	0	0	76,848	76,848
Out County - Specialist provision places	16,000,000	0	16,000,000	20,201,670	4,201,670
Out County - Mainstream / academies places	1,410,000	0	1,410,000	2,476,282	1,066,282
Inclusion Service Specialised Equipment	447,000	0	447,000	467,693	20,693
Inclusion Service Inclusion Projects	747,000	-600,000	147,000	1,789	-145,211
Inclusion Service Teachers & Support	3,464,000	0	3,464,000	3,107,477	-356,523
Multi Agency Development	75,000	0	75,000	75,000	0
Support for Vulnerable Pupils - SI	899,000	0	899,000	922,269	23,269
Overheads	1,650,746	0	1,650,746	1,650,746	0
Exclusions	0	0	0	-219,746	-219,746
Budget Savings	0	600,000	600,000	0	-600,000
	27,726,746	0	27,726,746	31,929,228	4,202,482
<u>Other</u>					
High Needs Growth	11,966,696		11,966,696	0	-11,966,696
	11,966,696	0	11,966,696	0	-11,966,696
	127,136,770	0	127,136,770	126,225,681	-911,089
<u>DSG</u>					
Provisional High Needs Block Funding as at 19.12.20	136,562,270		136,562,270	136,562,270	0
DfE High Needs Place Adjustments	-462,500		-462,500	-462,500	0
DfE High Needs deduction for direct funding of places	-8,963,000		-8,963,000	-8,963,000	0
	127,136,770	0	127,136,770	127,136,770	0
	127,136,770	0	127,136,770	127,136,770	0
	0	0	0	-911,089	-911,089

LANCASHIRE SCHOOLS FORUM**Name of Group: High Needs / Early Years / Schools Block Working Groups****Date of Meeting: June 2021****Item No: 5****Title of Item: School Balances and Clawback 2020/21****Annexes A and B refer****Executive Summary**

This report provides information on the 2020/21 outturn position for delegated school balances and seeks views on relevant matters, including clawback of school balances.

Recommendations**The Working Group is asked to:**

- a) Note the report.
- b) Note the overall position school balances at 31 March 2021, including the individual school level information provided in the report.
- c) Note the previous Forum decision to suspend the application of clawback at 31 March 2021.
- d) Note the increase in committed balances at 31 March 2021.
- e) Express any views about the school balances and clawback arrangements to be applied at 31 March 2022.
- f) Note the underspend on the supply scheme budget at 31 March 2021 and express any views about the scheme reserve.
- g) Express any other views in connection with the School Balances and Clawback 2020/21 report

Background

School Balances Outturn 2020/21

This report sets out the year end position of schools' delegated budgets at 31 March 2021.

The final outturn position against schools delegated budgets at 31 March 2021 is an underspend of £42.832m. This means that school balances have increased by £42.832m in 2020/21, to a total of £90.151m.

The tables below show analysis of school balances by phase at the end of the financial year 2020/21.

2020/21 School Balances - In-Year Movement of Balances by Phase

Phase	Balance Brought Forward as at 1 April 2020	Less Net Expenditure 20/21	Balance Carried Forward as at 31 March 21
	£m	£m	£m
Nursery	0.383	0.362	0.745
Primary	35.953	22.476	58.429
Secondary	7.659	15.945	23.604
Special	2.576	3.093	5.669
Short Stay	0.748	0.956	1.704
Total	47.319	42.832	90.151

As can be seen, school balances ended the year at £90.151m, with underspends reported across every phase.

The 2020/21 financial year has clearly been an exceptional one in terms of the covid pandemic. Increased levels of core funding were provided by the Government in 2020/21, with Lancashire's gross DSG allocation some £55m higher than that received in 2019/20. Core school budget allocations were largely safeguarded during the pandemic, and the Forum and county council responded to Government guidance to offer additional protection to sectors where termly redetermination calculations were reducing income in year from expected levels due to the pandemic.

Whilst some costs were increasing during 2020/21, as schools responded to relevant covid protocols and safety requirements throughout the year, there were also some extended periods when many schools were closed to the majority of pupils, which will have provided some savings against some planned expenditures.

In addition to the core DSG funding allocations to schools, considerable additional funding was allocated during 2020/21 in the form of Government grants. For Lancashire maintained schools, grant allocations in the year totalled over £105m. Some of these grants could be utilised in year, regardless of the pandemic, for example those covering teachers pay and pensions contributions. Other grants were specifically to assist schools with the exceptional costs related to covid, like increased

premises related costs or additional cleaning expenditure, which schools applied for to reimburse actual spending.

However, spending relating to other grants would have been curtailed by the pandemic, and the associated conditions of grant may require the funding to be utilised during FY 2021/22, especially as schools continue to respond to the challenges of supporting pupils catch up on learning. Such grants could include Pupil Premium, PE Sports premium and the Coronavirus (COVID-19) catch-up premium. Recently updated DfE guidance on the catch-up premium confirmed that 'Schools can use catch-up premium funding to support any summer catch-up provision that they are offering and can carry funding forward to future academic years.'

There is a potential risk associated the Government's COVID-19 exceptional costs grant. Schools were not eligible to make a claim against the exceptional costs fund if they expected to add to their existing historic surpluses in the financial year covered by the fund. In order to protect public spending, the DfE reserve the right to audit the expenditure and clawback money if it appears that claims have not been made in accordance with the guidance. Evidence of legitimate additional costs which were incurred due to coronavirus (COVID-19) must be retained to provide DfE assurance. It is unclear if the DfE will pursue any clawback of allocations, but the county council encourages schools to identify committed balances at 31 March 2021, as part of their annual Analysis of Balances Return to the authority.

It should be noted that the aggregate school balances figure at 31 March 2021 includes a number of adjustments related to school closures and academisations during the year. This included, academisation of 3 primary schools plus a secondary school, the closures of a secondary school and a Pupil Referral Unit. The net financial impact of these adjustments was a circa £5.3m increase in aggregate school balances at 31 March 2021. Further information on this issue is provided later in this report in the Section detailing Schools Budget Reserves for 2020/21.

2020/21 School Balances –In-Year Movement Count of Schools by Phase

Phase	Count of deficit in year	Count of surplus in year
Nursery	7	17
Primary	56	408
Secondary	5	43
Special	2	27
Short Stay	0	9
Total	70	504

As would be expected with the significant rise in the overall level of school balances, the majority of individual schools operated an in year surplus in the year to 31 March 2021. This equated to 504 schools (88%) operating an in year surplus, with 70 schools (12%) operating an in year deficit. For comparison, 44% of schools reported an in year deficit in 2019/20.

The percentages of schools with an in year surplus in 2020/21 was similar to the overall figure, or higher, in all phases, except for the nursery school sector, where 71% of schools reported an in year surplus, with 29% operating an in year deficit.

2020/21 School Balances – No of Schools in Surplus/Deficit by Phase

Phase	Count of deficit close balance	Count of surplus close balance
Nursery	7	17
Primary	12	452
Secondary	5	43
Special	5	24
Short Stay	1	8
Total	30	544

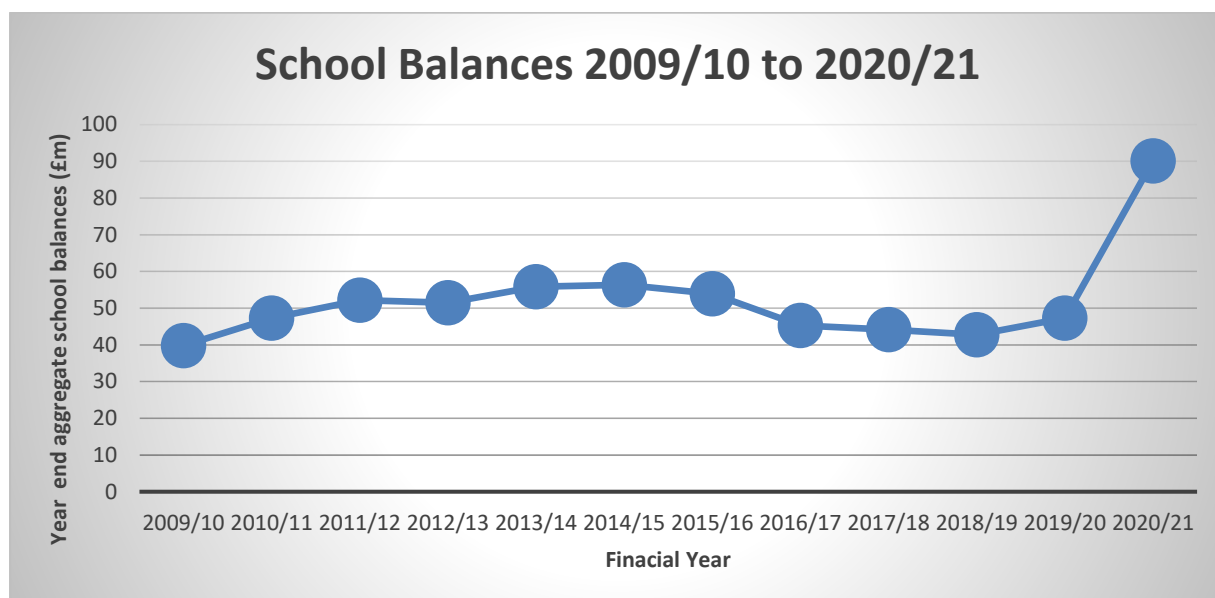
30 schools ended the 2020/21 financial year in deficit. The number of schools in deficit at 31 March 2021 has decreased from 41 schools in deficit a year earlier. The nursery sector has the most concerning analysis, with 41% percent of the schools ending the year in deficit. The LA had commenced a review of maintained nursery schools (MNS) in spring 2020, but this was paused due to the covid pandemic. It is intended that the review will recommence in the summer term 2021, and initial discussions have taken place with MNS headteachers.

A comparison showing the number of schools in deficit across recent years is provided below:

Year End	Number of schools in deficit
31 March 2021	30
31 March 2020	41
31 March 2019	39
31 March 2018	47
31 March 2017	40
31 March 2016	25
31 March 2015	18

As can be seen, the number of schools in deficit at year end is at its lowest level since March 2016. However, the 2021 figure may be artificially low, as many schools will have increased year end balances as the result of funding that could not be fully spent in 2020/21 due to the pandemic and in particular grant allocations that will need to be utilised in 2021/22 focussed on the covid educational recovery.

Aggregate School Balances by Year



The graph demonstrates the trend in aggregate school balances over recent years and shows the significant rise in the balances held by schools at March 2021, to their highest level ever, having previously peaked in 2014/15. As mentioned earlier, there may be covid related reasons for this shift in the year end position.

To provide context for the total school balances, the current authority guideline for schools is to have reserves equating to 12% of their total Combined Financial Reporting (CFR) income or a minimum of £60,000. This is to ensure that individual schools can withstand potential financial risks and financial stresses. If all Lancashire schools held the guideline balance, the total balance would have been circa £100m, compared to the actual balances held of circa £90m.

Support for Schools in Deficit

Despite the changing requirements brought about by Covid-19, the county council has continued to provide significant targeted support and enhanced monitoring and early warning around Schools in Financial Difficulty, with differing delivery methods being utilised during the pandemic.

Consideration is being given to recommencing physical finance service related school visits from September 2021, where schools favour this. We are aware that some schools may prefer to receive financial services remotely, whilst others may be keen to return to a face to face delivery. We intend to survey schools about their preferred options from September 2021.

Individual School Balances 2020/21

Attached at **Annex A** are details about the movement in balances at an individual school level in 2020/21. As previously requested by the Forum, in addition to the year-end balance by school, information is included in this annex setting out:

- Balance as a % of CFR income.
- Balance per pupil.

Members will be aware that for March 2021, due to a number of uncertainties related to covid, the Forum supported the suspension of clawback on school balances. The annex has previously included a figure relating to the 'Year-end balance adjusted for approved exemptions' for each school. This column is not necessary this year, as no exemptions need be applied if the application of clawback is suspended.

Clawback 2019/20

As Covid had already begun to impact on schools at the end of the 2019/20 financial year, including the year end procedures, the Forum had also agreed to suspend clawback at March 2020

This means that no clawback funding has been collected in 2019/20 or 2020/21.

School Balances and Clawback Policy 2021/22

Whilst clawback has been suspended, the guideline balance policy remained unchanged, as follows:

- 12% of Consistent Financial Reporting (CFR) income for all phases of maintained school
- A £60,000 minimum balance threshold will be applied.

The Forum are asked to consider the school balances and clawback policy to be applied at 31 March 2022.

Clearly, the year end position at 31 March 2021 has been impacted by the exceptional circumstances faced during the year. There has been a significant increase in aggregate school balances in 2020/21, but substantial funding held in the reserves is earmarked for use in 2021/22, much of this will relate to DfE grant funding that will be utilised to support pupils catch up on learning during 2021/22.

The annual Analysis of Balances Return to the authority shows that of the school balances held at 31 March 2021, £36m is committed across 473 schools. This compares to a figure of £6.8m identified by 157 schools at 31 March 2020 and may suggest that aggregate balances could reduce during 2021/22.

At the time of writing, the likelihood of further covid related national school lockdowns is reducing due to the vaccination rollout and testing, infection prevention and control protocols now in place across educational settings, but the future is far from certain with the emergence of new variants. Much of the focus for schools during the 2021/22 financial year will clearly be around spending on covid educational catch up, but this may impact on the ability of schools to spend on other areas that were perhaps priorities before the pandemic.

Representations about the application of clawback at 31 March 2022 have also been received on behalf of some Lancashire schools. A copy of the anonymised correspondence is provided at **Annex B**. Both representations asks that the forum consider suspending the application of clawback at March 2022, citing the exceptional circumstances that have caused the rise in schools balances and setting out some

difficulties that may be encountered in utilising the funding in 2021/22. One email raises issues relating to engaging trades people and what may happen if schools cannot manage this expenditure in a controlled and sensible way. One email also offers some alternative options if clawback suspension is not favoured by the Forum.

A number of schools balances and clawback options are available to the Forum for 2021/22, which include:

- a) Suspend the application of clawback at March 2022 due to the continued uncertainties around the COVID-19 pandemic.
- b) Reintroduce a clawback policy in 2021/22, as per previous arrangements set out below, or with amended rates:
 - A clawback rate of 50% is to be applied to any balance above guideline in the first year a school exceeds the guideline (after adjusting for exemptions)
 - A clawback rate of 100% is to be applied to any balance in excess of guideline where the guideline has been breached for two or more consecutive years (after adjusting for exemptions)

(Note: As clawback was suspended in 2020/21, no school would be subject to the 100% clawback rate in 2021/22).

- c) Suspend clawback in 2021/22, but give notice that it will be reintroduced at the end of 2022/23, if there are no significant covid related impacts in the intervening period.
- d) Other suggestions that members may have or have been suggested by Lancashire schools, including
 - Enabling schools to transfer above threshold Reserves into the Capital pot for future investment thereby ensuring that the individual school benefits and the authority school building infrastructure improves.
 - Increase of the threshold percentage – currently 12% to 20%.

Schools Budget Reserves 2020/21

The table below shows the 31 March 2021 position on Schools Budget Reserves.

Schools Reserves and Provisions	Opening Balance	Transfers In	Transfers Out	2020/21 Net	Closing Balance
	£m	£m	£m		£m
DSG Reserve					
Opening Balance	11.151				11.151
Underspend 20/21		4.969			4.969
Contribution to Nursery COVID exceptional costs			-0.024		-0.024
1 DSG Reserve	11.151	4.969	-0.024	4.945	16.096
Schools in Financial Difficulty					
Opening Balance	0.400				0.400
Unallocated schools income		0.113			0.113
Academy School balances/closures			-5.184		-5.184
Contribution from CYP to TWSF		5.051			5.051
Underspend 20/21		1.021			1.021
LA contribution		0.609			0.609
2 Schools in Financial Difficulty	0.400	6.794	-5.184	1.610	2.009
De-delegated Reserve					
Opening Balance	0.359				0.359
Underspend 20/21		0.006			0.006
De-delegated schools balances		0.402			0.402
3 De-delegated Reserve	0.359	0.408	0.000	0.408	0.767
Supply Teacher Reimbursement					
Opening Balance	1.037				1.037
Underspend 20/21		0.857			0.857
4 Supply Teacher Reimbursement	1.037	0.857	0.000	0.857	1.894
School Reserves					
Opening Balance	47.319				47.319
Schools operating in year surplus		39.760			39.760
Schools operating in year deficit			-2.203		-2.203
Academy conversion/Closed Schools		5.276			5.276
5 School Reserves	47.319	45.035	-2.203	42.832	90.151
Total Schools Reserves and Provisions	60.266	58.063	-7.411	50.652	110.918

Further information about the year end reserves are provided below:

1. DSG Reserve

Details of the circa £5m underspend on the Schools Budget in 2020/21 are set out in the separate Schools Budget Outturn report. The other in year adjustment on the DSG reserve relates to the one-off covid payment agreed by the Forum for early years providers. The final amount charged to the DSG reserve is only £24k, which concerns support specifically provided for maintained nursery schools.

The original estimate for the cost of support to DSG funded early years providers was circa £600k, as the forecast cost also included allocations to PVI providers. During discussions around the source of funding for these allocations, the county council ultimately agreed to meet the costs for all the PVI support (LCC had already agreed to meet the costs of one-off covid allocations for early years PVI providers not in receipt of DSG funding) from its own covid allocation. Further information is provided in section 2 below.

The DSG reserve therefore ended the year with a balance of £16.096m

2. Schools in Financial Difficulty Reserve

The Schools Balances report for 2019/20 set out the pressures facing the Schools in Financial Difficulty (SIFD) de-delegation reserve. The reserve balance at March 2020 stood at £0.4m, but there were significant short and medium term risks facing the reserve, not least from the planned closure of a school with significant structural deficit during 2020/21. There are also other possible closures and sponsored academy conversions going forward.

As members will be aware, convertor academies take a surplus or deficit balance with them to their academy trust, whereas the balance at a sponsored academy remains with the LA "to be funded from its core budget. School deficits are not an allowable charge on the LA's schools budget (funded by its allocation of Dedicated Schools Grant); however, if the schools forum has agreed to de-delegate a contingency provision, then the deficit may be funded from that contingency, depending on the criteria agreed for its use."

Similarly, provisions in the statutory Scheme for Financing Schools guidance indicates that "When a school closes, any balance (whether surplus or deficit) reverts to the Authority".

Historically, the Schools Forum had created a 'structural deficits' reserve in the Dedicated Schools Grant (DSG) in response to the risks associated with the possible closure/academisation of schools with deficit budgets.

Following the introduction of the DfE guidance on balances for school academisations, a consultation was held with schools proposing to delegate this reserve to schools and then create a de-delegation, so that the funding could continue to be used for its original purpose. Following the consultation, the Schools Forum agreed to this de-

delegation from primary and secondary schools in 2017/18. A similar 'group buy-back' arrangement was agreed by special schools and PRUs.

The total funding available in 2017/18 was circa £4.5m and has been added to in the subsequent years from clawback of excess balances, underspends on the general SIFD de-delegation, and any positive balances from closing schools or sponsored academisations. This funding has been used to manage the risk when schools close, or become sponsored academies from a deficit budget position. However, as part of the strategy to deal with schools with significant structural deficits, a small number of schools with very high cumulative deficits have been closed or academised in recent years, leaving only a small balance available at March 2020.

As noted above, during 2020/21 three primary schools and a secondary school became academies and a secondary school and a Pupil Referral Unit closed.

The net financial impact of the academisations from sponsored academies and school closures during 2020/21 was a circa £5.2m increase in aggregate school balances at 31 March 2021, which are charged to the Forum's SIFD reserve, with over £5m of this resulting from the closure of a secondary school with a significant cumulative deficit balance.

As the Forum's SIFD de-delegation reserve was insufficient to meet the closure costs for this secondary school and the responsibility for these costs rests with the LA's core budget, the county council has contributed just over £5m in 2020/21 to meet the deficit balance of the secondary school at closure.

Other adjustments in year relate to the unallocated income being added to the reserve. This income accumulates when money is received in the county councils schools' income account but it cannot be identified which school should receive the proceeds. Ongoing efforts are being made to trace and allocate this income correctly, so the £0.1m figure is expected to reduce in 2021/22, but a residue of school income often remains unclaimed.

A circa £1m underspend has accumulated in year relating to the ongoing de-delegated SIFD support. The level of expenditure in year has been affected by the pandemic and it is expected that there should be increased expenditure in 2021/22, subject to any further covid impact.

Finally, the county council's circa £0.6m additional contribution to the one-off covid support to early years PVI providers was also coded to this reserve.

This leaves the final year end position on the reserve at just over £2m. It should be noted that there remain significant medium term costs associated with the closure/academisation of schools in deficit that may well exceed the provisions in the reserve, and an ongoing dialogue continues with the county council around any contribution that may be required to meet relevant liabilities going forward.

3. De-Delegation Reserve

The de-delegation reserve ended the year with a surplus of circa £0.8m.

There was a marginal underspend on de-delegations in year during 2020/21.

However, an adjustment of circa £0.4m was included in the year end accounts in connection with 'De-delegated schools balances'. This adjustment related to inclusion hub funding, which has been delegated to banker schools at the start of the year. However, in year expenditure was curtailed due to the covid pandemic. So that individual school balances at certain banker schools were not artificially high, which would impact on school year end balances reporting and national benchmarking, this funding was held by the LA for year end accounting purposes. The funding will be redistributed to the relevant banker schools in early 2021/22, for use for its original purpose and a more realistic balance on this reserve is circa £0.4m.

4. School Teaching and Support Staff Supply Reimbursement Scheme

The staff reimbursement scheme ended the year with an underspend of circa £0.9m, leaving an outturn position of circa £1.9m.

Almost all the in year underspend is attributable to the teaching staff element of the scheme, but an underspend of some £30k was reported in connection to the support staff scheme. Members will recall that the support staff scheme has remained in a deficit position since its inception, and this is the first time the scheme has generated a year end surplus.

Clearly the 2020/21 outturn position has been significantly impacted by school closures during national covid lockdowns, where, thanks to the diligence and flexibility demonstrated by the vast majority of scheme members, supply costs were substantially reduced.

The Forum has previously agreed that any year end balance above £1.25m should be redistributed to scheme members. However, the Forum may wish to hold this reserve for the time being, as there remains significant uncertainty around possible covid implications during 2021/22. The scheme offer for 2021/22 has committed to continue the flexible approach introduced in 2020/21 to support scheme members during the pandemic, which can include reimbursing costs associated with covid self-isolation and some ongoing shielding absences, under certain circumstances. If these costs, together with any ongoing sickness absences costs, which may be higher due to covid, are not offset by any national or local lockdowns, there could be significant pressure on the scheme reserve.

If a more stable position is emerging at the end of 2021/22, the Forum could then choose to use some reserve surplus to hold or reduce scheme premiums for 2022/23 or redistribute the funding to scheme members at that point.

In any case, the Forum may wish to reevaluate the level of reserve required in order to mitigate the possible implications of a pandemic, and a reserve level of say £1.5m may be more appropriate.

5. School Reserves

As set out earlier in the report, school balances increased to just over £90m at the end of 2020/21, when school closure/academisation adjustments are taken into account.

The total of all schools reserves is therefore £110.918m at 31 March 2021.

Sch No	DfE No	School Name	Phase	Revenue open balance 1/04/20	Revenue in year movement 20/21	Revenue close balance 31/03/21	Revenue CFR income	NOR	Balance as % of CFR income	Balance per pupil
01162	1049	Appletree Nursery	NURSERY	-£170,155	-£12,886	-£183,042	£327,336	56	-56%	-£3,269
06160	1021	Stoneygate Nursery	NURSERY	£6,575	£7,736	£14,311	£452,500	68	3%	£210
08160	1018	Moorgate Nursery	NURSERY	£7,387	-£40,011	-£32,624	£169,059	21	-19%	-£1,554
09160	1003	Highfield Nursery	NURSERY	£31,938	£21,514	£53,452	£426,778	64	13%	£835
09161	1002	Duke Street Nursery	NURSERY	£56,908	£8,553	£65,461	£517,382	93	13%	£704
11160	1000	Lee Royd Nursery	NURSERY	£37,270	-£6,366	£30,904	£447,834	71	7%	£435
11161	1024	Fairfield Nursery	NURSERY	£58,760	-£26,997	£31,762	£451,783	68	7%	£467
11162	1027	Ribblesdale Nursery	NURSERY	£9,250	£13,696	£22,946	£324,642	37	7%	£620
12166	1008	Ightenhill Nursery	NURSERY	£56,147	£37,311	£93,458	£359,131	64	26%	£1,460
12168	1001	Rockwood Nursery	NURSERY	£48,183	£46,409	£94,592	£557,925	91	17%	£1,039
12169	1007	Rosegrove Nursery	NURSERY	£50,143	£9,925	£60,068	£463,255	62	13%	£969
12171	1011	Stoneyholme Nursery	NURSERY	£47,695	£80,360	£128,055	£489,714	78	26%	£1,642
12172	1035	Whitegate Nursery	NURSERY	-£24,890	£4,264	-£20,626	£481,384	84	-4%	-£246
12173	1047	Basnett Street Nursery	NURSERY	£51,771	£48,121	£99,892	£568,198	70	18%	£1,427
12174	1048	Taywood Nursery	NURSERY	-£158,500	-£20,381	-£178,881	£635,239	91	-28%	-£1,966
12175	1050	Reedley Hallows Nursery	NURSERY	£96,602	£33,002	£129,604	£815,869	124	16%	£1,045
13160	1015	Bradley Nursery	NURSERY	£97,266	£44,779	£142,044	£940,529	162	15%	£877
13161	1016	Walton Lane Nursery	NURSERY	£53,108	£41,150	£94,259	£1,062,107	67	9%	£1,407
13162	1026	Woodfield Nursery	NURSERY	£58,532	£30,968	£89,500	£485,436	65	18%	£1,377
13163	1034	Nelson Mcmillan Nursery	NURSERY	-£73,913	£56,246	-£17,667	£384,058	36	-5%	-£491
13164	1028	Colne Newtown Nursery	NURSERY	-£41,979	-£63,427	-£105,406	£529,239	66	-20%	-£1,597
14161	1031	Hillside Nursery	NURSERY	-£39,009	£32,941	-£6,068	£326,684	53	-2%	-£114
14162	1037	Bacup Nursery	NURSERY	£50,215	-£3,017	£47,198	£495,645	81	10%	£583
14163	1046	Staghills Nursery	NURSERY	£73,270	£18,074	£91,344	£661,987	81	14%	£1,128
01001	2017	Bowerham Community	PRIMARY	£111,297	£102,449	£213,746	£2,143,536	417	10%	£513
01002	2019	Dallas Road Community	PRIMARY	£134,602	£83,723	£218,326	£1,865,309	416	12%	£525
01003	2024	Willow Lane Community	PRIMARY	£119,488	£27,607	£147,095	£1,214,721	194	12%	£758
01005	3530	Christ Church CE	PRIMARY	£62,854	£49,175	£112,029	£968,067	205	12%	£546
01006	3531	Scotforth St Paul's CE	PRIMARY	£88,997	£32,185	£121,182	£1,019,232	203	12%	£597
01008	3705	St Josephs. Lancaster	PRIMARY	£100,223	-£21,323	£78,900	£1,186,932	181	7%	£436
01009	3533	Skerton St Luke's CE	PRIMARY	£27,705	£93,144	£120,848	£1,125,689	203	11%	£595
01010	3706	The Cathedral Catholic	PRIMARY	£106,011	£53,131	£159,142	£992,976	201	16%	£792
01011	2020	Lancaster Ridge Primary	PRIMARY	£148,268	£70,229	£218,497	£1,096,220	140	20%	£1,561
01012	2021	Lancaster Ryelands	PRIMARY	£207,941	£88,450	£296,391	£2,390,354	352	12%	£842
01013	3520	Arkholme CE Primary	PRIMARY	£18,994	£33,378	£52,372	£494,308	85	11%	£616
01014	3521	Caton St Paul's CE	PRIMARY	£108,129	£46,765	£154,894	£810,234	173	19%	£895
01015	2370	Moorside Primary	PRIMARY	£61,917	£266,243	£328,160	£2,823,223	604	12%	£543
01016	3527	St Wilfrid's CE. Halton	PRIMARY	£130,829	£148,722	£279,551	£1,043,934	242	27%	£1,155
01017	3528	Hornby St Margaret's CE	PRIMARY	£56,573	£6,592	£63,165	£346,572	49	18%	£1,289
01018	2031	Nether Kellet Community	PRIMARY	£66,047	£10,537	£76,584	£554,527	114	14%	£672
01019	3670	Ov Kell Wilson's Endowed	PRIMARY	£18,543	-£20,434	-£1,890	£635,472	137	0%	-£14
01020	3534	Leck St Peter's CE	PRIMARY	£45,008	£16,893	£61,901	£302,992	38	20%	£1,629
01021	3535	Melling St Wilfrid CE	PRIMARY	£47,923	£1,859	£49,782	£296,427	30	17%	£1,659
01022	3082	Quernmore CE Primary	PRIMARY	£24,492	£11,964	£36,456	£518,577	97	7%	£376
01023	3084	Tatham Fells CE Primary	PRIMARY	£41,659	£23,825	£65,483	£316,342	43	21%	£1,523
01024	3607	St Bernadette's Catholic	PRIMARY	£94,139	£45,008	£139,147	£883,307	212	16%	£656
01025	2653	Caton Community Primary	PRIMARY	-£6,004	£9,446	£3,442	£297,232	47	1%	£73
01027	3017	Wray With Botton Endowed	PRIMARY	£58,023	£33,837	£91,860	£390,199	51	24%	£1,801
01028	3519	Cfth Christ Church CE	PRIMARY	£57,828	-£4,990	£52,838	£572,563	120	9%	£440
01029	3543	Slyne-With-Hest St Lukes	PRIMARY	£46,703	£11,754	£58,456	£997,589	231	6%	£253
01030	3518	Bolton-Le-Sands CE	PRIMARY	£55,262	£53,028	£108,290	£1,309,998	307	8%	£353
01031	3703	Our Lady Of Lourdes	PRIMARY	£54,685	-£7,374	£47,310	£524,782	73	9%	£648
01032	3168	Archbishop Hutton's	PRIMARY	£30,132	£10,782	£40,914	£562,010	102	7%	£401
01034	3551	Yealand CE Primary	PRIMARY	£23,409	£45,320	£68,729	£311,330	23	22%	£2,988
01035	3542	Silverdale St John's CE	PRIMARY	£59,378	-£7,597	£51,781	£441,115	68	12%	£761
01036	3546	Thurnham Glasson CE	PRIMARY	£35,215	£30,064	£65,280	£254,301	16	26%	£4,080
01038	3522	Cockerham Parochial CE	PRIMARY	£8,829	-£10,847	-£2,018	£454,794	87	0%	-£23
01039	3524	Dolphinholme CE Primary	PRIMARY	£60,152	-£2,694	£57,458	£566,211	88	10%	£653
01041	3525	Ellel St John CE	PRIMARY	£86,639	£21,175	£107,813	£946,414	216	11%	£499
01042	3539	Abbeystead Cawthorn End	PRIMARY	£37,153	£17,900	£55,053	£273,180	27	20%	£2,039
01044	2014	Carnforth North Road	PRIMARY	-£8,353	£23,787	£15,434	£751,006	131	2%	£118
01046	3538	Overton St Helen's CE	PRIMARY	£86,843	£65,770	£152,613	£825,313	176	18%	£867

Sch No	DfE No	School Name	Phase	Revenue open balance 1/04/20	Revenue in year movement 20/21	Revenue close balance 31/03/21	Revenue CFR income	NOR	Balance as % of CFR income	Balance per pupil
01049	2576	Great Wood Primary	PRIMARY	£159,841	£105,977	£265,818	£1,813,101	415	15%	£641
01050	2425	Torrisholme Community	PRIMARY	£143,016	£30,972	£173,988	£1,770,699	414	10%	£420
01051	2025	Morecambe Bay Community	PRIMARY	£18,081	-£18,015	£66	£1,844,129	283	0%	£0
01052	2029	West End Primary	PRIMARY	£103,072	£59,100	£162,172	£1,180,354	194	14%	£836
01053	2028	Sandylands Community	PRIMARY	£152,817	£71,686	£224,503	£2,289,770	401	10%	£560
01054	2027	Lancaster Road Primary	PRIMARY	£200,692	£213,281	£413,973	£2,887,539	566	14%	£731
01055	3537	Poulton-Le-Sands CE	PRIMARY	£113,179	-£2,533	£110,646	£949,205	175	12%	£632
01056	3536	Heysham St Peter's CE	PRIMARY	£85,444	£38,772	£124,216	£1,055,166	247	12%	£503
01057	3707	St Mary's Catholic Morecambe	PRIMARY	£108,597	£125,345	£233,942	£1,019,680	176	23%	£1,329
01058	2368	Trumacar Community	PRIMARY	£205,841	£110,604	£316,445	£1,833,531	356	17%	£889
01059	3605	St Patrick's. Morecambe	PRIMARY	£26,259	£104,239	£130,498	£1,055,471	206	12%	£633
01060	2827	Westgate Primary School	PRIMARY	£115,743	£144,750	£260,493	£2,926,602	600	9%	£434
01061	2831	Grosvenor Park Primary	PRIMARY	£55,729	£62,497	£118,226	£1,488,142	311	8%	£380
01062	2832	Mossgate Primary	PRIMARY	£103,452	£14,794	£118,247	£1,065,852	210	11%	£563
02001	2396	Carr Head Primary	PRIMARY	£108,157	£51,921	£160,077	£1,003,446	210	16%	£762
02002	2541	The Breck Primary	PRIMARY	£136,289	£31,965	£168,254	£1,498,345	261	11%	£645
02003	2622	Carleton Green Community	PRIMARY	£213,627	-£4,278	£209,349	£1,519,856	304	14%	£689
02005	3570	St Chad's CE Primary	PRIMARY	£40,141	£40,081	£80,223	£1,021,693	243	8%	£330
02006	3719	St John's. Poulton	PRIMARY	£71,677	£8,541	£80,218	£877,046	211	9%	£380
02007	3571	Carleton St Hilda's CE	PRIMARY	£46,992	£36,081	£83,073	£885,805	200	9%	£415
02008	2822	Chaucer Community	PRIMARY	£62,973	£130,552	£193,525	£1,662,883	264	12%	£733
02009	3709	St Mary's Catholic Fleetwood	PRIMARY	£44,225	£26,669	£70,894	£825,309	162	9%	£438
02013	2527	Larkholme Primary	PRIMARY	£26,880	£12,648	£39,528	£1,343,247	286	3%	£138
02014	2404	Charles Saer Community	PRIMARY	£138,346	£144,216	£282,562	£1,897,269	304	15%	£929
02016	2821	Shakespeare Primary	PRIMARY	£140,132	£146,001	£286,133	£1,952,705	418	15%	£685
02017	3711	St Wulstans & St Edmunds	PRIMARY	£25,638	£51,969	£77,606	£1,281,979	227	6%	£342
02018	2836	Fleetwood Flakefleet	PRIMARY	£86,667	£129,441	£216,108	£2,568,504	410	8%	£527
02019	3126	Carter's Charity Primary	PRIMARY	£95,436	£33,408	£128,844	£933,762	181	14%	£712
02020	3572	Fleetwood's Charity CE	PRIMARY	£89,134	£51,039	£140,174	£743,001	118	19%	£1,188
02022	3568	Pilling St John's CE	PRIMARY	£64,444	£11,991	£76,434	£560,136	95	14%	£805
02023	3718	St William's Catholic	PRIMARY	£16,525	-£11,237	£5,289	£330,629	30	2%	£176
02024	3554	Great Eccleston Copp CE	PRIMARY	£23,618	£14,712	£38,329	£691,919	128	6%	£299
02025	3712	St Mary's. Gt Eccleston	PRIMARY	£18,175	-£3,585	£14,590	£259,831	36	6%	£405
02027	2045	Stalmine Primary	PRIMARY	£32,905	£22,872	£55,777	£497,161	86	11%	£649
02030	2517	Stanah Primary	PRIMARY	£191,058	£72,243	£263,302	£1,695,728	396	16%	£665
02031	2492	Northfold Community	PRIMARY	£117,233	£78,268	£195,501	£995,779	206	20%	£949
02032	3720	Sacred Heart. Thornton	PRIMARY	£104,459	£18,209	£122,668	£978,577	205	13%	£598
02033	3125	Baines Endowed Primary	PRIMARY	£71,116	£68,856	£139,972	£1,045,784	209	13%	£670
02035	2047	Thornton Primary	PRIMARY	£14,665	£37,924	£52,589	£806,157	127	7%	£414
02036	2048	Royles Brook Primary	PRIMARY	£160,110	-£15,444	£144,667	£1,388,116	288	10%	£502
02037	3016	Kirkland St Helen's CE	PRIMARY	£101,412	-£10,317	£91,095	£668,803	149	14%	£611
02038	2030	Nateby Primary	PRIMARY	£20,435	£10,905	£31,340	£454,150	85	7%	£369
02039	2016	Forton Primary	PRIMARY	£58,743	£17,128	£75,871	£396,266	63	19%	£1,204
02040	3548	St Michael's-On-Wyre CE	PRIMARY	£45,489	£27,909	£73,399	£667,267	119	11%	£617
02042	3704	St Mary's. Cloughton	PRIMARY	£24,100	£39,497	£63,598	£307,020	40	21%	£1,590
02043	3516	Bilsborrow John Cross CE	PRIMARY	£26,760	£46,461	£73,222	£493,582	71	15%	£1,031
02044	3515	Calder Vale St Johns CE	PRIMARY	£46,219	£5,579	£51,798	£351,742	18	15%	£2,878
02045	3529	Inskip St Peter's CE	PRIMARY	£26,168	£34,989	£61,157	£356,817	59	17%	£1,037
02046	3526	Garstang St Thomas' CE	PRIMARY	£66,235	£85,496	£151,731	£990,711	209	15%	£726
02047	3550	Winmarleigh CE Primary	PRIMARY	£56,457	£40,958	£97,415	£315,395	25	31%	£3,897
02048	3668	Scorton CE Primary	PRIMARY	£52,586	-£7,031	£45,556	£368,298	50	12%	£911
02049	2530	Garstang Community	PRIMARY	£46,329	£41,094	£87,423	£899,967	206	10%	£424
02050	3702	Ss Mary & Michael	PRIMARY	£38,026	£7,269	£45,295	£665,409	129	7%	£351
02051	3075	Staining CE Primary	PRIMARY	£103,994	-£4,304	£99,690	£971,089	225	10%	£443
02052	2826	Manor Beach Primary	PRIMARY	£107,386	£87,140	£194,527	£1,286,890	215	15%	£905
04029	3575	Weeton St Michael's CE	PRIMARY	£35,832	£30,386	£66,218	£368,651	53	18%	£1,249
04030	3573	Ribby W Wrea Endowed CE	PRIMARY	£54,279	£53,511	£107,789	£755,389	155	14%	£695
04031	3552	Warton St Paul's CE	PRIMARY	£27,207	£31,959	£59,167	£205,549	academised	N/A	N/A
04032	3553	Freckleton CE Primary	PRIMARY	£71,262	£18,324	£89,585	£960,273	195	9%	£459
04033	3574	Singleton CE Primary	PRIMARY	£44,915	£32,381	£77,296	£518,816	103	15%	£750
04034	5200	Newton Bluecoat CE	PRIMARY	£37,944	£60,565	£98,509	£999,617	196	10%	£503
04035	3616	Holy Family. Warton	PRIMARY	£76,058	£9,946	£86,003	£631,689	127	14%	£677

Sch No	DfE No	School Name	Phase	Revenue open balance 1/04/20	Revenue in year movement 20/21	Revenue close balance 31/03/21	Revenue CFR income	NOR	Balance as % of CFR income	Balance per pupil
04036	2446	Freckleton Strike Lane	PRIMARY	£44,198	-£4,330	£39,868	£881,646	184	5%	£217
04037	3557	Kirkham St Michael's CE	PRIMARY	£115,118	-£43,022	£72,096	£935,098	188	8%	£383
04038	3713	The Willows Catholic	PRIMARY	£107,171	£47,948	£155,119	£952,913	213	16%	£728
04039	2041	Kirkham & Wesham Primary	PRIMARY	£47,636	£42,893	£90,529	£980,990	195	9%	£464
04040	3717	St Joseph's. Wesham	PRIMARY	£38,316	£38,932	£77,248	£574,825	94	13%	£822
04041	3565	Medlar-With-Wesham CE	PRIMARY	£108,748	£41,986	£150,734	£885,552	193	17%	£781
04042	3976	Treales CE Primary	PRIMARY	£54,937	£6,935	£61,872	£376,594	60	16%	£1,031
04043	2406	Weeton Primary	PRIMARY	£127,021	£101,413	£228,434	£1,103,034	126	21%	£1,813
04044	2426	Lytham St Annes Mayfield	PRIMARY	£67,532	£62,853	£130,384	£1,559,057	288	8%	£453
04045	2497	Lytham St Annes Clifton	PRIMARY	£32,124	£59,300	£91,425	£1,221,847	261	7%	£350
04046	2042	Lytham St Annes Ansdell	PRIMARY	£54,262	£30,341	£84,603	£1,099,812	244	8%	£347
04047	3814	Heyhouses Endowed CE	PRIMARY	£14,158	£166,759	£180,917	£2,709,367	608	7%	£298
04048	3715	Our Lady Star Of The Sea	PRIMARY	£88,389	£32,591	£120,980	£1,021,434	210	12%	£576
04049	3562	Lytham CE Primary	PRIMARY	£46,668	£34,763	£81,431	£1,091,901	216	7%	£377
04050	3716	St Peter's Catholic	PRIMARY	£46,973	-£15,298	£31,676	£1,000,026	208	3%	£152
04051	3564	St Thomas' CE Primary	PRIMARY	£24,238	£64,478	£88,717	£936,292	195	9%	£455
04052	2615	Lytham Hall Park	PRIMARY	£177,732	£54,449	£232,181	£1,771,149	397	13%	£585
06001	3638	Blessed Sacrament	PRIMARY	£185,081	£112,874	£297,956	£2,109,293	364	14%	£819
06002	2185	Brookfield Community	PRIMARY	£25,157	£89,046	£114,203	£1,030,738	176	11%	£649
06005	2188	Eldon Primary	PRIMARY	£131,922	£107,520	£239,443	£1,268,826	204	19%	£1,174
06007	3639	English Martyrs Catholic	PRIMARY	£96,113	£12,974	£109,088	£1,133,656	202	10%	£540
06008	2189	Brockholes Wood Primary	PRIMARY	£59,675	£108,584	£168,259	£1,341,065	227	13%	£741
06009	2190	Frenchwood Community	PRIMARY	£84,441	£152,774	£237,215	£1,753,093	298	14%	£796
06010	2191	Preston Grange Primary	PRIMARY	£115,855	£97,852	£213,708	£1,125,204	189	19%	£1,131
06011	2192	Greenlands Community	PRIMARY	£76,135	£45,408	£121,543	£1,162,860	205	10%	£593
06012	2193	Holme Slack Community	PRIMARY	£77,457	£126,009	£203,466	£1,403,365	206	14%	£988
06013	3653	Holy Family Catholic	PRIMARY	£68,606	£61,421	£130,027	£883,810	145	15%	£897
06014	2200	Ingol Primary	PRIMARY	£78,007	£105,736	£183,743	£1,067,683	183	17%	£1,004
06016	2196	Moor Nook Community	PRIMARY	£147,467	£53,442	£200,909	£1,266,780	193	16%	£1,041
06019	2195	Ribbleton Avenue Infant	PRIMARY	£72,444	£66,972	£139,417	£1,261,870	201	11%	£694
06020	3001	Ribbleton Ave Meth Jnr	PRIMARY	£144,222	£81,164	£225,386	£1,447,691	259	16%	£870
06021	2197	The Roebuck	PRIMARY	£68,815	£121,183	£189,998	£1,614,777	294	12%	£646
06022	3642	Sacred Heart. Preston	PRIMARY	£113,154	£132,196	£245,350	£1,003,171	184	24%	£1,333
06023	3634	St Andrew's CE	PRIMARY	£178,482	£51,907	£230,389	£1,771,236	421	13%	£547
06024	3643	St Augustine's Catholic	PRIMARY	£77,446	£136,706	£214,151	£1,256,280	264	17%	£811
06025	3352	St Bernard's Catholic	PRIMARY	£114,335	£38,792	£153,127	£1,103,599	213	14%	£719
06026	3646	St Gregory's Catholic	PRIMARY	£94,529	£44,045	£138,573	£1,034,387	211	13%	£657
06027	3647	St Ignatius Catholic	PRIMARY	£112,239	£27,755	£139,994	£970,955	161	14%	£870
06028	3322	St Joseph's. Preston	PRIMARY	£187,216	£125,091	£312,307	£1,774,874	304	18%	£1,027
06029	3645	St Maria Goretti	PRIMARY	£114,416	£9,979	£124,394	£1,049,359	185	12%	£672
06030	3636	Preston St Matthews CE	PRIMARY	£73,367	£170,366	£243,733	£2,226,552	397	11%	£614
06031	3009	St Stephens CE	PRIMARY	£147,019	£114,760	£261,779	£1,496,522	275	17%	£952
06033	2198	Ashton Primary	PRIMARY	£104,328	£82,266	£186,593	£1,165,846	208	16%	£897
06035	2704	Preston Fishwick Primary	PRIMARY	£80,559	£52,345	£132,904	£1,170,028	112	11%	£1,187
06036	3954	St Teresa's. Preston	PRIMARY	£64,503	£87,277	£151,780	£1,085,145	158	14%	£961
06037	2054	Lea Community Primary	PRIMARY	£67,892	£26,063	£93,955	£1,338,377	235	7%	£400
06038	3582	Lea Neeld's Endowed CE	PRIMARY	£57,664	£16,879	£74,542	£723,130	139	10%	£536
06039	3726	Lea St Mary's Catholic	PRIMARY	£58,229	£31,461	£89,691	£522,384	103	17%	£871
06040	2062	Catforth Primary	PRIMARY	£59,429	£55,441	£114,870	£488,124	70	24%	£1,641
06041	2818	Sherwood Primary	PRIMARY	£176,861	£70,708	£247,568	£1,861,110	423	13%	£585
06042	2838	Cottam Primary	PRIMARY	£30,089	£51,398	£81,488	£967,916	211	8%	£386
06043	3597	Woodplumpton St Annes CE	PRIMARY	£24,764	£22,949	£47,713	£554,417	103	9%	£463
06044	3578	Broughton CE Primary	PRIMARY	£62,563	£24,079	£86,642	£1,252,436	258	7%	£336
06046	3577	Barton St Lawrence CE	PRIMARY	£61,085	£36,857	£97,942	£820,193	189	12%	£518
06047	3339	St Marys & St Andrews	PRIMARY	£79,821	£32,719	£112,540	£644,434	121	17%	£930
06048	3579	Oliverson's CE Primary	PRIMARY	£45,409	£26,511	£71,920	£741,951	185	10%	£389
06049	3725	St Francis Catholic	PRIMARY	£66,944	£14,858	£81,802	£606,674	92	13%	£889
06050	2053	Goosnargh Whitechapel	PRIMARY	£57,288	£22,156	£79,444	£474,290	77	17%	£1,032
06051	3580	Grimsargh St Michael's	PRIMARY	£89,173	£48,318	£137,491	£1,020,664	210	13%	£655
06052	3601	Our Lady & St Edward's	PRIMARY	£100,316	£39,798	£140,114	£1,073,081	213	13%	£658
06053	3949	St Anthony's Catholic	PRIMARY	£80,510	£22,683	£103,193	£1,380,376	314	7%	£329
06054	3611	St Clare's Catholic	PRIMARY	£90,884	£55,858	£146,742	£1,092,694	254	13%	£578

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06055	2052	Kennington Primary	PRIMARY	£39,098	£40,526	£79,624	£1,190,185	246	7%	£324
06056	3301	Fulwood St Peter's CE	PRIMARY	£97,701	£44,793	£142,494	£1,077,785	215	13%	£663
06057	2050	Fulwood & Cadley Primary	PRIMARY	£102,576	£132,472	£235,047	£1,429,417	311	16%	£756
06058	2051	Harris Primary	PRIMARY	£85,060	£48,099	£133,159	£968,899	211	14%	£631
06060	2509	Queen's Drive Primary	PRIMARY	£151,311	£261,461	£412,772	£1,977,188	445	21%	£928
06062	2703	Pool House Community	PRIMARY	£56,671	£100,978	£157,649	£1,077,192	167	15%	£944
06064	3129	Brabin's Endowed	PRIMARY	£50,309	-£9,377	£40,932	£440,446	73	9%	£561
06065	3743	St Mary's. Chipping	PRIMARY	£60,376	-£4,163	£56,213	£316,909	35	18%	£1,606
06066	3727	Alston Lane Catholic	PRIMARY	£101,072	£76,483	£177,555	£1,025,819	190	17%	£935
06067	3583	Longridge CE Primary	PRIMARY	£93,352	£20,712	£114,064	£781,315	168	15%	£679
06068	5203	Barnacre Rd Primary	PRIMARY	£7,169	£5,256	£12,425	£941,874	171	1%	£73
06069	3728	St Wilfrid's. Longridge	PRIMARY	£101,442	£89,174	£190,617	£894,720	170	21%	£1,121
06070	3589	Ribchester St Wilfrid's	PRIMARY	£20,135	£34,365	£54,500	£478,750	66	11%	£826
06071	2833	Longsands Community	PRIMARY	£136,276	£56,328	£192,604	£981,468	201	20%	£958
06604	2187	Deepdale Infants	PRIMARY	£213,109	£139,166	£352,274	£3,010,867	602	12%	£585
07001	2842	Cuerden Church	PRIMARY	£45,829	£81,784	£127,613	£987,875	198	13%	£645
07004	3736	Our Lady & St Gerards RC	PRIMARY	£176,182	£133,443	£309,626	£1,537,533	311	20%	£996
07005	3127	Higher Walton CE Primary	PRIMARY	£72,122	-£26,015	£46,107	£606,838	112	8%	£412
07006	3738	St Patrick's RC Primary	PRIMARY	£54,640	£8,904	£63,543	£906,247	182	7%	£349
07007	3085	St Aidan's CE Primary	PRIMARY	£52,805	£30,802	£83,607	£788,935	125	11%	£669
07008	3596	St Leonard's CE Primary	PRIMARY	£100,180	£3,831	£104,010	£1,219,215	277	9%	£375
07009	2060	Lostock Hall Community	PRIMARY	£194,167	£75,568	£269,736	£1,881,015	401	14%	£673
07012	2437	Walton-Le-Dale Community	PRIMARY	£162,723	-£7,020	£155,703	£2,010,563	406	8%	£384
07013	2637	Coupe Green Primary	PRIMARY	£71,373	-£2,156	£69,217	£682,830	138	10%	£502
07014	3981	St Mary & Benedict's RC	PRIMARY	£142,099	£112,609	£254,708	£1,367,224	291	19%	£875
07015	3025	St Andrew's CE Infant	PRIMARY	£36,021	£112,199	£148,220	£1,105,820	172	13%	£862
07016	3141	Leyland Methodist Junior	PRIMARY	£112,815	£103,406	£216,221	£1,260,121	271	17%	£798
07017	3411	Leyland St James CE	PRIMARY	£113,311	£83,093	£196,403	£1,223,447	229	16%	£858
07018	3793	St Mary's RC. Leyland	PRIMARY	£60,099	£146,627	£206,725	£1,449,155	251	14%	£824
07019	2150	Woodlea Junior	PRIMARY	£88,986	£108,857	£197,843	£1,190,763	225	17%	£879
07020	2554	Lever House Primary	PRIMARY	£135,491	£113,523	£249,014	£1,342,101	302	19%	£825
07021	3608	St Catherine's Catholic	PRIMARY	£62,469	£59,036	£121,504	£1,016,983	225	12%	£540
07022	3600	St Anne's Catholic	PRIMARY	£63,093	£45,840	£108,933	£983,532	189	11%	£576
07024	2837	Northbrook Primary	PRIMARY	£71,173	£23,834	£95,007	£234,640	academised	N/A	N/A
07025	2427	Seven Stars Primary	PRIMARY	£61,156	£15,747	£76,903	£1,392,804	200	6%	£385
07026	2814	Moss Side Primary	PRIMARY	£124,244	£70,865	£195,109	£1,189,470	249	16%	£784
07028	3666	Farington St Paul's CE	PRIMARY	£71,106	£98,567	£169,673	£932,274	190	18%	£893
07029	2049	Farington Primary	PRIMARY	-£10,463	-£17,886	-£28,348	£824,377	academised	N/A	N/A
07030	2830	Longton Primary	PRIMARY	£78,456	-£7,722	£70,734	£948,194	209	7%	£338
07032	3729	St Oswald's. Longton	PRIMARY	£102,469	£45,189	£147,658	£1,024,757	242	14%	£610
07033	3585	New Longton All Saints'	PRIMARY	£75,369	£47,604	£122,974	£910,543	214	14%	£575
07036	3586	Hoole St Michael CE	PRIMARY	£57,315	-£20,258	£37,056	£505,678	92	7%	£403
07037	2055	Little Hoole Primary	PRIMARY	£39,117	-£19,322	£19,794	£881,390	193	2%	£103
07039	3018	Cop Lane CE Primary	PRIMARY	£84,265	-£7,248	£77,017	£888,897	207	9%	£372
07040	3089	Middleforth CE Primary	PRIMARY	£108,299	£75,497	£183,796	£1,099,920	203	17%	£905
07041	3019	Howick CE Primary	PRIMARY	£42,962	-£4,706	£38,256	£522,916	100	7%	£383
07042	3730	St Mary Magdalen's	PRIMARY	£82,759	-£44,440	£38,319	£960,243	212	4%	£181
07043	2058	Penwortham Primary	PRIMARY	£88,521	£7,229	£95,749	£878,212	202	11%	£474
07044	2514	Whitefield Primary	PRIMARY	£117,182	£87,620	£204,803	£1,707,375	394	12%	£520
07045	3953	St Teresa's. Penwortham	PRIMARY	£119,640	£30,799	£150,440	£1,199,114	276	13%	£545
07046	2405	Kingsfold Primary	PRIMARY	£62,651	£95,477	£158,127	£779,411	117	20%	£1,352
07047	2815	Penwortham Broad Oak	PRIMARY	£112,354	£36,924	£149,278	£1,040,330	189	14%	£790
07051	3590	Samlesbury CE	PRIMARY	£26,385	£35,230	£61,615	£426,996	73	14%	£844
07616	3143	Leyland Methodist Infant	PRIMARY	£93,556	£81,167	£174,724	£902,091	209	19%	£836
08001	3078	Burs Bridge.St John's CE	PRIMARY	£65,610	£1,908	£67,519	£765,906	166	9%	£407
08002	3146	Burs Bridge Methodist	PRIMARY	£53,926	£9,512	£63,438	£379,040	55	17%	£1,153
08003	3800	St John's Burscough	PRIMARY	£94,307	£14,272	£108,579	£503,304	79	22%	£1,374
08004	3029	Lathom Park CE Primary	PRIMARY	£30,448	£42,597	£73,045	£384,922	34	19%	£2,148
08005	3426	Newburgh CE Primary	PRIMARY	£63,216	£2,762	£65,978	£642,822	118	10%	£559
08006	3080	Lathom St James' CE	PRIMARY	£59,937	£3,211	£63,148	£522,905	97	12%	£651
08007	3424	Lordsgate Township CE	PRIMARY	£89,473	£50,404	£139,877	£858,141	183	16%	£764
08009	2597	Ormskirk Asmall Primary	PRIMARY	£50,971	£22,147	£73,117	£944,275	152	8%	£481

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08011	3031	Ormskirk CE Primary	PRIMARY	£62,672	£60,004	£122,676	£2,065,484	401	6%	£306
08012	3801	Ormskirk St Anne's	PRIMARY	£180,323	£59,861	£240,184	£1,723,623	348	14%	£690
08014	2415	Ormskirk West End	PRIMARY	£22,118	£36,470	£58,588	£522,200	104	11%	£563
08016	2695	Burscough Village	PRIMARY	£78,484	£10,410	£88,894	£1,069,205	200	8%	£444
08018	3087	Bickerstaffe CE	PRIMARY	£61,731	£59,611	£121,342	£547,412	89	22%	£1,363
08019	2443	Aughton Town Green	PRIMARY	£106,129	-£49,790	£56,340	£1,372,032	333	4%	£169
08020	3026	Christ Church CE Primary	PRIMARY	£71,476	£9,827	£81,303	£889,477	207	9%	£393
08021	3108	Aughton St Michael's CE	PRIMARY	£57,894	-£5,543	£52,351	£872,572	204	6%	£257
08022	5206	Rufford CE Primary	PRIMARY	£64,660	£30,087	£94,747	£640,572	134	15%	£707
08023	3147	Holmeswood Methodist	PRIMARY	£37,572	£38,164	£75,736	£410,737	46	18%	£1,646
08024	3185	Richard Durnings Endowed	PRIMARY	£9,484	£17,388	£26,873	£488,329	71	6%	£378
08025	3419	Haskayne CE Primary	PRIMARY	£25,929	£3,321	£29,250	£294,652	31	10%	£944
08026	3420	Halsall St Cuthbert's CE	PRIMARY	£40,871	£29,018	£69,889	£679,936	151	10%	£463
08027	3191	Scarisbrick St Mark's CE	PRIMARY	£56,654	£13,238	£69,892	£430,269	55	16%	£1,271
08028	3803	Scarisbrick St Mary's	PRIMARY	£11,452	£2,404	£13,856	£584,960	102	2%	£136
08029	2156	Pinfold Primary	PRIMARY	£63,245	£36,572	£99,816	£386,587	27	26%	£3,697
08031	3804	St Richards.Skelmersdale	PRIMARY	£87,052	£116,245	£203,296	£1,108,268	215	18%	£946
08033	2656	Holland Moor Primary	PRIMARY	£195,675	-£12,326	£183,349	£2,492,850	435	7%	£421
08034	2696	Cobbs Brow	PRIMARY	£208,304	-£29,878	£178,426	£1,535,331	282	12%	£633
08036	3614	St James'. Skelmersdale	PRIMARY	£81,359	£44,542	£125,901	£821,325	140	15%	£899
08038	3179	Skelmersdale Trinity	PRIMARY	£74,309	£26,239	£100,548	£1,164,184	202	9%	£498
08040	2705	Crow Orchard Primary	PRIMARY	£99,123	£30,111	£129,234	£817,081	123	16%	£1,051
08043	2525	Little Digmaor Primary	PRIMARY	-£15,204	£10,327	-£4,877	£707,498	105	-1%	-£46
08045	3677	Bishop Martin CE Primary	PRIMARY	£113,777	£48,915	£162,692	£1,328,607	191	12%	£852
08046	2526	Hillside Community	PRIMARY	£135,618	£26,717	£162,335	£1,133,567	154	14%	£1,054
08050	3618	St Edmunds.Skelmersdale	PRIMARY	£2,301	£19,777	£22,077	£658,074	87	3%	£254
08051	3610	St John's. Skelmersdale	PRIMARY	£150,245	£193,046	£343,291	£1,265,691	163	27%	£2,106
08054	2552	Delph Side Community	PRIMARY	£68,946	£64,447	£133,393	£1,296,302	191	10%	£698
08060	3833	St Teresa's. Up Holland	PRIMARY	£70,457	£38,106	£108,563	£1,008,370	192	11%	£565
08061	3459	Up Holland Roby Mill CE	PRIMARY	£59,611	£107,064	£166,675	£351,968	16	47%	£10,417
08062	3457	St Thomas The Martyr CE	PRIMARY	£106,649	£145,107	£251,755	£1,112,938	180	23%	£1,399
08063	2183	Crawford Village Primary	PRIMARY	£42,359	£31,475	£73,835	£479,781	66	15%	£1,119
08064	2184	Wrightington Mossy Lea	PRIMARY	-£5,793	£2,660	-£3,134	£207,619	13	-2%	-£241
08066	3461	Appley Bridge All Saints	PRIMARY	£59,801	£33,566	£93,367	£731,885	154	13%	£606
08067	3834	St Josephs. Wrightington	PRIMARY	£35,914	£30,963	£66,877	£671,808	108	10%	£619
08069	3831	Our Lady & All Saints RC	PRIMARY	£56,719	£11,915	£68,634	£535,113	100	13%	£686
08070	3448	Dalton St Michael's CE	PRIMARY	£2,823	£15,142	£17,965	£489,174	68	4%	£264
08071	2059	Tarleton Community	PRIMARY	£42,700	-£1,956	£40,744	£1,186,945	227	3%	£179
08072	3592	Tarleton Mere Brow CE	PRIMARY	£10,146	£3,444	£13,590	£478,035	72	3%	£189
08073	3591	Tarleton Holy Trinity CE	PRIMARY	£42,546	£47,977	£90,522	£891,893	194	10%	£467
08074	3581	Hesketh With Bec'sall CE	PRIMARY	£48,256	£45,826	£94,082	£906,925	193	10%	£487
08076	3169	Banks Methodist Primary	PRIMARY	£44,273	£41,310	£85,582	£485,320	58	18%	£1,476
08077	3098	Banks St Stephen's CE	PRIMARY	£48,191	£13,982	£62,173	£839,400	150	7%	£414
08078	3995	Brookfield Park	PRIMARY	£84,491	£35,940	£120,430	£960,496	155	13%	£777
08079	3996	Woodland	PRIMARY	£68,051	£140,670	£208,721	£2,274,941	337	9%	£619
08080	3998	St Francis Of Assisi	PRIMARY	£49,389	£90,993	£140,382	£1,275,275	162	11%	£867
09001	3389	Chorley All Saints' CE	PRIMARY	£62,640	£40,415	£103,055	£1,230,933	204	8%	£505
09002	2835	Duke Street Primary	PRIMARY	£135,938	£76,249	£212,188	£1,629,819	333	13%	£637
09003	2145	Highfield Primary	PRIMARY	£24,261	£39,580	£63,841	£1,461,822	261	4%	£245
09005	3390	St Laurence CE Primary	PRIMARY	£101,510	£37,437	£138,947	£887,609	211	16%	£659
09006	3783	Sacred Heart. Chorley	PRIMARY	£96,462	£80,547	£177,009	£1,051,908	197	17%	£899
09007	3393	St George's CE Primary	PRIMARY	£39,729	£84,429	£124,158	£1,320,469	295	9%	£421
09008	3397	Chorley St James' CE	PRIMARY	£77,429	£36,196	£113,625	£1,175,518	209	10%	£544
09009	3785	St Josephs. Chorley	PRIMARY	£47,325	£31,724	£79,049	£999,491	202	8%	£391
09010	2679	Gillibrand Primary	PRIMARY	£116,345	£135,830	£252,175	£1,056,581	208	24%	£1,212
09011	3786	St Mary's. Chorley	PRIMARY	£79,408	-£7,674	£71,734	£1,061,333	214	7%	£335
09012	5201	Chorley St Peter's CE	PRIMARY	£145,591	£133,453	£279,044	£1,666,703	334	17%	£835
09014	3789	St Gregory's. Chorley	PRIMARY	£96,459	£44,001	£140,459	£997,061	217	14%	£647
09015	2146	Buckshaw Primary	PRIMARY	£126,851	-£11,671	£115,180	£1,165,167	205	10%	£562
09019	3481	Rivington Primary	PRIMARY	-£6,301	-£8,541	-£14,842	£652,751	108	-2%	-£137
09022	2698	Adlington Primary	PRIMARY	£34,104	£33,807	£67,912	£699,296	141	10%	£482
09023	3796	St Joseph's Withnell	PRIMARY	£27,605	£25,523	£53,128	£550,342	101	10%	£526

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09024	2684	Lancaster Lane Community	PRIMARY	£99,531	£4,188	£103,719	£1,128,556	208	9%	£499
09025	2636	Manor Road Primary	PRIMARY	£99,547	£16,647	£116,194	£1,112,329	249	10%	£467
09026	2817	Westwood Primary	PRIMARY	£71,267	£36,488	£107,754	£917,700	184	12%	£586
09027	3781	Anderton St Joseph's	PRIMARY	£14,582	£61,654	£76,236	£896,346	186	9%	£410
09028	2140	Anderton Primary	PRIMARY	£51,185	-£3,607	£47,579	£897,392	184	5%	£259
09029	3386	Bretherton Endowed CE	PRIMARY	£43,732	£452	£44,184	£570,060	111	8%	£398
09030	3387	Brindle St James' CE	PRIMARY	£52,472	£18,828	£71,300	£415,052	68	17%	£1,049
09031	2142	Gregson Lane Primary	PRIMARY	-£2,144	£39,288	£37,144	£889,119	183	4%	£203
09032	3782	St Joseph's. Brindle	PRIMARY	£68,590	£16,053	£84,643	£534,870	95	16%	£891
09033	3388	Charnock Richard CE	PRIMARY	£96,758	£24,676	£121,433	£898,225	196	14%	£620
09034	3790	St Bede's. Clayton Green	PRIMARY	£105,865	£43,986	£149,852	£1,022,415	204	15%	£735
09035	3401	Clayton-Le-Woods CE	PRIMARY	-£8,473	-£5,786	-£14,258	£955,722	200	-1%	-£71
09036	3402	Coppull St John's CE	PRIMARY	£81,918	£48,254	£130,171	£681,188	110	19%	£1,183
09037	3403	Coppull Parish CE	PRIMARY	£64,239	£14,406	£78,644	£906,840	212	9%	£371
09038	3791	St Oswald's. Coppull	PRIMARY	£55,378	£54,969	£110,346	£586,818	105	19%	£1,051
09039	2147	Coppull Primary	PRIMARY	£114,788	£114,739	£229,527	£1,699,574	269	14%	£853
09040	3343	Croston CE/Methodist	PRIMARY	£103,807	£37,600	£141,407	£925,224	213	15%	£664
09042	3406	Eccleston St Mary's CE	PRIMARY	£49,485	£56,589	£106,074	£903,267	188	12%	£564
09043	3407	Euxton CE Primary	PRIMARY	£69,545	£12,078	£81,622	£947,275	212	9%	£385
09044	3792	Euxton St Marys Catholic	PRIMARY	£46,130	£9,519	£55,649	£886,698	207	6%	£269
09045	2572	Euxton Primrose Hill	PRIMARY	£175,836	£9,844	£185,680	£1,772,600	394	10%	£471
09046	3409	Heskin Pemberton's CE	PRIMARY	£46,451	£24,242	£70,692	£558,279	102	13%	£693
09048	3412	Mawdesley St Peter's CE	PRIMARY	£20,128	£13,032	£33,159	£516,192	85	6%	£390
09049	3794	Ss Peter And Paul	PRIMARY	-£3,182	£15,162	£11,980	£376,116	49	3%	£244
09050	2577	Balshaw Lane Community	PRIMARY	-£10,691	£196,928	£186,237	£1,478,264	344	13%	£541
09052	2574	Eccleston Primary	PRIMARY	£85,352	£105,395	£190,747	£1,080,102	208	18%	£917
09053	2702	Clayton Brook Primary	PRIMARY	£70,043	£48,736	£118,779	£1,123,330	161	11%	£738
09054	3795	St Chad's Catholic	PRIMARY	£25,329	£2,986	£28,315	£656,855	144	4%	£197
09055	3414	Whittle-Le-Woods CE	PRIMARY	£97,821	£65,583	£163,404	£1,159,392	238	14%	£687
09060	3997	St John's CE/Methodist	PRIMARY	£93,686	£55,455	£149,141	£983,559	211	15%	£707
09062	2565	Abbey Village Primary	PRIMARY	£24,310	-£10,258	£14,052	£441,665	57	3%	£247
09063	2564	Withnell Fold Primary	PRIMARY	£53,653	£625	£54,278	£422,077	85	13%	£639
09064	5207	Trinity C Of E	PRIMARY	£258,800	£308,235	£567,034	£2,551,873	592	22%	£958
11001	3334	Baxenden St John's CE	PRIMARY	£72,917	£14,731	£87,648	£908,349	204	10%	£430
11002	3336	Benjamin Hargreaves CE	PRIMARY	£61,309	£9,816	£71,125	£823,744	161	9%	£442
11003	3337	Green Haworth CE Primary	PRIMARY	£54,935	£16,410	£71,345	£542,264	70	13%	£1,019
11004	2096	Accr'Ton Huncoat Primary	PRIMARY	£130,336	£81,244	£211,580	£1,123,827	197	19%	£1,074
11005	2097	Hyndburn Park Primary	PRIMARY	£262,821	£111,481	£374,301	£2,261,652	430	17%	£870
11006	2099	Peel Park Primary	PRIMARY	£166,093	£127,211	£293,304	£2,913,176	628	10%	£467
11008	3762	St Anne & St Joseph's RC	PRIMARY	£64,209	£140,113	£204,322	£1,344,578	233	15%	£877
11010	3340	St John/St Augustine CE	PRIMARY	£86,902	-£6,331	£80,570	£1,115,632	207	7%	£389
11011	3342	St Mary Magdalen's CE	PRIMARY	£68,972	£64,636	£133,607	£1,118,699	200	12%	£668
11012	3763	St Oswald's. Accrington	PRIMARY	£66,045	£73,236	£139,282	£916,744	138	15%	£1,009
11013	3105	St Peters CE	PRIMARY	£37,637	£541	£38,178	£957,348	152	4%	£251
11014	2101	Spring Hill Primary	PRIMARY	£40,009	£39,402	£79,411	£2,207,577	378	4%	£210
11015	2820	Woodnook Primary	PRIMARY	£123,740	£166,419	£290,159	£1,298,558	195	22%	£1,488
11018	3134	Hippings Methodist	PRIMARY	£74,512	£22,372	£96,884	£980,835	203	10%	£477
11020	3195	St Andrew's CE Primary	PRIMARY	-£1,535	£91,447	£89,912	£1,761,615	287	5%	£313
11021	3353	Knuzden St Oswald's CE	PRIMARY	-£7,267	£52,253	£44,986	£923,889	189	5%	£238
11023	3766	St Mary's. Oswaldtwistle	PRIMARY	£130,842	£103,528	£234,369	£1,197,355	262	20%	£895
11024	2108	Oswaldtwistle West End	PRIMARY	£17,020	£7,990	£25,010	£921,106	206	3%	£121
11025	2107	Oswaldtwistle Moor End	PRIMARY	£102,652	£27,183	£129,835	£1,066,057	188	12%	£691
11026	3355	Oswaldtwistle St Paul's	PRIMARY	£106,492	£70,560	£177,051	£987,357	147	18%	£1,204
11029	2105	Mount Pleasant Primary	PRIMARY	£196,445	£75,720	£272,165	£1,881,324	389	14%	£700
11030	3765	St Mary's RC	PRIMARY	£22,947	£45,130	£68,077	£725,974	120	9%	£567
11031	3347	Church. St Nicholas CE	PRIMARY	£68,607	£90,987	£159,594	£1,113,334	200	14%	£798
11033	3764	Sacred Heart RC. Church	PRIMARY	£78,783	£68,638	£147,421	£1,158,696	178	13%	£828
11036	3599	Altham St James CE	PRIMARY	£24,298	£23,200	£47,498	£408,220	65	12%	£731
11038	3307	St Bartholomew's CE Great Harwood	PRIMARY	£85,291	£78,633	£163,924	£1,069,210	192	15%	£854
11039	3308	Gt Harwood St John's CE	PRIMARY	£96,076	-£23,079	£72,997	£798,759	143	9%	£510
11040	3746	Our Lady & St Hubert RC	PRIMARY	£23,087	£16,786	£39,873	£761,563	165	5%	£242
11041	3747	St Wulstan's RC	PRIMARY	£25,518	£36,706	£62,224	£860,699	169	7%	£368

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11042	2067	Great Harwood Primary	PRIMARY	-£45,903	£91,181	£45,278	£1,022,313	174	4%	£260
11045	3130	Rishton Methodist	PRIMARY	£75,915	£83,784	£159,699	£936,583	162	17%	£986
11046	3316	St Peter's & St Pauls CE	PRIMARY	£125,693	£8,130	£133,823	£824,271	176	16%	£760
11047	3752	St Charles' RC. Rishton	PRIMARY	£40,959	-£21,874	£19,086	£916,916	173	2%	£110
11048	3741	St Joseph's. Hurst Green	PRIMARY	£45,194	£64,004	£109,198	£557,203	89	20%	£1,227
11050	3302	Langho St Leonard's CE	PRIMARY	£60,695	£140,864	£201,559	£1,274,889	290	16%	£695
11051	3742	Langho St Mary's RC	PRIMARY	£56,016	£74,897	£130,914	£1,173,780	272	11%	£481
11052	3809	Bolton By Bowland CE	PRIMARY	£60,699	-£4,785	£55,913	£317,375	38	18%	£1,471
11053	3810	Thornleyholme RC Primary	PRIMARY	£32,702	£13,964	£46,666	£286,919	16	16%	£2,917
11054	3303	Chatburn CE Primary	PRIMARY	£25,172	£31,248	£56,420	£575,583	123	10%	£459
11055	2651	Brookside Primary	PRIMARY	£56,763	£10,800	£67,563	£902,020	168	7%	£402
11056	2391	Edisford Primary	PRIMARY	-£106,965	£23,149	-£83,816	£1,119,079	224	-7%	-£374
11057	2064	Clitheroe Pendle Primary	PRIMARY	£130,531	£68,642	£199,173	£1,494,259	349	13%	£571
11058	3304	St James' CE. Clitheroe	PRIMARY	£193,218	£17,192	£210,410	£1,394,005	307	15%	£685
11059	3744	St Michael & John's RC	PRIMARY	-£18,921	£63,278	£44,357	£836,015	169	5%	£262
11060	3319	Simonstone St Peter's CE	PRIMARY	£38,470	£42,873	£81,343	£605,193	126	13%	£646
11061	2266	Gisburn Primary	PRIMARY	£59,100	£27,509	£86,609	£640,430	142	14%	£610
11063	3807	Grindleton CE Primary	PRIMARY	£66,980	£5,871	£72,852	£384,307	44	19%	£1,656
11064	3111	Read St John's CE	PRIMARY	£100,688	£19,762	£120,449	£803,614	177	15%	£681
11065	2073	Sabden Primary	PRIMARY	£67,903	£21,766	£89,669	£532,686	81	17%	£1,107
11066	3753	St Mary's RC. Sabden	PRIMARY	£32,716	£40,058	£72,774	£489,970	87	15%	£836
11067	3408	Brennands Endowed	PRIMARY	£20,779	-£1,688	£19,091	£340,581	37	6%	£516
11068	3808	West Bradford CE Primary	PRIMARY	£25,769	-£21,533	£4,236	£833,065	169	1%	£25
11069	3321	Whalley CE Primary	PRIMARY	£54,777	£3,973	£58,750	£1,204,117	289	5%	£203
11070	3131	Barrow	PRIMARY	-£43,838	-£5,827	-£49,665	£843,823	154	-6%	-£323
11071	3300	Balderstone St Leonard's	PRIMARY	£50,304	£11,312	£61,616	£614,601	105	10%	£587
11072	3312	Mellor St Mary CE	PRIMARY	£61,504	£32,261	£93,765	£631,300	141	15%	£665
11073	3748	Osbaldeston St Mary's RC	PRIMARY	£29,158	-£29,293	-£135	£455,913	71	0%	-£2
11074	5202	Salesbury CE Primary	PRIMARY	£49,976	£3,014	£52,989	£1,333,917	267	4%	£198
12001	2076	Briercliffe Primary	PRIMARY	£19,456	£18,151	£37,606	£1,522,979	334	2%	£113
12002	2095	Worsthorne Primary	PRIMARY	£26,289	£27,645	£53,934	£964,110	215	6%	£251
12003	3324	St John's Cofe Cliviger	PRIMARY	£52,308	£27,355	£79,663	£883,934	199	9%	£400
12005	3021	Padiham Green CE Primary	PRIMARY	£131,420	£62,860	£194,280	£1,127,010	204	17%	£952
12006	2071	Padiham Primary	PRIMARY	£154,483	£72,145	£226,629	£1,603,360	291	14%	£779
12007	3749	St John The Baptist RC	PRIMARY	£44,814	-£262	£44,552	£1,140,449	213	4%	£209
12008	3313	Padiham St Leonard's CE	PRIMARY	£157,117	£75,876	£232,993	£1,671,640	300	14%	£777
12011	3181	Hapton CE/Methodist	PRIMARY	£71,186	£6,985	£78,171	£681,745	129	11%	£606
12012	2228	Barden Primary School	PRIMARY	£279,799	-£12,011	£267,788	£2,382,333	417	11%	£642
12013	2164	Burnley Brunshaw Primary	PRIMARY	£36,069	£148,088	£184,157	£2,238,743	413	8%	£446
12015	3434	Christ The King RC	PRIMARY	£60,802	£90,579	£151,382	£1,039,025	209	15%	£724
12020	2230	Heasandford Primary	PRIMARY	£357,045	£225,032	£582,076	£3,041,897	614	19%	£948
12021	3431	Holy Trinity CE Primary	PRIMARY	£123,184	£136,004	£259,188	£1,251,601	201	21%	£1,289
12022	2237	Ightenhill Primary	PRIMARY	£182,960	£176,429	£359,389	£1,897,297	356	19%	£1,010
12023	2162	Lowerhouse Junior	PRIMARY	£593	£2,356	£2,948	£1,116,896	214	0%	£14
12025	2226	Rosegrove Infant	PRIMARY	£69,039	£21,702	£90,742	£857,534	161	11%	£564
12029	3433	St James' Lanehead CE	PRIMARY	£80,755	£33,674	£114,429	£1,346,386	277	8%	£413
12031	3980	St Mary's RC. Burnley	PRIMARY	£24,460	£41,114	£65,575	£1,162,773	209	6%	£314
12032	3435	St Mary Magdalene's RC	PRIMARY	-£107,845	£141,362	£33,517	£1,058,283	199	3%	£168
12033	3430	Burnley St Peter's CE	PRIMARY	-£2,716	£63,963	£61,248	£1,252,653	207	5%	£296
12034	3432	Burnley St Stephen's CE	PRIMARY	£131,974	£454	£132,428	£1,122,136	208	12%	£637
12035	2224	Stoneyholme Community	PRIMARY	£293,484	£153,425	£446,909	£2,408,023	411	19%	£1,087
12037	2235	Whittlefield Primary	PRIMARY	£78,222	£27,572	£105,795	£1,396,575	207	8%	£511
12040	3440	Wellfield Church	PRIMARY	£114,047	£41,689	£155,737	£890,698	205	17%	£760
12041	2839	Rosewood Primary	PRIMARY	£191,932	£138,242	£330,174	£2,131,822	414	15%	£798
12042	2840	Cherry Fold Community	PRIMARY	£249,614	£89,334	£338,949	£2,406,369	369	14%	£919
12043	2841	Burnley Springfield	PRIMARY	£147,454	£108,735	£256,189	£1,264,595	211	20%	£1,214
13001	2087	Nelson Bradley Primary	PRIMARY	£139,738	£50,385	£190,123	£2,034,888	409	9%	£465
13004	3757	Holy Saviour RC Primary	PRIMARY	£116,539	£32,012	£148,551	£1,182,616	210	13%	£707
13005	3330	Nelson St Philip's CE	PRIMARY	£31,599	£63,479	£95,078	£778,420	140	12%	£679
13006	3331	Nelson St Paul's CE	PRIMARY	£197,768	£104,467	£302,235	£2,030,701	416	15%	£727
13007	2090	Lomeshaye Junior	PRIMARY	£218,707	£106,529	£325,237	£1,872,598	364	17%	£894
13009	3759	St John Southworth RC	PRIMARY	£76,589	£31,219	£107,808	£1,190,043	206	9%	£523

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13010	2092	Nelson Walverden Primary	PRIMARY	£262,202	£122,643	£384,845	£2,163,265	418	18%	£921
13011	2093	Nelson Whitefield Infant	PRIMARY	£158,029	£56,497	£214,527	£1,767,760	265	12%	£810
13012	2089	Marsden Community	PRIMARY	£228,680	£147,723	£376,403	£2,160,610	429	17%	£877
13014	3323	Barrowford St Thomas CE	PRIMARY	£69,769	£1,625	£71,394	£594,614	121	12%	£590
13016	2074	Barrowford	PRIMARY	£44,537	£98,726	£143,263	£1,823,926	347	8%	£413
13017	3754	Holy Trinity RC Primary	PRIMARY	£65,622	£36,934	£102,556	£544,581	105	19%	£977
13022	3979	Wheatley Lane Methodist	PRIMARY	£107,975	-£14,597	£93,378	£925,358	208	10%	£449
13023	3094	Roughlee CE Primary	PRIMARY	£54,374	£28,587	£82,961	£333,052	49	25%	£1,693
13024	3107	Higham St John's CE	PRIMARY	£59,542	£2,621	£62,162	£769,321	140	8%	£444
13027	3325	Colne Christ Church CE	PRIMARY	£88,033	£61,423	£149,456	£914,563	187	16%	£799
13030	2082	Colne Park Primary	PRIMARY	£209,989	£176,406	£386,396	£2,009,337	358	19%	£1,079
13031	2083	Colne Primet Primary	PRIMARY	£87,511	£11,940	£99,451	£1,012,422	188	10%	£529
13032	3755	Sacred Heart RC. Colne	PRIMARY	£32,082	-£15,351	£16,731	£1,013,319	218	2%	£77
13033	2085	West Street Primary	PRIMARY	£135,571	£53,959	£189,530	£1,213,509	194	16%	£977
13034	2094	Trawden Forest Primary	PRIMARY	£63,074	£23,328	£86,402	£931,984	201	9%	£430
13035	3326	St Michael & All Angels	PRIMARY	£51,830	£84,935	£136,765	£988,271	201	14%	£680
13036	2646	Brierfield Reedley	PRIMARY	£179,280	£90,223	£269,503	£1,974,081	410	14%	£657
13040	3011	Barnoldswick CE Primary	PRIMARY	£197,069	£114,991	£312,060	£1,770,601	396	18%	£788
13041	2812	Coates Lane Primary	PRIMARY	-£29,620	£72,246	£42,627	£984,960	205	4%	£208
13042	2238	Gisburn Road Community	PRIMARY	-£135,485	£81,892	-£53,593	£1,104,654	197	-5%	-£272
13044	3805	St Joseph's Barnoldswick	PRIMARY	£53,182	£35,029	£88,211	£726,172	130	12%	£679
13046	2214	Kelbrook Primary	PRIMARY	£25,070	-£19,941	£5,129	£555,337	98	1%	£52
13048	2240	Salterforth Primary	PRIMARY	-£620	£25,938	£25,318	£554,162	101	5%	£251
13049	2215	Earby Springfield	PRIMARY	£70,904	£67,760	£138,664	£986,670	147	14%	£943
14001	2109	Britannia Community	PRIMARY	£47,857	£85,775	£133,632	£1,038,561	232	13%	£576
14002	2114	Bacup Thorn Primary	PRIMARY	£155,826	£116,819	£272,645	£1,474,334	279	18%	£977
14003	2111	Northern Primary	PRIMARY	£88,635	£42,461	£131,096	£903,414	200	15%	£655
14005	2113	Sharneyford Primary	PRIMARY	£59,175	£8,555	£67,730	£428,822	68	16%	£996
14006	3768	St Joseph's. Stacksteads	PRIMARY	£21,395	£60,104	£81,499	£823,450	129	10%	£632
14008	2112	Bacup St Saviour's	PRIMARY	£68,227	£96,580	£164,807	£603,623	98	27%	£1,682
14011	3196	Holy Trinity Stacksteads	PRIMARY	£156,440	£87,295	£243,735	£1,392,103	243	18%	£1,003
14015	3022	Constable Lee CE Primary	PRIMARY	£91,618	-£24,756	£66,862	£1,367,315	284	5%	£235
14016	3776	St James-The-Less RC	PRIMARY	£91,920	£65,598	£157,518	£881,261	211	18%	£747
14018	3023	St Mary's Rawtenstall CE	PRIMARY	£77,328	£65,148	£142,476	£1,080,846	201	13%	£709
14019	2595	Crawshawbooth Primary	PRIMARY	£124,656	£116,538	£241,194	£1,361,247	303	18%	£796
14022	2129	Waterfoot Primary	PRIMARY	£136,267	£13,866	£150,133	£1,573,898	307	10%	£489
14023	3775	St Peter's RC. Newchurch	PRIMARY	£72,708	£53,631	£126,339	£756,671	154	17%	£820
14024	3113	St Nicholas CE Primary	PRIMARY	£77,540	£37,136	£114,676	£769,182	120	15%	£956
14025	3366	St Anne's Edgeside CE	PRIMARY	£49,637	£45,550	£95,187	£897,846	153	11%	£622
14026	2409	Balladen Community	PRIMARY	£100,310	£51,371	£151,680	£1,104,740	194	14%	£782
14027	2128	Water Primary	PRIMARY	£10,795	£1,659	£12,454	£723,098	133	2%	£94
14028	3357	Haslingden St James CE	PRIMARY	£75,557	£31,379	£106,936	£1,000,866	187	11%	£572
14029	2117	Haslingden Primary	PRIMARY	£94,142	£101,488	£195,630	£2,018,183	400	10%	£489
14030	2687	Broadway Primary	PRIMARY	£60,594	£765	£61,359	£947,234	205	6%	£299
14031	2118	Helmshore Primary	PRIMARY	£165,550	£117,191	£282,741	£1,773,027	409	16%	£691
14032	3771	St Mary's RC. Haslingden	PRIMARY	£41,970	£27,797	£69,768	£746,309	137	9%	£509
14033	3359	Stonefold St John's CE	PRIMARY	-£31,444	£40,457	£9,013	£685,399	118	1%	£76
14034	3615	St Veronica's RC Primary	PRIMARY	£62,189	£16,728	£78,917	£813,897	169	10%	£467
14038	3099	Edenfield CE Primary	PRIMARY	£46,088	£70,762	£116,851	£869,665	196	13%	£596
14039	2121	Stubbins Primary	PRIMARY	£37,851	£21,745	£59,596	£884,337	209	7%	£285
14040	3058	St Bartholomew's CE Whitworth	PRIMARY	£46,486	£43,182	£89,668	£893,085	157	10%	£571
14042	2272	Tonacliffe Primary	PRIMARY	£89,255	£107,375	£196,630	£1,508,409	304	13%	£647
14044	3889	Our Lady & St Anselms RC	PRIMARY	£72,320	£39,178	£111,498	£953,280	191	12%	£584
14045	3811	St John With St Michael	PRIMARY	-£12,085	£11,885	-£200	£668,071	105	0%	-£2
01112	4717	Our Lady's Catholic High Lancaster	SECONDARY	£439,401	£111,566	£550,967	£5,963,726	966	9%	£570
02101	4011	Millfield High	SECONDARY	£176,574	£300,410	£476,983	£5,126,799	870	9%	£548
02103	5404	Baines School	SECONDARY	£431,248	£358,385	£789,633	£4,973,039	855	16%	£924
02104	4628	Saint Aidan's CE	SECONDARY	£513,399	-£16,281	£497,118	£4,920,464	860	10%	£578
02105	4408	Fleetwood High	SECONDARY	£189,819	£349,963	£539,782	£6,623,228	962	8%	£561
02106	4718	Cardinal Allen Catholic	SECONDARY	£593,127	£359,678	£952,805	£4,892,840	805	19%	£1,184
04114	4137	Lytham St Annes High	SECONDARY	£270,178	£695,166	£965,345	£8,612,475	1518	11%	£636
04115	4155	Kirkham Carr Hill High	SECONDARY	£133,904	£239,210	£373,114	£6,105,986	962	6%	£388

Sch No	DfE No	School Name	Phase	Revenue open balance 1/04/20	Revenue in year movement 20/21	Revenue close balance 31/03/21	Revenue CFR income	NOR	Balance as % of CFR income	Balance per pupil
04116	4627	St Bede's Catholic High Lytham	SECONDARY	£40,778	£40,192	£80,970	£4,598,946	819	2%	£99
06103	4232	Broughton High	SECONDARY	£690,139	£273,841	£963,980	£5,610,659	912	17%	£1,057
06104	4000	Ashton Science College	SECONDARY	£324,381	£384,685	£709,066	£5,441,344	789	13%	£899
06105	4410	Moor Park High	SECONDARY	£484,985	£192,688	£677,673	£4,465,914	623	15%	£1,088
06112	5405	Archbishop Temple CE	SECONDARY	£232,125	£177,586	£409,710	£4,423,396	786	9%	£521
06115	4168	Longridge High	SECONDARY	£367,238	£303,854	£671,092	£4,584,964	786	15%	£854
06116	4721	St Cecilia's RC High	SECONDARY	-£690,414	£243,624	-£446,790	£2,615,128	466	-17%	-£959
06117	4610	Christ The King Catholic	SECONDARY	£284,978	£38,299	£323,278	£3,059,722	375	11%	£862
06118	4606	Our Lady's Catholic High Preston	SECONDARY	£382,098	£114,888	£496,986	£5,193,972	912	10%	£545
06121	4609	Corpus Christi College	SECONDARY	£28,200	-£9,559	£18,641	£4,337,511	699	0%	£27
06122	4001	Preston Muslim Girls'	SECONDARY	£750,639	£107,465	£858,104	£3,313,528	540	26%	£1,589
07101	4500	Balshaws CE High	SECONDARY	£543,820	£238,757	£782,578	£5,165,589	924	15%	£847
07102	5407	St Marys RC Tech College	SECONDARY	£72,380	£143,810	£216,190	£4,114,684	759	5%	£285
07104	4036	Wellfield B&E College	SECONDARY	-£739,026	£41,787	-£697,239	£2,185,487	328	-32%	-£2,126
07105	4623	Brownedge St Mary's High	SECONDARY	£388,292	£269,748	£658,040	£4,375,775	750	15%	£877
07106	4741	All Hallows High	SECONDARY	£253,852	£237,168	£491,019	£5,046,536	903	10%	£544
07107	4150	Walton-Le-Dale High	SECONDARY	£468,245	£122,392	£590,637	£4,772,350	770	12%	£767
07109	4685	Hutton CE Grammar	SECONDARY	£191,912	-£23,700	£168,212	£4,808,544	875	3%	£192
07111	4332	Penwortham Girls' High	SECONDARY	£253,886	£99,582	£353,468	£4,563,305	774	8%	£457
08103	4631	St Bede's Catholic High Ormskirk	SECONDARY	£299,704	£162,585	£462,289	£4,101,953	718	11%	£644
08105	4173	Up Holland High	SECONDARY	£239,916	£127,573	£367,488	£4,811,928	837	8%	£439
08113	4411	Lathom High	SECONDARY	£277,413	-£8,176	£269,237	£3,935,197	571	7%	£472
08114	4621	Our Lady Queen Of Peace	SECONDARY	£313,003	£110,198	£423,202	£5,644,275	898	7%	£471
08115	4412	Ormskirk School	SECONDARY	£237,505	£526,921	£764,426	£8,163,765	1423	9%	£537
09103	4742	Holy Cross Catholic High	SECONDARY	£368,839	£502,209	£871,048	£5,264,744	950	17%	£917
11102	4195	The Hollins High	SECONDARY	£378,949	£163,466	£542,414	£4,922,775	821	11%	£661
11103	4797	Mount Carmel RC High	SECONDARY	£251,890	£280,185	£532,075	£5,158,709	796	10%	£668
11105	4026	Rhyddings High	SECONDARY	£211,969	£463,040	£675,009	£3,805,004	553	18%	£1,221
11109	4725	St Augustine's RC High	SECONDARY	£67,816	£202,396	£270,212	£6,045,332	1086	4%	£249
11113	4013	Ribblesdale High	SECONDARY	£412,822	£738,746	£1,151,568	£7,824,602	1339	15%	£860
12110	4801	Shuttleworth College	SECONDARY	-£781,578	£726,901	-£54,677	£7,724,637	1073	-1%	-£51
12112	4806	Unity College	SECONDARY	£558,426	£459,776	£1,018,201	£8,511,583	1248	12%	£816
12113	4803	Sir John Thursby College	SECONDARY	£660,611	£424,515	£1,085,127	£8,616,730	1122	13%	£967
12115	4805	Thomas Whitham 6th Form	SECONDARY	-£4,291,591	-£759,367	-£5,050,958	£744,818	closed	N/A	N/A
13108	4624	SS J Fisher/T More RC	SECONDARY	£162,646	£130,532	£293,179	£4,530,912	769	6%	£381
13110	4800	Marsden Heights	SECONDARY	-£349,689	£15,567	-£334,122	£4,393,473	academised	N/A	N/A
13111	4799	Pendle Vale	SECONDARY	£757,433	£454,764	£1,212,197	£7,951,001	1074	15%	£1,129
14101	4030	Alder Grange Tech School	SECONDARY	£284,655	£22,412	£307,066	£5,066,045	834	6%	£368
14107	4184	Whitworth Community High	SECONDARY	£336,606	£110,290	£446,896	£4,017,028	642	11%	£696
14109	4402	Haslingden High	SECONDARY	£185,400	£309,745	£495,145	£9,268,156	1612	5%	£307
01141	1100	Stepping Stones	SHORT STAY	£29,038	£27,327	£56,364	£798,853	23	7%	£2,451
01149	1121	Chadwick Centre	SHORT STAY	£68,037	£119,361	£187,398	£1,463,725	57	13%	£3,288
02143	1117	McKee Centre	SHORT STAY	£84,600	£167,627	£252,227	£2,253,695	96	11%	£2,627
06141	1109	Larches House	SHORT STAY	£226,850	£73,547	£300,397	£2,182,952	92	14%	£3,265
07141	1103	Golden Hill	SHORT STAY	£4,103	£114,402	£118,505	£1,125,088	28	11%	£4,232
08147	1118	The Acorns	SHORT STAY	£125,262	£81,052	£206,314	£1,403,688	39	15%	£5,290
09145	1116	Shaftesbury House	SHORT STAY	£91,307	£134,137	£225,444	£2,234,545	96	10%	£2,348
11142	1113	Oswaldtwistle School	SHORT STAY	£203,483	£154,721	£358,204	£1,682,222	57	21%	£6,284
13143	1107	Hendon Brook	SHORT STAY	-£84,633	£68,331	-£16,302	£234,863	closed	N/A	N/A
00131	7028	Wennington Hall	SPECIAL	-£1,335,631	-£439,694	-£1,775,325	£2,351,947	36	-75%	-£49,315
00133	7007	Bleasdale House	SPECIAL	£211,306	£41,999	£253,305	£1,992,862	33	13%	£7,676
00134	7110	Royal Cross Primary	SPECIAL	£52,218	£79,156	£131,374	£767,797	28	17%	£4,692
00139	7109	Longridge Hillside	SPECIAL	-£29,431	£13,015	-£16,416	£2,321,537	94	-1%	-£175
01130	7034	Morecambe Road	SPECIAL	£274,827	£110,505	£385,331	£2,940,187	150	13%	£2,569
01131	7097	The Loyne School	SPECIAL	£293,702	£90,504	£384,206	£2,916,482	107	13%	£3,591
02130	7040	Great Arley	SPECIAL	£168,339	-£39,874	£128,464	£1,969,312	101	7%	£1,272
02131	7100	Brookfield	SPECIAL	-£823,687	£76,141	-£747,547	£2,246,444	62	-33%	-£12,057
02132	7102	Red Marsh	SPECIAL	£198,179	£99,926	£298,105	£2,022,064	90	15%	£3,312
04133	7076	Kirkham Pear Tree	SPECIAL	£229,998	£59,542	£289,540	£2,842,849	98	10%	£2,954
06131	7014	Moorbrook	SPECIAL	-£81,120	£110,929	£29,809	£1,350,062	51	2%	£584
06134	7119	Acorns	SPECIAL	£145,289	£54,210	£199,499	£1,605,183	69	12%	£2,891
06135	7118	Sir Tom Finney	SPECIAL	£337,928	£95,333	£433,261	£3,477,791	179	12%	£2,420

Sch No	DfE No	School Name	Phase	Revenue open balance 1/04/20	Revenue in year movement 20/21	Revenue close balance 31/03/21	Revenue CFR income	NOR	Balance as % of CFR income	Balance per pupil
07130	7049	Lostock Hall Moor Hey	SPECIAL	£393,572	£192,644	£586,216	£2,140,382	110	27%	£5,329
07131	7098	The Coppice School	SPECIAL	£128,595	£82,412	£211,007	£1,741,634	63	12%	£3,349
08135	7104	Hope High School	SPECIAL	£157,566	£260,498	£418,064	£2,010,616	83	21%	£5,037
08136	7117	Kingsbury Primary	SPECIAL	£189,705	£90,299	£280,004	£1,988,482	78	14%	£3,590
08137	7116	West Lancs Comm High	SPECIAL	£222,944	£29,205	£252,149	£2,317,210	111	11%	£2,272
08138	7120	Elm Tree	SPECIAL	£113,314	£221,776	£335,090	£3,472,078	116	10%	£2,889
09130	7037	Chorley Astley Park	SPECIAL	£306,482	£486,379	£792,861	£3,277,002	173	24%	£4,583
09131	7089	Mayfield School	SPECIAL	£242,605	£121,492	£364,097	£2,568,929	122	14%	£2,984
11130	7099	Oswaldtwistle White Ash	SPECIAL	£244,199	£257,385	£501,584	£2,375,219	111	21%	£4,519
11131	7060	Broadfield Special Sen	SPECIAL	-£111,053	£24,957	-£86,097	£2,882,271	150	-3%	-£574
12134	7111	The Rose School	SPECIAL	-£399,998	£139,192	-£260,806	£2,199,437	67	-12%	-£3,893
12135	7114	Holly Grove	SPECIAL	£282,731	£6,713	£289,445	£2,469,070	111	12%	£2,608
12136	7113	Ridgewood	SPECIAL	£369,682	£4,963	£374,645	£3,554,379	168	11%	£2,230
13133	7112	Pendle View	SPECIAL	£199,438	£336,521	£535,958	£3,000,125	129	18%	£4,155
13134	7115	Pendle Community High	SPECIAL	£352,286	£402,794	£755,080	£3,252,345	146	23%	£5,172
14132	7044	Cribden House Community	SPECIAL	£242,241	£84,079	£326,319	£1,934,644	87	17%	£3,751

Email correspondence about the application of clawback at 31 March 2022 received from an independent finance officer working with a number of Lancashire schools.

I am asking to raise with the Schools Forum the possibility of waiving the clawback mechanism for the coming year. Assuming this comes the way of the Schools Forum, I thought it might help if I just explain the rationale here.

I am actively involved in supporting three schools and indirectly involved with a number of other schools. What I can see is that, financially speaking, 2020/21 has been a windfall year with the outturn position on Reserves improving dramatically. I suspect this, generally speaking, is reflected across most schools with the LCC local authority. I recognise the importance and need of seeking to spend funding in the year in which it is provided to benefit the pupils in that particular cohort. In my opinion, however, there is a problem here which challenges the ability of schools to spend the surplus funding effectively. This relates to the availability of suppliers and goods.

By way of example, the availability of local tradesmen (plumbers, electricians, etc.) is now compromised because people are seeking to spend additional monies (brought about because of lockdown restrictions) on home improvement and this in turn means that both lead-times and price have significantly increased.

Exactly the same principle applies to contractors used by schools and as it happens, I met with one LCC approved contractor just this week who mentioned that his company was already completely booked-up for this summer and were now taking bookings for 2022. He also mentioned about the difficulty in sourcing basic materials e.g. plaster-board etc. and the increasing delivery times. I know that this contractor is not unique in reporting the demand increase.

I suspect that in the majority of cases, surplus funding in the school environment will be channelled towards build/construction/improvement initiatives but conscious of the current supplier demand issue, I believe there is a real risk that funding will get spent ineffectively in a blind bid to avoid clawback. Hence me asking for the 'clawback' to be suspended for one more year.

I am in the fortunate position now of being self-employed and so have no 'axe to grind' in raising this - but I wanted to share this view in the hope that it would help inform.

Email correspondence from a Lancashire Secondary school

For many years xx High School has had an incredibly tight budget and the potential of clawback was only something to fantasise about! However the NFF, an expansion of the school roll and indeed savings due to the pandemic have allowed the school to

build its reserves over the last 2 years. We are now at the stage where there is the potential for clawback on our budget next year.

Having significant reserves is a new position for us and we are hesitant about committing money to a number of large projects too rashly due to a number of factors:

- The school expansion programme on the site will not be fully complete until later this year and until then we will not know for sure of any possible additional needs with respect to additional pathways, shelters etc
- We are not over the pandemic yet, it would be hasty to plan for considerable spending whilst the impact of this on the school is still unknown
- There could potentially be further expansion of the school, we would wish to use any capital monies on projects to dovetail with this, should it become reality
- The SLT and Governors have focused on running the school operationally during the pandemic and have not had the time or focus to plan for major projects for 2022 and beyond
- Even when we have identified the priorities on which to spend significant out of our reserves, the process of tendering and actioning any project(s) is likely to be protracted because of the impact of the pandemic.

We would request the following possible solutions:

- Complete suspension of the claw-back process for 21/22 – our preferred option.
- Enabling schools to transfer above threshold Reserves into the Capital pot for future investment thereby ensuring that the individual school benefits and the authority school building infrastructure improves.
- Increase of the threshold percentage – currently 12% to 20%.

Name of Sub Group: High Needs/Early Years/Schools Block Working Group

Date of Meeting: June 2021

Item No: 10

Title of Item: Schools Forum Annual Report 2020/21

Annex A refers

Executive Summary

Each year the Schools Forum publishes an annual report setting out items of business in which the Forum has been involved. In recent years this report has been streamlined to ensure it is a more manageable size for readers and incorporates the main headlines of the Forum's work throughout the year.

Decision Required

The Working Group is asked to

- a) Note the report
- b) Recommend to the Schools Forum that the 2020/21 Annual Report be approved for publication.

Background

Since 2005/06, the Forum has produced an Annual Report, which is circulated to all schools via the Schools Portal and made available on the Forum website.

In recent years this report has been streamlined to ensure it is a more manageable size for readers and incorporates the main headlines of the Forum's work throughout the year.

A draft Forum Annual Report for 2020/21 is now attached at Annex A for consideration by the Working Group.



Lancashire Schools Forum

Annual Report 2020/21 April 2020 - March 2021

Introduction

The 2020/21 financial year was perhaps the most demanding period in a generation for schools and their staff, governors, and children, as we all faced the considerable challenges of the ongoing COVID-19 pandemic. For the Schools Forum, this meant that the business of the full Forum and its working groups had to be conducted virtually and consideration had to be given to numerous proposals that looked to help and support schools and mitigate the financial impact of the pandemic, whilst continuing to debate regular school funding issues throughout the year.

This annual report sets out some of the significant issues dealt with by the Forum in FY 2020/21, including some specific questions related to the COVID-19 situation.

Chair of the Forum

Shaun Jukes, Headteacher at Sir Tom Finney High School, remained the Forum Chair for 2020/21, with Stephen Booth, a governor at Ellel St John the Evangelist CE Primary School, the Vice-Chair.

School Budgets 2021/22

Advising on the Schools Budget is a crucial responsibility of the Forum. The key headlines from the 2021/22 budget setting process included:

Lancashire's Gross 2021/22 Dedicated Schools Grant (DSG) allocation is £1,090.214m. The 2021/22 figure is over £86m higher than the previous year. This growth in funding is due to increased education funding nationally, the incorporation into the DSG of most funding for the teachers pay and pensions grants that were previously paid as separate grants and an overall rise in pupil numbers compared to 2020/21.

Schools Block

Lancashire continues to use the National Funding Formula (NFF) methodology as the local Lancashire funding model in 2021/22. For 2021/22, the NFF includes.

- Funding for teachers pay & pensions grants that were previously paid separately.
- Additional funding for small and remote schools will increase in 2021/22.
- Mandatory Minimum Pupil Funding levels now include pay and pensions:

- £4,180 per pupil for primary schools (£3,750 per pupil for primary schools in 2020/21).
- £5,415 per pupil for secondary schools (£5,000 per pupil for secondary schools in 2020/21).
- The 2019 update to the Income Deprivation Affecting Children Index (IDACI) has been incorporated in deprivation funding.

Following a consultation with schools and academies in Lancashire, areas where local discretion is available in 2021/22 were agreed by the Schools Forum and the LCC Cabinet as follows:

- The Minimum Funding Guarantee (MFG) was set at a +2.0% with no cap on gains; This means that mainstream schools will be allocated at least 2% more pupil-led funding per pupil compared to its 2020/21 NFF baseline.
- To transfer Schools Block headroom of circa £2m (0.26% of the Schools Block, prior to the inclusion of the teachers pay and pensions grant uplifts) once the NFF methodology has been implemented in full as the local formula, to support other funding blocks. (This headroom is generated from Lancashire's Growth allocation).

High Needs Block (HNB)

The extra funding made available nationally for school budgets in 2021/22 have provided additional High Needs funding that will be used to:

- cover the forecast cost and demand led pressures in High Needs expenditure from April 2021.
- support increases in HNB expenditure to broadly match uplifts in the mainstream sector, including:
 - increasing the Weighted Pupil Numbers (WPN) rate across all school and FE settings by 2% to £4,391.
 - increasing 'School Specific' allocations in special schools and PRUs by 2%.
 - aligning PRU Excluded Pupils, Medical and Other pupils to WPN value at Band E2 (1 WPN) from April 2021:
 - in the secondary formula, 1 WPN paid rather than the average of the secondary AWPU value.
 - in the primary PRU formula, 2/3 of 1 WPN paid rather than the primary AWPU value.
 - incorporating increased income relating to the teachers pay and pensions grants (previously distributed as a separate grant) into school specific allocations for special schools and PRUs, at £660 per pupil.
 - Increase the Hospital Education budget to £927k as set out in DfE operational guidance, taking into account the addition pay and pensions grants.

Early Years Block (EYB)

The Government have increased the 2021/22 EYB allocation, but not at the same level of increase that is included in the Schools and High Needs blocks, and there remain considerable cost pressures facing all providers across the sector. The extra funding does allow for base rates in Lancashire to be increased for 3 and 4 years olds by 6p per hour and allocations for 2 year olds to be increased by 8p per hour.

For the deprivation supplement, the formula has been updated to reflect the 2019 Income Deprivation Affecting Children Index (IDACI) dataset, to mirror the Schools and High Needs Block.

Other funding in the early years formula remains unchanged from 2020/21,

- Early Years Pupil Premium (EYPP) - 53 pence per eligible child per hour.
- Disability Access Fund DAF - £615 per eligible child per year

The supplementary funding for Maintained Nursery Schools (MNS) also continues, but DfE announcements indicate that funding allocations for September 2021 to March 2022 period are described as conditional and they may be subject to change and local authorities are instructed to treat them as unconfirmed;

The local agreement to transfer £2m from the Schools Block to early years will enable the increase in Government funding to be passed on in full and to continue the additional local increases facilitated by the similar transfer last year.

2021/22 base rates will therefore be:

- 2 Year Old Base rate- £5.16 per hour (compared to £5.08 in 2020/21).
- 3&4 Year Old Base rate- £4.35 per hour (compared to £4.29 in 2020/21).

Service De-delegations 2021/22

Regulations require that the Forum is responsible for deciding which services should be de-delegated each year. In October 2020, the Forum considered a number of de-delegation proposals. Primary and secondary members agreed by phase that a number of services would be de-delegated for the 2021/22 financial year. This means that for primary and secondary schools (but not academies) services will be provided centrally. A full list of de-delegations agreed from April 2021 are:

- **Staff costs – Public Duties/Suspensions.** This de-delegation incorporates reimbursement to schools for staff costs associated with public duties and suspensions;
- **Heritage Learning Service - Primary Schools Only.** Provides funding for the work the Heritage Learning Service undertakes for primary schools to help meet the national curriculum and to support wider cultural learning;
- **Support for Schools in Financial Difficulty.** This funding allows support to be offered to schools in financial difficulty, which is managed by the School Improvement Challenge Board (SICB) against published eligibility criteria.
- **Primary Inclusion Hubs.** This de-delegation continues to support Inclusion activities of primary schools in each district to reduce exclusions and improve attendance for pupils at risk of exclusion, including providing high quality training for staff in schools and sharing share good practice and expertise.

Consultation Responses

As always, the Forum is very grateful for the consultation responses and comments from colleagues in schools and academies that help to shape and steer the Forum's decisions and recommendations. For example, circa 170 responses were received during the consultation on de-delegation proposals for 2021/22.

Support for Schools in Financial Difficulty (SIFD)

The Schools Budget for 2021/22 contains a significant increase in the overall level of funding compared to 2020/21, with further increases announced by the Government for the next financial year.

However, a number of schools have continued to face a challenging financial environment in recent years. This additional funding will help ease some of the financial pressures on many schools from April 2021 going forward, but, there are many schools that will only receive inflationary level per pupil increases and may still face considerable financial pressures.

The final outturn position against schools delegated budgets at 31 March 2020 was an underspend of £1.752m. This means that school balances have increased by £1.752m in 2019/20, to a total of £47.319m. However, the aggregate net expenditure position only returned to a positive figure due to various adjustments, including the closure or academisation of schools with large deficit balances during the course of 2019/20 that no longer contribute to the 31 March 2020 school balances year end position. The net position relating to maintained schools that remain open at 31 March 2020 was an overspend of some £0.217m.

41 schools ended the 2019/20 financial year in deficit, including schools from all sectors. The number of schools in deficit at 31 March 2020 has increased slightly from 39 schools a year earlier, providing further evidence of the continuing financial pressures on some schools.

The Forum continues to work with the LA to support schools that are in, or may be heading towards, financial difficulty. This includes monitoring the financial outlook of schools on the Schools in Financial Difficulty category warning system for maintained schools, issuing early warning letters to offer a 'heads-up' that financial pressures may be mounting and using the agreed SIFD procedures to provide additional support to some schools.

During 2020/21, the Forum agreed one-off SIFD allocations to 3 Lancashire schools, to assist with their financial recovery plans.

COVID-19

Throughout the COVID-19 outbreak, the Forum has continued to support a number of adjustments to the normal school funding arrangements in order to mitigate the impact of the pandemic on schools and pupils, which has included:

- Supporting arrangements for redeterminations in the summer and autumn terms 2020 to protect early years providers at historic levels where headcount was reduced by the impact of covid-19
- Other assistance for early years providers including double funding in summer term where child's usual setting was closed, larger upfront interim payments to help cashflow, and waiving of some charges for the sector

- Supporting arrangements for redeterminations across the FY 2020/21 to protect high needs block providers at historic levels where census data was reduced by the impact of covid-19
- Supported numerous in year revisions to the Lancashire supply scheme arrangements to respond to changing government advice on covid-19, including, under certain circumstances, covering staff who are self isolation or clinically extremely vulnerable
- Making representations to the Secretary of State for Education and the DFE about the covid response on behalf of Lancashire schools and early years providers
- Supporting the allocation of a covid payment to Lancashire early years providers
- Underwriting the additional costs of the support through the DSG reserve
- Supporting the LCC position on school staff absences, where parents needed to care for their own children asked to isolate
- Supporting the remote delivery of the traded school finance package
- Suspending the application of the clawback policy at 31 March 2021 due to the funding uncertainties surrounding covid

Full details of all Schools Forum business are available from the [Schools Forum website](#).

For any queries please email schoolsforum@lancashire.gov.uk

Schools Block Working Group Recommendations

Appendix D

At the Schools Block working group on 22 June 2021, members discussed de-delegation proposals for 2022/23. The group expressed support for the option transitioning away from lump sums in the charging methodology, which disadvantaged smaller schools, but felt that this could not be achieved in a single year. The group therefore asked for further modelling to assess the impact of a lump sum reduction of 33% and 50% before making a final decision.

The LA has modelled the lump sum reductions as requested and information is provided below.

The table below shows the increased per pupil charging rates for the Public Duties and Suspensions de-delegation and the Support for Schools in Financial Difficulty de-delegation that would be required to ensure a similar level of income if the lump sum element reduce by 33% or 50% (based on 2021/22 rates):

2021/22 Charges

Public Duties and Suspensions			
Primary per pupil	Secondary per pupil	Primary lump sum	Secondary lump sum
-3.00	-5.50	-450.00	-450.00
Support for Schools in Financial Difficulty			
Primary per pupil	Secondary per pupil	Primary lump sum	Secondary lump sum
-5.91	-12.05	-1000.00	-1000.00

2021/22 Charges if lump sum reduced by 50%

Public Duties and Suspensions			
Primary per pupil	Secondary per pupil	Primary lump sum	Secondary lump sum
-4.12	-5.77	-225.00	-225.00
Support for Schools in Financial Difficulty			
Primary per pupil	Secondary per pupil	Primary lump sum	Secondary lump sum
-8.39	-12.64	-500.00	-500.00

2021/22 Charges if lump sum reduced by 33%

Public Duties and Suspensions			
Primary per pupil	Secondary per pupil	Primary lump sum	Secondary lump sum
-3.74	-5.68	-300.00	-300.00
Support for Schools in Financial Difficulty			
Primary per pupil	Secondary per pupil	Primary lump sum	Secondary lump sum
-7.57	-12.44	-666.67	-666.67

The impact of these options has been modelled and information is provide below on the difference the revised charges would make for some example school's with various NORs in both the primary and secondary phase. The table provides information on each of the de-delegations separately and provides a total impact.

Primary

NOR	Public Duties and Suspensions		Support for SIFD		Total	
	Reduce by half	Reduce by a third	Reduce by half	Reduce by a third	Reduce by half	Reduce by a third
50	169.14	112.76	375.87	250.58	545.01	363.34
100	113.28	75.52	251.73	167.82	365.01	243.34
210	-9.61	-6.41	-21.36	-14.24	-30.97	-20.65
315	-126.92	-84.61	-282.04	-188.03	-408.96	-272.64
420	-244.22	-162.82	-542.72	-361.81	-786.94	-524.63
630	-478.84	-319.22	-1064.08	-709.39	-1542.92	-1028.61

Secondary

NOR	Public Duties and Suspensions		Support for SIFD		Total	
	Reduce by half	Reduce by a third	Reduce by half	Reduce by a third	Reduce by half	Reduce by a third
500	92.15	61.44	204.79	136.53	296.94	197.97
700	39.02	26.01	86.7	57.8	125.72	83.81
900	-14.12	-9.41	-31.38	-20.92	-45.5	-30.33
1100	-67.26	-44.84	-149.47	-99.64	-216.73	-144.48
1300	-120.4	-80.27	-267.55	-178.37	-387.95	-258.64
1500	-173.54	-115.69	-385.64	-257.09	-559.18	-372.78

LANCASHIRE SCHOOLS FORUM
Date of meeting 6 July 2021

Item No 8

Title: Recommendations of the High Needs Block Working Group

Executive Summary

On 15 June 2021, High Needs Block Working Group considered a number of reports, including:

- Inclusion Service Update
- Schools Budget Outturn 2020/21
- School Balances and Clawback 2020/21
- HNB Commissioned Place
- Schools Forum Annual Report 2020/21

A summary of the information presented, and the Working Group's recommendations are provided in this report.

Recommendations

The Forum is asked to:

- a) **Note the report from the High Needs Block Working Group held on 15 June 2021**
- b) **Ratify the Working Group's recommendations.**

Background

On 15 June 2021, the High Needs Block Working Group considered a number of reports. A summary of the information presented, and the Working Group's recommendations are provided below:

1. Inclusion Service Update

Dr Sally Richardson, Head of Inclusion Service, attended the Working Group for this item.

Sally provided a presentation on the Review of SEND Sufficiency.

The SEND sufficiency strategy was agreed at Cabinet in October 2020 and supported the development of a needs led response to demand.

SEND placements are funded from the HNB in the DSG but current demands are creating an unsustainable pressure.

The presentation contained background data on pupil numbers in each area of the county and forecasts around changes that may occur in the pupil population going forward and mapped this to the current SEND provision by phase, split into maintained and non-maintained delivery. The data is being used to identify where additional provision was required.

Several key points emerged from the data, including

Primary

- More places in the East and fewer in the North than expected
- LD/ASC more places in the East, fewer in the North and South largely addressed use of independent/non-maintained placements
- No primary SEMH places in the North addressed to some extent by use of independent/non-maintained placements

Secondary

- Special school place allocation broadly in line with what would be expected
- Slightly more LD/ASC places in East and fewer in North than would be expected; shortfall in the North addressed through use of independent non-maintained provision
- More SEMH places in North and South, fewer in the East than expected in both maintained and independent/non-maintained sectors

16-19

- Very limited provision for pupils post-16 with SEMH; almost entirely dependent on the independent/non-maintained sector
- Some shortfall in the number of places in the South, addressed to a degree by places within the independent/non-maintained sector
- Pupils taking up more places in the East than anticipated

Alternative Provision

- No primary AP places in the East within the maintained sector
- Proportion of primary AP places in the North and South would seem to be consistent with population data
- Slightly fewer secondary AP places in the East and more in the North than expected

Forecasting up to 2026 revealed:

- East – 60 additional places at secondary level for social communication and interaction/SEMH needs (60 more available April 2022); low number/ percentage pupils with SEMH needs, particularly at secondary level
- North - 30 more LD/ASC schools special school places required at both primary and secondary level; currently 46 primary and 79 secondary special school places commissioned in independent schools for social communication and interaction and/or SEMH needs;
- South - 7 more LD/ASC places at primary level and 24 at secondary level (additional 72 available September 2022); 27 more SEMH primary places and 22 SEMH secondary places

Action underway to address issues included:

- Implementation of SEND Sufficiency Strategy priorities through Team Around the School and collaborative working within the SEND Partnership
- Improve accuracy of SEND categorisation of need
- Greater consistency in decision making panels
- Admissions guidance for schools
- Post 16/19 provision under consideration
- Alternative Provision Strategy underway

SEND Sufficiency Strategy to date was delivering:

- Broadfield Specialist School - 60 places from April 2022 (East)
- Sir Tom Finney Community High School – 72 places from September 2022 (South)
- Barrowford Primary School – up to 16 SEN unit places from September 2021 (East/SEMH)
- St Leonard's VA CE School - up to 8 SEN unit places from September 2021 (East/social communication and interaction)

It was also anticipated that the further development of SEN Units, as follows:

Under consultation summer 2021:

- 6 primary SEN units (2 East, 1 North, 3 South; 80+ places)
- 1 secondary SEN unit (South; 16 places)

Further expression of interest summer 2021

- More primary SEN units (South, North; up to 64 places)
- More secondary SEN units (East/South; up to 48 places)

Further potential solutions in the strategy included:

- East – more effective use of existing specialist provision at primary level for pupils with social communication and interaction/SEMH needs because more primary places overall and LD/ASC needs but fewer for SEMH needs and no AP; creation of SEN units and satellite units for pupils with social communication and interaction/SEMH needs at primary and secondary level to address lower proportion of SEMH/AP places

- North – satellite LD/ASC provision at primary and secondary level plus primary and secondary SEN units social communication and interaction/ SEMH needs to address slight shortfall in LD/ASC provision and reduce dependency on independent non-maintained provision
- North - explore free school option, upper KS2 to KS4 SEMH Morecambe/ Lancaster and potential for collaboration with neighbouring authorities to address lack of SEMH primary provision and travelling distance between Lancaster/Morecambe and Fylde and Wyre
- South – majority additional LD/ASC places will be provided by expansion of Sir Tom Finney Community High School and through exploration of satellite provision at primary and secondary level for the limited number of additional places forecasting indicates will be required
- South SEMH/ASC increase by circa 30 in primary and secondary provision ; SEMH satellite provision in Preston to address anticipated future need in this area of the South. All primary and $\frac{2}{3}$ secondary SEMH provision in West Lancs where likely to be surplus school places; will also address some of North shortfall

Members considered the information provided and made some initial comments on the presentation. This included positive feedback about the presentation and the data included in it, the need to provide adequate training and support to schools developing SEN units on their sites and a suggestion about the order of some slides.

It was agreed that the presentation would be circulated to members after the meeting and any further comments could be provided.

The Working Group thanked Sally for the presentation and the considerable effort that had gone into pulling together the background information and developing the strategy,

The Working Group:

- a) Noted the information provided on the presentation.**
- b) Expressed some initial comments on the information and asked if the presentation could be circulated to members with the opportunity to provide any further feedback.**
- c) Thanked Sally for the work that had gone into producing the report.**

2. Schools Budget Outturn 2020/21

A copy of the Schools Budget Outturn report for 2020/21 was presented to the meeting. A copy of the full report is provided as an Appendix to the Schools Block Recommendations item on the agenda.

The Overall Schools Budget outturn position for 2020/21 shows an underspend of circa £5m.

The outturn position for the 2020/21 High Needs Block (HNB) revealed a circa £0.9m underspend. Further details in connection with HNB block were discussed by the Group.

It was noted that the most significant HNB variance related to mainstream schools and represented a circa 33% overspend on the budget. Actual expenditure for both special schools and alternative provision incorporated additional funding distributed to the sector in accordance with financial protections agreed by the Forum in response to the COVID-19 pandemic. These payments totalled over £1.1m in 2020/21 across HNB.

The commissioned services expenditure ended the year with an overspend of over £4m. As per established practice, a more detailed breakdown of the HNB expenditure against the agreed budget lines was provided for the members, including further details of expenditure on other HNB budget lines.

Of particular interest to the Forum on the commissioned services breakdown was the £4.2m overspend on the Out-county budget. Linked to the earlier presentation from Sally Richardson, strategies are being deployed to enhance maintained provision within the county, through the AP Strategy, SEN Units and increased special school capacity, but this will take time to feed through into the budget position.

When the 2020/21 Schools Budget was being set, provision was made for HNB growth, which was forecast at circa £12m for the year. This provision was utilised in year to offset the increased expenditure across HNB school budget lines and within commissioned services, allowing the overall HNB budget to end the year with a £0.9m surplus.

The Working Group:

a) Noted the report and the 2020/21 Schools Budget final financial outturn position.

Subsequent to the Working Group meeting the county council received information from the results of a survey conducted by the Society of County Treasurers (SCT) on DSG budget deficits. The survey was conducted in May 2021 and collates responses from all 40 county councils with SCT membership.

Headlines from the survey include:

- I. In 2020-21 SCT members received £20.37bn of Dedicated Schools Grant, up from £19.2bn in 2018-19 and forecasted to rise to £23.2bn in 2024-25.*
- II. An increasing share has been and will be allocated to high needs – from 14.8% in 2018-19 to 17.7% in 2024-25.*
- III. Funding is expected to rise for all blocks except for Central Services.*
- IV. DSG Deficits are expected to reach £410m per year in 2024-25 – a cumulative deficit of £1.84bn.*
- V. SCT members forecast a total transfer of £198m from schools and central blocks to High Needs over the surveyed period.*
- VI. Despite this, High Needs deficits are forecasted to increase in size each year following a slight contraction in 2020-21.*
- VII. In cumulative terms, High Needs deficits have increased or are forecasted to increase throughout the surveyed period; currently (March 2021) at almost £750m this is forecasted to almost triple to well over £2bn by March 2025. Almost all SCT members saw an in-year high needs deficit in 2019-20 and 2020-21.*

As indicated in the outturn report to the working group, Lancashire reported an in year surplus of almost £1m in 2020/21 against HNB, but there are still considerable demand led pressures on the block and 2020/21 was an particularly unusual year.

3. School Balances and Clawback 2020/21

A copy of the School Balances and Clawback 2020/21 report was presented to the meeting. A copy of the full report is provided as an Appendix to the Schools Block Recommendations item on the agenda.

The final outturn position against schools delegated budgets at 31 March 2021 was an underspend of £42.832m. This means that school balances have increased by £42.832m in 2020/21, to a total of £90.151m.

Further analysis of the year end school balances position was provided for the working group and particular attention was shown to the special school and PRU positions. Some of the uncertainties around PRU funding was highlighted, although it was noted that additional funding had distributed to the sector in accordance with financial protections agreed by the Forum in response to the COVID-19 pandemic and the PRUS had continued to offer support to a number of schools. It was emphasised that the situation would need to be kept under review.

The 2020/21 financial year has clearly been an exceptional one in terms of the covid pandemic. Whilst some costs were increasing during 2020/21, as schools responded to relevant covid protocols and safety requirements throughout the year, there were also some extended periods when many schools were closed to the majority of pupils, which will have provided some savings against some planned expenditures.

In addition to the core DSG funding allocations to schools, considerable additional funding was allocated during 2020/21 in the form of Government grants. For Lancashire maintained schools, grant allocations in the year totalled over £105m. Some of these grants could be utilised in year, regardless of the pandemic, for example those covering teachers pay and pensions contributions. However, spending relating to other grants would have been curtailed by the pandemic, and the associated conditions of grant may require the funding to be utilised during FY 2021/22, especially as schools continue to respond to the challenges of supporting pupils catch up on learning. Such grants could include Pupil Premium, PE Sports premium, and the Coronavirus (COVID-19) catch-up premium.

30 schools ended the 2020/21 financial year in deficit. The number of schools in deficit at 31 March 2021 has decreased from 41 schools in deficit a year earlier and is at its lowest level since March 2016. However, the 2021 figure may be artificially low, as many schools will have increased year end balances as the result of funding that could not be fully spent in 2020/21 due to the pandemic and in particular grant allocations that will need to be utilised in 2021/22 focussed on the covid educational recovery.

The report also included about the movement in balances at an individual school level in 2020/21

Forum had agreed to suspend clawback of excess balances in 2019/20 or 2020/21 and members were asked to consider the school balances and clawback policy to be applied at 31 March 2022.

Clearly, the year end position at 31 March 2021 has been impacted by the exceptional circumstances faced during the year. There has been a significant increase in aggregate school balances in 2020/21, but substantial funding held in the reserves is earmarked for use

in 2021/22, much of this will relate to DfE grant funding that will be utilised to support pupils catch up on learning during 2021/22.

The annual Analysis of Balances Return to the authority shows that of the school balances held at 31 March 2021, £36m is committed across 473 schools. This compares to a figure of £6.8m identified by 157 schools at 31 March 2020 and may suggest that aggregate balances could reduce during 2021/22.

Representations about the application of clawback at 31 March 2022 have also been received on behalf of some Lancashire schools and these were shared with the group

A number of schools balances and clawback options are available to the Forum for 2021/22, which were considered by the group, including:

- Suspend the application of clawback at March 2022 due to the continued uncertainties around the COVID-19 pandemic.
- Reintroduce a clawback policy in 2021/22, as per previous arrangements set out below, or with amended rates:
 - A clawback rate of 50% is to be applied to any balance above guideline in the first year a school exceeds the guideline (after adjusting for exemptions)
 - A clawback rate of 100% is to be applied to any balance in excess of guideline where the guideline has been breached for two or more consecutive years (after adjusting for exemptions)

(Note: As clawback was suspended in 2020/21, not school would be subject to the 100% clawback rate in 2021/22).

- Suspend clawback in 2021/22, but give notice that it will be reintroduced at the end of 2022/23, if there are no significant covid related impacts in the intervening period.
- Other suggestions that members may have or have been suggested by Lancashire schools, including
 - Enabling schools to transfer above threshold Reserves into the Capital pot for future investment thereby ensuring that the individual school benefits and the authority school building infrastructure improves.
 - Increase of the threshold percentage – currently 12% to 20%.

Information was also shared with the group setting out the 31 March 2021 position on Schools Budget Reserves.

The year end position on the School Teaching and Support Staff Supply Reimbursement Scheme was highlighted with an underspend of circa £0.9m, leaving an outturn position of circa £1.9m. Options for the use of the reserve were discussed by members.

The Working Group:

- a) Noted the report.**
- b) Noted the overall school balances position at 31 March 2021, including the individual school level information provided in the report.**
- c) Noted the previous Forum decision to suspend the application of clawback at 31 March 2021.**
- d) Noted the increase in committed balances at 31 March 2021.**

- e) **Recommended that clawback be again suspended in 2021/22, but that notice be given to schools that it will be reintroduced at the end of 2022/23 (if there are no significant covid related impacts in the intervening period) at the historic levels:**
 - **A clawback rate of 50% is to be applied to any balance above guideline in the first year a school exceeds the guideline (after adjusting for exemptions)**
 - **A clawback rate of 100% is to be applied to any balance in excess of guideline where the guideline has been breached for two or more consecutive years (after adjusting for exemptions)**
- f) **Noted the underspend on the supply scheme budget at 31 March 2021.**
- g) **Recommended that the scheme reserve be held at the current time to mitigate against the risk of high costs being incurred in 2021/22.**
- h) **Recommended that the supply scheme position be reassessed at March 2022, when judgements could be made about the appropriate level of reserves going forward, if 2021/2223 has been a stable year for the scheme.**

4. HNB Commissioned Place Process

As part of the process agreed with the Schools Forum in 2020, an early notification was introduced to provide special schools and PRUs with an indicative number of places that the LA would expect to commission at each school, which would be incorporated in the school budget for the following financial year.

These early notification letters were issued for the first time in July 2020 and included the indicative number of places calculated using the commissioned place methodology for each sector.

Officers have been reflecting on the operation of this procedure and other related issues ahead of commencing any actions in the 2022/23 commissioning process. A number of factors are being considered, which include:

- The timing of the initial communication, which arrived close to the end of the summer term 2020, created significant distress for some heads and complaints to officers, particularly where indicative numbers were down on current places, and at a time just before summer when there was limited opportunity to react
- There were significantly more variations from the indicative numbers issued in July 2020 to the actual places included in the budgets at PRUs than at special schools
- Covid related protections were applied to the HNB budget redetermination process across both sectors during the last year, but there was greater impact at PRUs
- The PRU methodology calculated on the average of the previous 3 PRU census points will be significantly impacted by covid-19, with census cancellations and lower NORs experience in the sector, and even May 2021 data may not have returned to pupil levels expected before the pandemic
- The outcomes of the AP strategy are not yet known, but may change the commissioning priorities and arrangements within the sector

Having consider the relevant factors, the LA is proposing some changes to the system for PRUs at this time but intends to leave the special schools process unaltered.

PRU Process 2022/23

It is proposed that correspondence on indicative place numbers for 2022/23 to PRUs is not issued in July 2021 but is instead circulated in autumn term 2021. At that time it is hoped that indicative data will include input from the service to refine the commissioned places to figures that will be more closely aligned to the final budget places and can take account of the latest recommendations from the AP strategy group.

Special School Process 2022/23

No changes to the procedures that operated in 2020 are proposed for special schools. The May 2021 census data should be available in time to communicate with special schools before the end of the summer term 2021 and experience suggests that these indicative numbers should closely align to likely places that will be included in the final budgets for the sector. Limited covid-19 impact on NORs in special schools is expected at the May 21 census.

The additional place top up funding arrangements will continue to operate in 2022/23, where the actual number of pupils at each redetermination is greater than the number of places commissioned on the budget forecast, so a continued safety mechanism remains built into the system.

Members considered the proposals and supported their introduction, particularly as the proposed changes were responding to feedback from the sector.

The Working Group

- a) **Noted the report.**
- b) **Supported the proposed changes to the commissioned place process for 2022/23.**

5. Schools Forum Annual Report 2020/21

Since 2005/06, the Forum has produced an Annual Report, which is circulated to all schools via the Schools Portal and made available on the Forum website.

A draft Forum Annual Report for 2020/21 was provided for the working group. A copy of the full report is provided as an Appendix to the Schools Block Recommendations item on the agenda.

Members considered the draft report and supported its publication.

The Working Group

- a) **Noted the report**
- b) **Recommended to the Schools Forum that the 2020/21 Annual Report be approved for publication.**

LANCASHIRE SCHOOLS FORUM
Date of meeting 6 July 2021

Item No 9

Title: Recommendations of the Early Years Block Working Group

Executive Summary

On 17 June 2021, Early Years Block Working Group considered a number of reports, including:

- Schools Forum Early Years Block Membership
- Funding Agreement for the Provision of Early Education Funding 2021/22, including sector consultation responses on PVI Headcount Dates and Interim Payments Terms
- Funding for local authorities in financial year 2021 to 2022
- Maintained Nursery School (MNS) Review
- Schools Budget Outturn 2020/21
- School Balances and Clawback 2020/21
- Schools Forum Annual Report 2020/21
- SEN Inclusion Fund

Recommendations

The Forum is asked to:

- a) Note the report from the Early Years Block Working Group held on 17 June 2021**
- b) Ratify the Working Group's recommendations.**

Background

On 17 June 2021, the Early Years Block Working Group considered a number of reports. A summary of the information presented, and the Working Group's recommendations are provided below:

1. Schools Forum Early Years Block Membership

As part of the Schools Forum annual membership review, 2 of the 3 early Years PVI representatives on the Forum, Peter Hindle and Anne Peet, indicated that they did not wish to continue their membership from September 2021.

The county council sought replacement nominees from the sector in May 2021, but only one self nomination was received.

Phillipa Perks has therefore been formally appointed as one of the early years PVI representatives on the Schools Forum from September 2021.

As only one new representative was obtained through the nomination process, Peter Hindle has kindly agreed to continue as a Forum representative for an interim period. Nominations will be sought again in the autumn term 2021.

The Working Group

- a) Noted the report.**
- b) Welcomed Phillipa Perks as one of the formal Schools Forum representatives from September 2021.**
- c) Thanked Anne Peet for her contribution to the work of the Forum**
- d) Thanked Peter Hindle for agreeing to extend his membership**

2. Funding Agreement for the Provision of Early Education Funding 2021/22, including sector consultation responses on PVI Headcount Dates and Interim Payments Terms

This report provides information on changes to the Private, Voluntary and Independent Sector (PVI) Funding Agreement, and Schools Sector Memorandum of Understanding for Early Education Funding for the 2021/22 academic year.

The DfE have recently announced that for the summer and autumn terms 2021 the way in which local authorities will be funded for the early years element of the Dedicated Schools Grant (DSG). Rather than using the spring term census counts, DSG allocations will be based on actual attendance levels in each term.

As such they have asked all local authorities to align the dates of their PVI headcount with the dates of the school census for the summer and autumn 2021 terms.

In light of this, the county council undertook a consultation with the early years sector in April 2021 to seek their views on the following:

- Whether the termly PVI headcount dates should be realigned with the termly school census dates on a permanent basis.
- What the future early education payment terms for PVI settings should be.

The response to the consultation was 6% (64 settings) and the table below provide summary of responses:

Type of Setting	Option 1	Option 2	Option 3	Not Sure	Totals
Private, Voluntary and Independent	19	14	4	0	37
Childminder	8	11	5	1	25
Other	0	0	1	1	2
Totals	27	25	10	2	64
	42%	39%	16%	3%	100%

As the response to the consultation did not provide a definitive preference, officers met with members of the Early Years Consultative Group (EYCG) on 7 May 2021 to discuss the options in more detail.

In relation to the termly PVI headcount dates the group felt that the best option would be to move to a permanent alignment of dates with the school census, particularly as there is a good chance the DfE will continue with this beyond spring 2022. As such this meant that options 2 or 3 would need to be implemented in relation to the payment terms, because option 1 is not possible with later headcount dates. Whilst there are pros and cons for each, the group's overall view was that the county council should move to option 2. This was also in line with the sector feedback exercise as more settings opted for option 2 than option 3.

However, the group asked if the county council could increase the interim payment for the summer term from 80% to 90%. This is because interim payments are based on a percentage of the previous term's headcount hours. Paying 80% of spring hours would mean that settings would only be receiving approximately 57% of the total funding due to them for summer up front. Whereas, paying an interim of 90% increases that to approximately 65% which is in more in line with 3 months due to them (i.e. a full year setting would be due 60% payment for April to June and a term time only setting would be due 75% April to June).

The county council has agreed the recommendations made by the Early Years Consultative Group.

The Local Authority Agreement for the Provision of Early Education Funding (EEF) and the Schools Memorandum of Understanding for the provision of Early Education Funding (EEF) had been updated to reflect the agreed changes to the headcount dates and payment terms. A summary of the changes to the documents and copies of the full agreements were provide for the group.

Members sought clarification on a small number of additional changes to the documents, and officers present explained the rationale for some adjustment. However, it was agreed that further clarification would be sought in connection with clauses 14.11 and 16.15b.

A paper was also tabled from the Working Group Chair, which asked for consideration of an amendment to the arrangements set out in the funding agreement when a PVI setting receives an Inadequate judgement from OfSTED that did not receive good for the education

element and proposed that the setting could continue to receive EEF subject to certain conditions and limitations.

The proposal was brought forward to with the aim to promote quality improvement in PVI and to provide time and the resources for that improvement, especially as the sector attempts to recover from the significant impact of covid.

Members debated the proposal and were largely supportive of the proposed amendments.

Officers agreed to consider the proposal further and feedback to the Chair.

The Working Group:

- a) Noted the report, including the feedback from the consultation with the sector**
- b) Noted that recommendations from the Early Years Consultative Group about PVI Headcount Dates and Interim Payments Terms had been accepted by the county council and built into the updated funding agreement and memorandum of understanding**
- c) Requested clarification around a small number of amended clauses in the documents**
- d) Recommended that the county council consider the proposed amendment to the funding agreement arrangements relating to funding for settings that received an Inadequate judgement from OfSTED**

3. Funding for local authorities in financial year 2021 to 2022

The normal process for determining EYB funding allocations for LAs is to take an annual census count of the number of hours taken up by children in January each year. Normally, this would mean the LA would be paid for the summer term 2021 based on the January 2021 census data, and for the autumn term 2021 and spring term 2022 based on the January 2022 census data.

However, due to the ongoing Covid implications, the DfE acknowledge that the January 2021 census data may be lower than normal, and are therefore introducing revised arrangements for FY 2021/22, where funding will be based on a termly attendance count, which will align PVI headcount and school termly census dates for this period. A summary of the early years funding allocation methodologies for 2020/21 and 2021/22 was provided for members.

Implications for this change have been factored into consideration of the local Funding Agreement and responses to the consultation on PVI Headcount Dates and Interim Payments Terms, which were reported elsewhere on the agenda.

This move to funding the LA based on termly counts is welcomed, as it minimises a considerable risk factor that had been identified if the standard payment methodology had been implemented in 2021/22, where a low spring term 2021 census figure used for funding purposes would not have provided sufficient income to pay for increased attendance in summer 2021, with the additional costs needing to be met from reserves.

It is not clear if the revised termly count arrangements for funding EYB payments will continue beyond 2021/22, but the county council believes that the system is clearer, more sensible

and more financially stable than using the historic methodology, regardless of current Covid uncertainties.

The Working Group

a) Noted the report

4. Maintained Nursery School (MNS) Review

The LCC Cabinet agreed to conduct a review of maintained nursery school provision in the county in January 2020. However, whilst a review prior to further consultation was started, it was put on hold due to the covid-19 pandemic.

The decision to consult on maintained nursery schools remains a priority for the local authority. In May 2021 a revised review recommenced with maintained nursery schools to progress this. The review is intended capture qualitative information relating to provision and service delivery, including the financial position of each of the 24 maintained nursery schools.

The local authority has a duty to ensure that such decisions for consultations are based on valid evidential information, with a pro-active position to mitigate and manage future risk, whilst also exploring potential growth opportunities. The review and consultation focus is to provide the level of information required for a full analysis of the maintained nursery schools as individual settings.

This stage formally closed on 28 May 2021, and the LA is in the process of analysing responses and information received. A report arising from the analysis will be shared with MNS headteachers and governors, due to be published the week beginning 5 July, to help shape discussions about the service and individual schools going forward.

The Working Group

a) Noted the report.

5. Schools Budget Outturn 2020/21

A copy of the Schools Budget Outturn report for 2020/21 was presented to the meeting. A copy of the full report is provided as an Appendix to the Schools Block Recommendations item on the agenda.

The Overall Schools Budget outturn position for 2020/21 shows an underspend of circa £5m

The Early Years Block outturn position for 2020/21 indicates a circa £1.5m underspend. However this does not include implications from the January 2021 census, indicating a reduced level of funding for the year, with the adjustment occurring in July 2021.

Information suggested that the adjusted funding may be circa £1.4 lower, meaning that the final budget figure for 2020/21 would be approximately balanced.

Further information was provided in the report on budget issues and variances and some key points were highlighted, including:

- The actual expenditure for both maintained and PVI providers incorporates additional funding distributed to the sector in accordance with financial protections agreed by the Forum in response to the COVID-19 pandemic. These payments totalled almost £4.5m in 2020/21 across the whole EYB.
- Disability Access Fund (DAF) was circa £200k below budget, and it was noted that the LA was promoting eligibility to Disability Living Allowance (DLA) , which parents needed to apply for in order to generate a DAF allocation to settings. Individual providers shared some of the ways they supported parental applications, which also generated DAF funding for their setting, which could be used to support the child.
- The Inclusion Fund expenditure was circa £340k below budget. Previous years underspends on this budget have prompted the Working Group to establish a dialogue with the Inclusion Service to consider the scope, accessibility and level of the fund and further information on this issue is provided elsewhere on the agenda.

It was noted that the changes to how DfE allocate funding for Early Years Block in 2021/22 would assist the stability of the DSG budget position.

The Working Group

- a) **Noted the report and the 2020/21 Schools Budget final financial outturn position.**

6. School Balances and Clawback 2020/21

A copy of the School Balances and Clawback 2020/21 report was presented to the meeting. A copy of the full report is provided as an Appendix to the Schools Block Recommendations item on the agenda.

The final outturn position against schools delegated budgets at 31 March 2021 was an underspend of £42.832m. This means that school balances have increased by £42.832m in 2020/21, to a total of £90.151m.

The 2020/21 financial year has clearly been an exceptional one in terms of the covid pandemic and for many mainstream schools extended periods when many schools were closed to the majority of pupils, have provided some savings against some planned expenditures. In addition, mainstream schools considerable additional funding was allocated during 2020/21 in the form of Government grants and expenditure had been curtailed by the pandemic, and the associated conditions of grant may require the funding to be utilised during FY 2021/22, especially as schools continue to respond to the challenges of supporting pupils catch up on learning. Such grants could include Pupil Premium, PE Sports premium, and the Coronavirus (COVID-19) catch-up premium.

Further analysis of the year end school balances position was provided for the working group and particular attention was shown to the nursery school positions.

The working group welcomed that fact that a large number of nursery schools were in a healthy financial position at March 2021, and it was noted that any good practice highlighted from the MNS review.

The report also included about the movement in balances at an individual school level in 2020/21

Forum had agreed to suspend clawback of excess balances in 2019/20 or 2020/21 and members were asked to consider the school balances and clawback policy to be applied at 31 March 2022.

Clearly, the year end position at 31 March 2021 has been impacted by the exceptional circumstances faced during the year. There has been a significant increase in aggregate school balances in 2020/21, but substantial funding held in the reserves is earmarked for use in 2021/22, much of this will relate to DfE grant funding that will be utilised to support pupils catch up on learning during 2021/22.

The annual Analysis of Balances Return to the authority shows that of the school balances held at 31 March 2021, £36m is committed across 473 schools. This compares to a figure of £6.8m identified by 157 schools at 31 March 2020 and may suggest that aggregate balances could reduce during 2021/22.

Representations about the application of clawback at 31 March 2022 have also been received on behalf of some Lancashire schools and these were shared with the group

A number of schools balances and clawback options are available to the Forum for 2021/22, which were considered by the group, including:

- Suspend the application of clawback at March 2022 due to the continued uncertainties around the COVID-19 pandemic.
- Reintroduce a clawback policy in 2021/22, as per previous arrangements set out below, or with amended rates:
 - A clawback rate of 50% is to be applied to any balance above guideline in the first year a school exceeds the guideline (after adjusting for exemptions)
 - A clawback rate of 100% is to be applied to any balance in excess of guideline where the guideline has been breached for two or more consecutive years (after adjusting for exemptions)

(Note: As clawback was suspended in 2020/21, not school would be subject to the 100% clawback rate in 2021/22).

- Suspend clawback in 2021/22, but give notice that it will be reintroduced at the end of 2022/23, if there are no significant covid related impacts in the intervening period.
- Other suggestions that members may have or have been suggested by Lancashire schools, including
 - Enabling schools to transfer above threshold Reserves into the Capital pot for future investment thereby ensuring that the individual school benefits and the authority school building infrastructure improves.
 - Increase of the threshold percentage – currently 12% to 20%.

Information was also shared with the group setting out the 31 March 2021 position on Schools Budget Reserves. The DSG reserve therefore ended the year with a balance of £16.096m.

One adjustment to the DSG reserve that was highlighted related to the one-off covid payment agreed by the Forum for early years providers. The final amount charged to the DSG reserve is only £24k, which concerns support specifically provided for maintained nursery schools.

The original estimate for the cost of support to DSG funded early years providers was circa £600k, as the forecast cost also included allocations to PVI providers. During discussions around the source of funding for these allocations, the county council ultimately agreed to meet the costs for all the PVI support (LCC had already agreed to meet the costs of one-off covid allocations for early years PVI providers not in receipt of DSG funding) from its own covid allocation. .

The year end position on the School Teaching and Support Staff Supply Reimbursement Scheme was highlighted with an underspend of circa £0.9m, leaving an outturn position of circa £1.9m. Options for the use of the reserve were discussed by members.

The Working Group:

- a) **Noted the report.**
- b) **Noted the overall school balances position at 31 March 2021, including the individual school level information provided in the report.**
- c) **Noted the previous Forum decision to suspend the application of clawback at 31 March 2021.**
- d) **Noted the increase in committed balances at 31 March 2021.**
- e) **Recommended that clawback be again suspended in 2021/22, but that notice be given to schools that it will be reintroduced at the end of 2022/23 (if there are no significant covid related impacts in the intervening period) at the historic levels:**
 - o **A clawback rate of 50% is to be applied to any balance above guideline in the first year a school exceeds the guideline (after adjusting for exemptions)**
 - o **A clawback rate of 100% is to be applied to any balance in excess of guideline where the guideline has been breached for two or more consecutive years (after adjusting for exemptions)**
- f) **Noted the underspend on the supply scheme budget at 31 March 2021.**
- g) **Recommended that the scheme reserve be held at the current time to mitigate against the risk of high costs being incurred in 2021/22.**
- h) **Recommended that the supply scheme position be reassessed at March 2022, when judgements could be made about the appropriate level of reserves going forward, if 2021/2223 has been a stable year for the scheme.**

7. Schools Forum Annual Report 2020/21

Since 2005/06, the Forum has produced an Annual Report, which is circulated to all schools via the Schools Portal and made available on the Forum website.

A draft Forum Annual Report for 2020/21 was provided for the working group. A copy of the full report is provided as an Appendix to the Schools Block Recommendations item on the agenda.

Members considered the draft report and supported its publication.

The Working Group

- a) **Noted the report**

- b) Recommended to the Schools Forum that the 2020/21 Annual Report be approved for publication.**

8. SEN Inclusion Fund

On 17 May 2021, the latest meeting of the group established to consider matters around the SEN Inclusion fund took place. This report provided an update on key issues.

- **Feedback from Task and Finish Group**

The Task and Finish group had met and were now considering the relevant forms (e.g. Rfl, Inclusion Fund, and EP) for finalisation. The intention was to share updated information and forms at the summer term EY networks and update website content as required.

- **Childminder Access to Inclusion Fund**

Officers confirmed that investigation had revealed that there were no reasons in the original Inclusion Fund conditions that prevented childminders from accessing the fund.

It was therefore intended to extend the fund to include this group, for implementation from September 2021, and discussions were ongoing about the extension of the fund access could be shared with childminders.

One ongoing issue to be resolved before any formal announcements could be made centred on the issue of ensuring adequate support could be available to assist with queries from any eligible childminders.

- **Inclusion Fund Funding Levels**

Officers had met to discuss the funding levels for the Inclusion Fund and increased levels of funding were proposed., including an increased level of Fund B payments of £74.00 per week (£2,812 for a full academic year)

The Group supported the proposals, but did suggest that confirmation letters for the service should perhaps just set out the total allocation and not subdivide the allocations into a Notional SEN funding element and a Top up funding element.

Other issues being considered included:

- Portage Service transition
- Specialist teacher role
- Educational Psychologists visits

The Working Group

a) Noted the report.

b) Welcomed the progress being made on this issue through the task and finish group.

9. Education recovery announcement for early years providers

It was noted that funding had been made available nationally to assist educationally recovery, which included the provision of £153m for training for early years staff to support the very youngest children's learning and development.

Lancashire colleagues were involved in discussions around this funding, but were awaiting further details from DfE.

The Working Group

- a) Noted the report.**

10. Early Career Teachers (ECTs)

A query was raised at the about whether a private nursery could support an ECT through their two year pathway.

Officers agreed to check and confirm the position.

The Working Group

- a) Noted the question raised and that an answer would be circulated to members.**

Subsequent to the meeting it was confirmed that NQTs/ECTs can undertake Induction in a PVI if there is a Headteacher in post with QTS in the setting and the NQT/ECT is paid as a Teacher and is predominantly working with three year olds and above.

LANCASHIRE SCHOOLS FORUM
Date of meeting 6 July 2021

Item No 10

Title: Recommendations of the Chairs' Working Group

Appendix A refers

Executive Summary

On 22 June 2021, Chairs' Working Group considered a number of reports, including:

- Schools in Financial Difficulty (SIFD) –Categorisations
- Schools in Financial Difficulty (SIFD) Support Criteria

A summary of the information presented, and the Working Group's recommendations are provided in this report.

Recommendations

The Forum is asked to:

- a) Note the report from the Chairs' Working Group held on 22 June 2021
- b) Ratify the Working Group's recommendations.

Background

On 22 June 2021, the Chairs' Working Group considered a number of reports. A summary of the information presented, and the Working Group's recommendations are provided below:

1. Schools in Financial Difficulty (SIFD) –Categorisations

Regular reports have been presented to the Chairs' Group/Forum around Schools in Financial Difficulty (SIFD) categorisations, and information was last shared with the group based on forecast data taken from the LCC accounts at December 2020

When considering the report on December 2020 forecast data, members requested an updated once the final outturn position for 2020/21 was available. This analysis is shown below

All schools based on school outturn data from 31 March 2021

Category	No. of schools	%
1	4	0.7%
2	7	1.2%
3	43	7.6%
4	514	90.5%
	568	

The following points were highlighted:

- The Schools in Financial Difficulty categorisation based on the March outturn position each year is always viewed as the most reliable, as it is determined on the actual year end position for individual schools, whereas analysis at other points in the year are calculated using forecast data.
- The number of schools in the analysis has reduced by 1 since the last report, as one maintained school has become an academy.
- The number of schools judged Category 1 Structural Deficit in March 2021 remains at 4 and is unchanged since the last report.
- The overall numbers of schools across Category 2 Significant Deficit and Category 3 Vulnerable Position, has decreased to 50 (8.8%) from 54 (9.5%) in the December 2020 analysis and is significantly reduced from the outturn position reported for March 2020 when 99 schools (17.2%) were identified in these categories.
- There is some concern that the analysis based on the March 2021 position may provide an overly optimistic perception for some schools due to the impact of COVID-19 on their financial position. Core school funding was increased for 2020/21 and has been largely protected during the pandemic, and additional funding has been allocated to schools by Government grants, some of which are targeted at educational recovery. However, national school lockdowns have meant that school expenditure has been curtailed, so balances at the end of the 2020/21 financial year may be artificially high with funding that is committed and will need to be utilised in 2021/22

Information was also shared with the group providing the analysis and comparison data by sector. Headlines from this analysis include:

- Nursery School Sector - Whilst the analysis of the financial position for nursery schools has improved slightly since the December 2020 data, the sector remains the most vulnerable in the March 2021 categorisation, with almost 30% of schools identified across categories 1-3. The county council has recommenced a review of maintained nursery schools in the county, which had been paused due to the pandemic.
- Primary School Sector - Primary school categorisation has also improved marginally in March 2021, with only 2 schools identified in category 2, compared to 6 when the December 2020 analysis was run.
- A further breakdown of primary school data was shared with the group providing an analysis by school size. As with previous primary school size analysis, the smallest schools, with fewer than 105 NOR, are the most financially vulnerable, with circa 19% of schools identified as category 3, compared to circa 1% in the over 210 NOR size, with 106-210 NOR in the middle.
- Secondary School Sector - The secondary school analysis for March 2021 is largely unchanged from December 2020, with over 90% of schools identified as category 4 Stable Position.
- PRU Sector - March 2021 categorisation for PRUs is identical to that presented on the December 2020 data, and still identifies 75% of PRUs in Category 3 Vulnerable Position. All PRUs remain in surplus at March 2021 and were provided with some funding protection in 2020/21 enabling termly redeterminations to be calculated on the basis of the higher of current or previous terms NOR. However, the actual NORs for the PRU sector were impacted by covid-19 and the categorisations reflect this uncertainty. Outcomes from the ongoing AP Strategy review will also need to be considered in the PRU funding formula going forward.
- Special School sector – Analysis of special schools shows a marginally improved position at March 2021, with 2 schools moving from category 2 in December 2020 to category 3. However, this sector retains the highest percentage of schools in category 1 structural deficit, at circa 7%.

The Group:

- a) Noted the report and analysis provided.**
- b) Noted that the Schools Block working group were being presented with a report about the changing methodology associated with de-delegations including consideration of removing the lump sum element of the charge over time, which could disadvantage small schools.**

2. Schools in Financial Difficulty (SIFD) Support Criteria

The Schools in Financial Difficulty (SIFD) Support Criteria are kept under regular review. To be eligible for some elements of the support, for example the mitigation of interest charges or meeting the cost of contracting the School Financial Services Team at an enhanced level, the policy requires that schools in deficit have a Recovery Plan agreed with the authority.

The statutory framework for recovery plans is set out in the Scheme for Financing Schools and includes provision that '*the maximum length over which the school may repay the deficit is not greater than three years*'.

Officers have been reflecting on the fact that a small number of schools in the most serious financial difficulties are unable to agree a three year recovery plan with the LA, as they are not in a position to repay the accumulated deficit over that time period, even if the school

leadership are fully engaged in the financial recovery of the school. In such circumstances, some schools are asked to submit a sustainability plan. A sustainability plan can set out the actions being taken to improve the financial position of the school over a 3 year timeframe and attempts to reach a balanced in year budget position as a first step. Approvals for sustainability plans would include conditions, similar to those contained in formal recovery plans, that the first call on each year's budget should be the repayment of the budget anticipation agreed as part of the plan, even though the plan may not demonstrate a return to a surplus position within the plan timeframe.

The sustainability plan should assist a school to reach a more stable financial position, whilst other strategic considerations about the future of a school are being reviewed. This stable position is an important step in ensuring that any budget deficit is not increasing whilst strategic options are being considered.

However, as no recovery position is achieved in the 3 year cycle of the plan, the LA is not able to agree the plan as a formal recovery plan, and hence the school would not be eligible for those basic SIFD elements of support relating to the mitigation of interest charges and School Finance support.

On reflection, officers feel that the current criteria are unduly penalising schools facing the most severe financial challenges. It was therefore proposed to amend the SIFD support criteria to indicate that interest charges and provision of school finance support will be met centrally from SIFD funding for schools with an agreed recovery plan, **or an agreed sustainability plan.**

Schools that fail to engage with the LA on financial improvements or schools submitting a sustainability plan that is not approved would continue to be excluded from receiving this SIFD support.

The Lancashire SIFD process also includes an action chart, which sets out some key dates and actions that take place through the year for schools and the LA.

It was proposed that a further paragraph should be added to the action chart that would specify a Notice of Concern in Respect of Financial Delegation would be issued going forward, where a school was unable to submit a viable 3 year recovery plan. Such schools would be issued with a NOC, which would include a condition that the school submit a sustainability plan covering 3 financial years and achieving an in year budget surplus as a minimum.

If the Forum approves these amendments to the SIFD arrangements, it was proposed that they would be applied to eligible schools based on their 2020/21 outturn position and then on an ongoing basis from 2021/22.

It was noted that these changes would only impact on a very limited number of schools and therefore the costs implications for the SIFD budget are low.

Members supported the proposals but questioned how the equity of any approvals would be sustained, and officers confirmed that the plan would need to achieve at least an in year balanced budget position for the plan to be approved.

The Group:

- a) Noted the report and the clarification around sustainability plan approvals.**
- b) Supported the proposed Schools in Financial Difficulty (SIFD) Support Criteria amendments to provide support for schools with an approved sustainability plan.**

LANCASHIRE SCHOOLS FORUM

Date of meeting: 6 July 2021

Item No 11

Title: Apprenticeship Levy

Executive Summary

This report provides information arising from the Forum seminar on Apprenticeship Levy Pooled Payroll issues.

Recommendations

The Forum is asked to:

- a) Note the report.
- b) Express any views on the issues raised.

Background

On 11 May 2021, briefing session was arranged for Schools Forum members on the issue of Accessing Apprenticeship Levy Funding for Non-Maintained Schools in the LCC Pooled Payroll.

As members are aware, LCC's payroll provision for its schools has been via a 'pooled' payroll, whereby LCC staff and all school staff irrespective of school category have all been paid on a single PAYE reference number for all statutory reporting to HMRC. This has resulted in a number of Aided or Foundation schools or Academy in Lancashire being unable to set up a digital apprenticeship account, preventing them accessing funding for the training and assessment of new apprentices after 31 March 2021 and preventing them being able to claim the incentive payment for hiring a new apprentice.

The Apprenticeship Levy team have been in discussions with the ESFA around this issue over a number of years but we have recently been advised that it has not been possible to identify an alternative registration route for employers without their own PAYE reference number, that provides an acceptable level of assurance.

However, we appreciate that for the none controlled schools, this is a barrier to those who would like access to the benefits of accessing apprenticeships.

The county council has provided information to schools via the portal and issued a link where any school or academy that is affected by this issue can register their details, to enable us to report how many schools this is effecting and keep them up to date. [CLICK HERE](#) to access the form.

We are currently weighing up a number of options put forward by the ESFA, although we appreciate it is not ideal we can assure those effected that we will be working closely with the agencies involved and we will respond in due course should there be any resolution.

Forum members and schools were also asked to share this information with your groups and clusters and any affected schools were encouraged to make representations nationally, using the contact information provided:

- Helpdesk [National Apprenticeship Service](#) or
- Telephone 0800 150600.

Officers are also connecting with other councils and the Local Government Association that are affected to establish the size of the problem nationally, and any solution they may have found.

Any further update that is available will be shared with the Forum on 6 July.

LANCASHIRE SCHOOLS FORUM

Date of meeting: 6 July 2021

Item No 12

Title: Urgent Business

Appendix A refers

Executive Summary

This report provides an update on Forum decisions/recommendations taken since the last meeting using the urgent business process.

Recommendations

The Forum is asked to:

- a) Note the report.

Background

This report provides an update on Forum decisions/recommendations taken since the last meeting using the urgent business process.

DfE Consultation Changes to the payment process of schools' business rates

On 10 March 2021, the DfE issued a consultation on Changes to the payment process of schools' business rates. A copy of the consultation document was provided to members at the Forum meeting on 18 March 2021.

As the consultation had only just been released, members were able to express some initial comments on the proposals, but it was agreed that a draft response would be circulated for comment using the urgent business procedure, so that an approved forum reply could be submitted by the closing date of 5 May 2021, which was before the next cycle of Forum meetings took place.

A draft Forum text for a response was circulated on 15 April 2021, with comments requested by 30 April 2021.

23 responses were received from members. Some sought clarifications about the proposed process or made more general observations about the implications of the change, but all supported the draft response without any amendments.

A Forum consultation response was therefore submitted on 4 May 2021 and a copy is provided at Appendix A.

Response ID ANON-MNY8-5SBP-6Submitted to **Changes to the payment process of schools' business rates**Submitted on **2021-05-04 10:38:37****Introduction****1 What is your name?****Name:**

Paul Bonser

2 What is your email address?**Email:**

schoolsforum@lancashire.gov.uk

3 What is your organisation?**Organisation:**

Lancashire Schools Forum

4 What type of organisation is it?**List of organisation types:**

Other

5 What is your role?**Text box for role:**

Clerk to Schools Forum

6 What local authority area are you based in?**Please select:**

Lancashire

7 Are you happy to be contacted directly about your response?

Yes

8 Would you like us to keep your responses confidential?

No

Reason for confidentiality:**Consultation Questions - Proposal 1****9 Do you agree that the direct payment of schools' NNDR via the ESFA to billing authorities is preferable to the current system? Before answering this question, please refer to Proposal 1 of the consultation document. Use the comments box to explain your answer.**

Yes

Comments:

The Forum are supportive of the principles behind the proposed new system and agrees that this is preferable to the current system. We welcome the proposed streamlining of the system that the proposals would create and the reduced administrative burden on individual schools and academies.

Our main concern relates to the liability element of the proposals. The consultation indicates that liability for business rates would remain unchanged, with schools and academies liable for payment of any penalty charges incurred as a result of an unpaid bill. This does not seem appropriate. Under the proposals, the DfE/ESFA are making bill payments, so they should be liable for any penalties as a result of unpaid bills, rather than schools and academies that are no longer involved in the payment process.

In addition, the proposals appear to reduce the incentive for schools, academies or LAs to challenge any rateable values determined by the billing authorities. Under the current system, there is some incentive for valuations to be challenged at a local level, if they are deemed inaccurate. However, if business rate bills are paid nationally and funding is top-sliced from DSG allocations, the impact of any inaccurate valuations is lost in the national payment, which reduces any motivation to instigate an appeal process.

Finally, the consultation refers to the proposals being for "state-funded schools" including academies and local authority maintained schools. However, the methodology in the documentation makes reference to the APT, which is only applicable to the Schools Block funding of the DSG, covering mainstream primary and secondary schools and academies. All "state-funded schools" would suggest that academies and local authority maintained schools funded from the Early

Years and High Needs Blocks should also be included in the new billing arrangements, for example Maintained Nursery Schools, Maintained Pupil Referral Units or AP Academies. If such schools and academies are included in the new arrangements, further clarification about the recharge methodology for such establishments would be appreciated. If some academies and local authority maintained schools are excluded, further information about what billing arrangements that will apply to them in 2022/23 would be welcomed. The Forum are concerned that if the proposals are only applicable to certain maintained schools and academies, then this could lead to some confusion in the system, with the risk that some NNDR bills are paid twice, or not at all. It would also seem sensible to include all "state-funded schools" in the system, as a set out in the document, as a matter of principle, and on the basis of equity and consistency, so that they too may benefit from the advantages described in the proposals.

10 Do you anticipate any new burdens as a result of the proposals? Alternatively, would the proposals result in savings for local authorities and schools? Before answering this question, please refer to Proposal 1 of the consultation document. Use the comments box to outline any new burdens or savings for local authorities and schools that you anticipate would arise as a result of the proposals.

No

Comments:

A key benefit of the proposals is the reduced administrative burden on schools, academies and LAs.

11 We are anticipating that billing authorities would provide one upload of bill data to the ESFA for all the schools within their borough/district. Is this the best way to collect rates information from billing authorities, and what information would billing authorities need in order to provide the required upload of bill data? Before answering this question, please refer to Proposal 1 of the consultation document. Use the comments box to explain your answer and suggest what information billing authorities would need in order to provide the required upload of data.

Unsure

Comments:

No comment as we are not a billing authority and would not be involved in this area.

12 Where multiple billing systems exist within local authorities, what issues would this proposal raise? Before answering this question, please refer to Proposal 1 of the consultation document.

Comments:

No comments, billing authorities will be best placed to respond

13 In local authorities where discretionary relief is provided, how could this best be taken forward under the new system? Before answering this question, please refer to Proposal 1 of the consultation document.

Comments:

No comments, billing authorities will be best placed to respond

14 Are there any issues of detail that would need to be resolved in order to implement this proposal? One that occurs to us is how to handle schools occupying sites that also have other bodies on site, such as a children's centre. Before answering this question, please refer to Proposal 1 of the consultation document.

Comments:

We agree that the introduction of the new system will create the need for clarity where schools share sites with other bodies. Currently, billing authorities charge the site, with the bill being paid by the school and costs apportioned out amongst different bodies. The new system would require an assessment of the relevant rateable values for the site occupiers and individual bills to be raised for school costs, which can then be fed into the new system and paid centrally by DfE. This issue can be complicated by shared areas on a site that are utilised by multiple occupiers.

Consultation Questions - Proposal 2

15 If the direct payment of rates is implemented, would payments made once a year (in June) with a reconciliation for any adjustments at the end of the year (in March) be workable for billing authorities? Before answering this question, please refer to Proposal 2 of the consultation document. Use the comments box to explain your answer.

Unsure

Comments:

No comments, billing authorities will be best placed to respond

16 To ensure payments are properly reconciled at the end of the year, could billing authorities provide any revised claims via the online portal between May and March? Before answering this question, please refer to Proposal 2 of the consultation document. Use the comments box to explain your answer, suggest any issues that could arise from this approach and offer any alternative timelines to

revised claim uploads.

Unsure

Comments:

No comments, billing authorities will be best placed to respond

Consultation Questions - Equalities Impact Assessment

17 Please provide any information that you consider we should take into account in assessing the equalities impact of the above proposals. Before answering this question, please refer to Annex A of the consultation document.

Comments:

No comments

Schools Forum – July 2021

Item 13 Oracle Fusion

2021 / 2022

Contents

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Overview & Key Highlights

Changes Ahead for Oracle

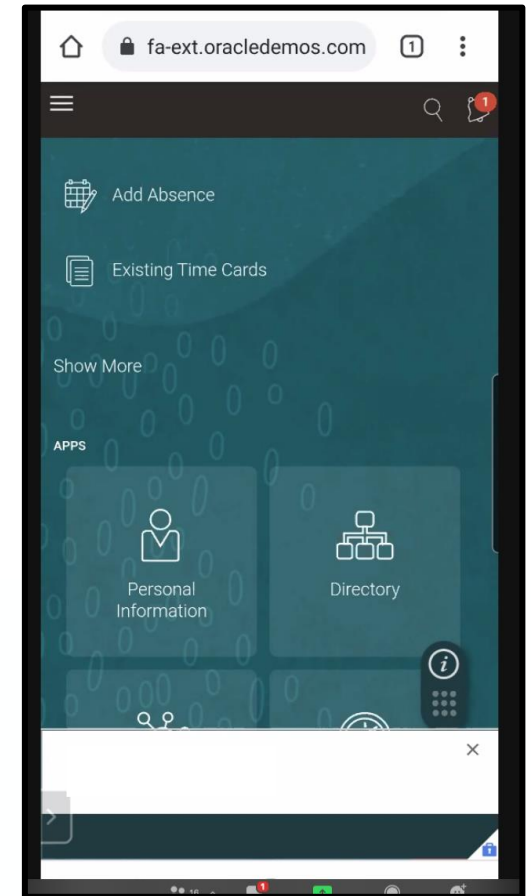
- Lancashire County Council is replacing Oracle R12 (also known as eBusiness Suite) with cloud-based platform Oracle Fusion for **HR, Payroll, Finance** and **Procurement** business processes
- Oracle Fusion is a **modern, user-friendly system** which is faster and easier to navigate
- Go Live for the HR & Payroll modules is currently planned for **Spring 2022**

In the Cloud

- The big difference between Oracle R12 and Oracle Fusion is that Fusion is **cloud based**, which means technology platforms, such as servers and data storage, is through the internet
- **“The Cloud”** offer faster innovation, flexible resources and economies of scale
- Fusion is in the **‘Government Cloud’** which operates to a higher security standard than the public cloud, so access is only allowed for verified, UK-based individuals

What does this mean for Schools & Academies?

- We will **adopt best practice**, standardised processes which are built into Oracle Fusion
- There will be some changes to our **ways of working**
- Where relevant and depending on job roles, **training** will be offered before Go Live
- **Staff** will have self-service access to their **payslips** on personal mobile and smart devices



***NOTE:** above for illustrative purposes only, shows Fusion on a mobile device (APPS you see will be dependent on your job role)*

https://schoolportal.lancsngfl.ac.uk/view_sp.asp?siteid=7806

Programme Governance

How will the programme be managed?

- The Authority has assembled a team of HR, Payroll, Finance and Procurement **subject matter experts (SMEs)** from across the Council, who will be supported by **external business partners** who are experts in Oracle systems implementation

Who's involved?

- **Allison Leach (LCC Service Manager, Payroll)** has been appointed to lead the Schools and Clients Payroll Transactional workstream of the Oracle Fusion programme
- **Sioned Edwards (LCC Service Development Manager)** will support Allison on the Schools and Clients engagement and business readiness strategies

What's next?

- You will be kept informed about how these changes affect you throughout the year, through the regular touchpoints
- Where relevant and depending on job roles, **training** will be offered before Go Live



Why Oracle Fusion?

Key Benefits

Oracle R12 (eBusiness Suite) was introduced in 2011 and it no longer supports the Authority's growing IT needs. After a rigorous tender process to select a new system, Oracle Fusion was chosen as the best solution:



User friendly

- Oracle Fusion is a **modern, user-friendly** system which supports greater levels of **self-service**
- Fusion is **cloud based**, so it's **faster** and easier to navigate
- Fusion is **accessible** on personal mobile and smart devices



Best practice

- Best practice **processes and controls** are built into Oracle Fusion, reducing manual, off-system activity to improve efficiency and compliance
- It has **enhanced reporting tools** for improved, system-held information and reports
- Fusion is in the '**Government Cloud**' which operates to a higher security standard than the public cloud, so access is only allowed for verified, UK-based individuals



Sustainable & Flexible

- Oracle Fusion in the Cloud is supported by **software updates** from Oracle, which enhance the system
- Fully meets our current needs and flexible to our **future needs**

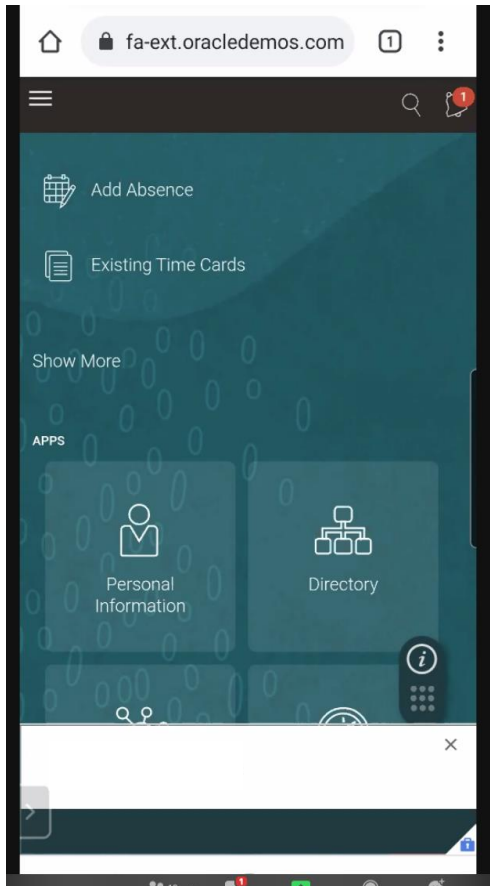


Integrated & Seamless

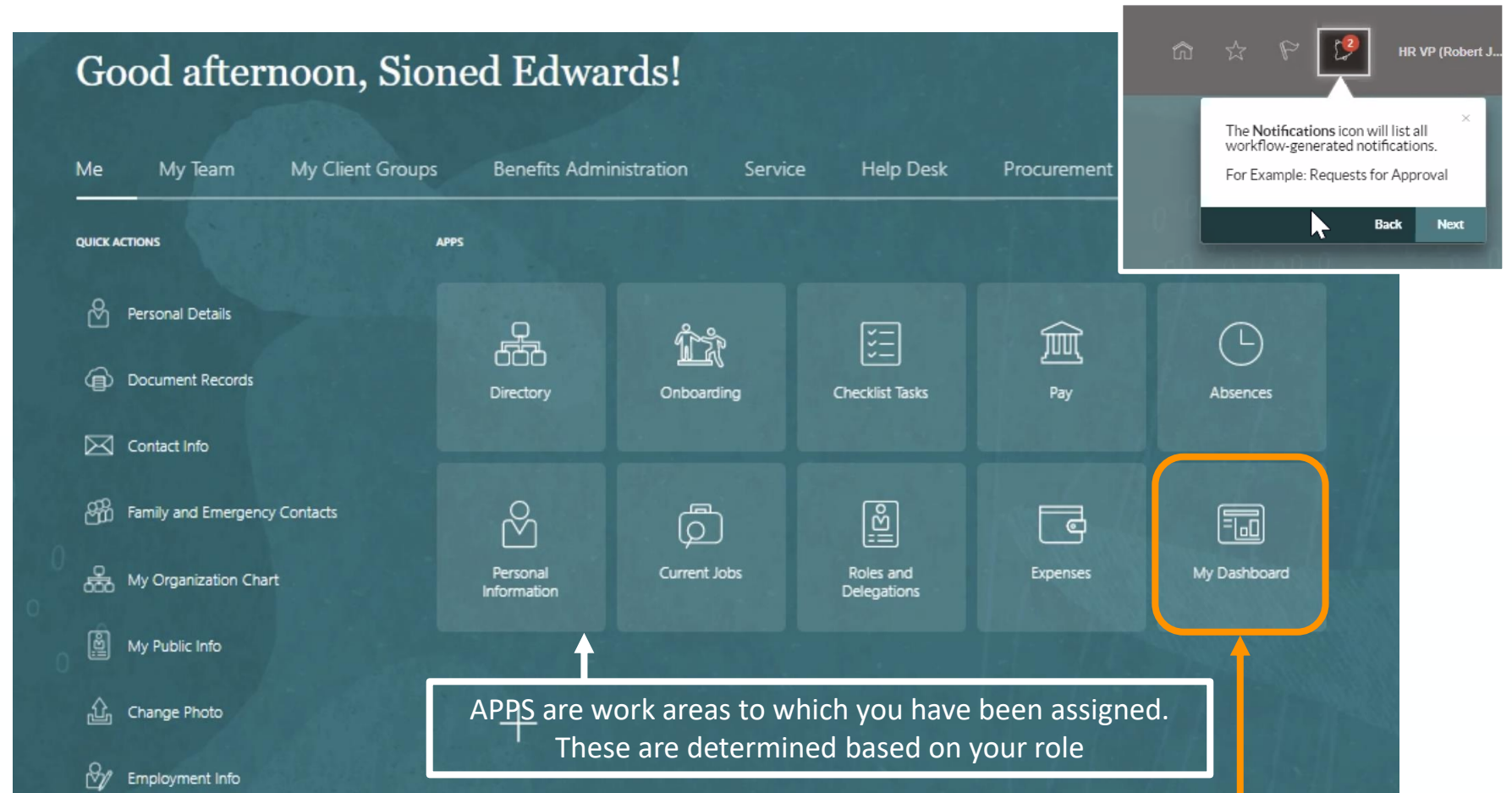
- Oracle Fusion is an **integrated single solution** with all actions and forms in one place
- It supports seamless data integration leading to better data accuracy and **one version of the truth**
- From Go Live onwards, Fusion will hold a history of **payroll submissions** and **Ask HR interactions**

Preview of Oracle Fusion Look and Feel

Note: For illustrative purposes only (Apps are determined by your role)



Above: Oracle Fusion on mobile / smart device



↑
APPS are work areas to which you have been assigned.
These are determined based on your role

↑
The dashboard highlights priority actions or tasks for the user

What activities will we do in Oracle Fusion?

*Full suite of what we currently do in Oracle eBusiness Suite (R12)**



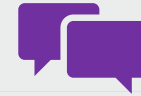
HR

- Employee Self Service
- Manager Self Service
- Employee Lifecycle (Starters to Leavers)
- Document of Records (DoRs)
- Absence Management
- Reporting Dashboard



Payroll

- Payslip
- Payroll processes
- Third party payments
- BACS
- Pensions
- Expenses
- Overtime



Helpdesk
(new module for Ask HR)

- Creation of service request
- Interaction with Ask HR and payroll through Helpdesk module

System held reporting minimises manual off-system spreadsheets and risk of human error

Improved 'user profile' and access authorisation according to job roles protects our people and data

What some of these changes mean at a high level

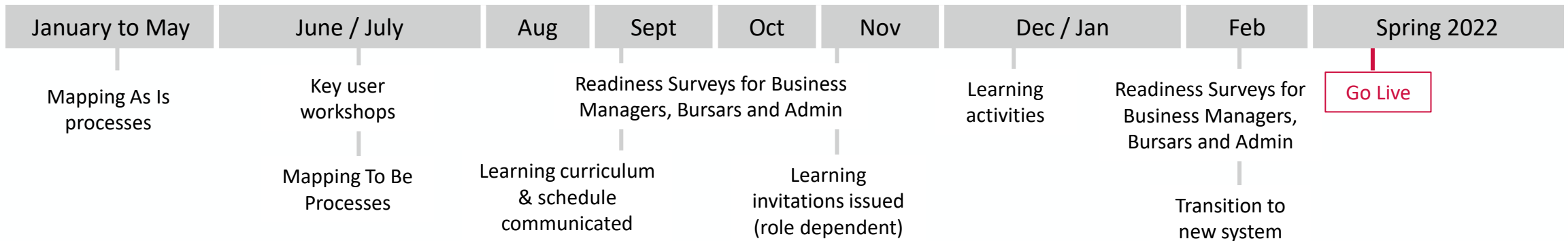
	Activity	Key Changes
HR	Employee Self-Service	<ul style="list-style-type: none"> • User-friendly self-service with additional functionality and accessible on personal smart devices
	Manager Self Service	<ul style="list-style-type: none"> • Dashboard allows Managers to see all team information in one place; with ability to drill into the data
	Employee Lifecycle (Starters to Leavers)	<ul style="list-style-type: none"> • Improved self-service for additional assignments, transfers and contractual changes • Increased self-service for submission of leavers
	Document of Records (DoRs)	<ul style="list-style-type: none"> • Move from paper-based to online forms; easier to use and all forms in one place • Ability to upload and host supporting documentation securely; from Go Live of Oracle Fusion, all documents uploaded into the system will be available in the system for future reference
	Absence Management	<ul style="list-style-type: none"> • Managers continue to enter sickness for their teams; better user experience & functionality
	Reporting dashboard	<ul style="list-style-type: none"> • System held reporting, tailored for schools and according to job roles, will use the latest 'live' data, minimises manual off-system spreadsheets
Payroll	Payslip	<ul style="list-style-type: none"> • Online payslips will be replicated in the new system and accessible on personal / mobile devices
	Payroll Processes	<ul style="list-style-type: none"> • Majority of processes will remain the same, with increased automation
	Third Party Payments	<ul style="list-style-type: none"> • Minor change – all 3rd party payments to be processed by BACS going forward
Helpdesk	Creation of service request (Ask HR)	<ul style="list-style-type: none"> • New module handling all HR & Payroll queries • Access and storage of historic documents and queries held within the system

How will people be supported to adopt Fusion?

- **Regular communication** through all available channels – primarily the Schools Portal and Schools HR Bulletin, Schools Focus Group, Schools Forum, Term Briefings and others as appropriate
- **Selected key users** – representing a cross section of primary, secondary and special schools – have attended End User Engagement Workshops to ensure current experiences and challenges in Oracle have been understood
- **Learning** will be available before Go Live of the new system. Depending on the individual’s job role, this will be a combination of instructor-led online classroom, videos, step by step guidance in Fusion and/or ‘how to’ guides
- **Learning Needs Analysis** explores the job roles users have now, what they use the system for, and what they will need to know

High-Level Programme Timeline

*timings approximate and subject to change



Feedback or Questions?

- For more information, visit https://schoolportal.lancsngfl.ac.uk/view_sp.asp?siteid=7806
- If you can't find the information you need there, email Oracle.Fusion@Lancashire.gov.uk



Lancashire Schools Forum Meeting Schedule 2021/22

Autumn Term

Meeting	Day	Date	Time	Venue
Schools Forum Induction	Thursday	16-Sep-21	10:00 – 13.00	Savoy Suite
Schools Block Working Group	Tuesday	21-Sep-21	10:00 – 13.00	Savoy Suite
High Needs Block Working Group	Tuesday	28-Sep-21	10:00 – 13.00	Savoy Suite
Early Years Block Working Group	Tuesday	05-Oct-21	13.00 – 16.00	Savoy Suite
Lancashire Schools Forum	Tuesday	19-Oct-21	10:00 – 13.00	Savoy Suite
Early Years Block Working Group	Tuesday	30-Nov-21	13.00 – 16.00	Savoy Suite
High Needs Block Working Group	Thursday	02-Dec-21	10:00 – 13.00	Savoy Suite
Schools Block Working Group	Tuesday	07-Dec-21	10:00 – 13.00	Savoy Suite

Spring Term

Meeting	Day	Date	Time	Venue
Chairman's Working Group	Tuesday	11-Jan-22	10:00 – 13.00	Albion Suite
Lancashire Schools Forum	Thursday	13-Jan-22	10:00 – 13.00	Savoy Suite
High Needs Block Working Group	Tuesday	01-Mar-22	10:00 – 13.00	Savoy Suite
Schools Block Working Group	Thursday	03-Mar-22	10:00 – 13.00	Savoy Suite
Early Years Block Working Group	Tuesday	08-Mar-22	13.00 – 16.00	Savoy Suite
Lancashire Schools Forum	Thursday	17-Mar-22	10:00 – 13.00	Savoy Suite

Summer Term

Meeting	Day	Date	Time	Venue
High Needs Block Working Group	Tuesday	14-Jun-22	10:00 – 13.00	Savoy Suite
Early Years Block Working Group	Thursday	16-Jun-22	13.00 – 16.00	Savoy Suite
Schools Block Working Group	Tuesday	21-Jun-22	10:00 – 13.00	Savoy Suite
Lancashire Schools Forum	Tuesday	05-Jul-22	10:00 – 13.00	Savoy Suite

All meetings are scheduled to take place at The Exchange, County Hall but may be conducted virtually